

International Criminal Court Act 2001

2001 CHAPTER 17

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An Act to give effect to the Statute of the International Criminal Court; to provide for offences under the law of England and Wales and Northern Ireland corresponding to offences within the jurisdiction of that Court; and for connected purposes.

[11th May 2001]

BE IT ENACTED by the Queen's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons, in this present Parliament assembled, and by the authority of the same, as follows:–

PART 1

THE INTERNATIONAL CRIMINAL COURT

1 The ICC and the ICC Statute

(1) In this Act–

“the ICC” means the International Criminal Court established by the Statute of the International Criminal Court, done at Rome on 17th July 1998;

“the ICC Statute” means that Statute; and

“ICC crime” means a crime (other than the crime of aggression) over which the ICC has jurisdiction in accordance with the ICC Statute.

(2) References in this Act to articles are, unless otherwise indicated, to articles of the ICC Statute.

(3) Schedule 1 to this Act contains supplementary provisions relating to the ICC.

Commencement

Pt 1 s. 1(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

PART 2

ARREST AND DELIVERY OF PERSONS

Proceedings on request

2 Request for arrest and surrender

(1) Where the Secretary of State receives a request from the ICC for the arrest and surrender of a person alleged to have committed an ICC crime, or to have been convicted by the ICC, he shall transmit the request and the documents accompanying it to an appropriate judicial officer.

(2) If it appears to the Secretary of State that the request should be considered by an appropriate judicial officer in Scotland, he shall transmit the request and the documents accompanying it to the Scottish Ministers who shall transmit them to an appropriate judicial officer.

(3) If the request is accompanied by a warrant of arrest and the appropriate judicial officer is satisfied that the warrant appears to have been issued by the ICC, he shall endorse the warrant for execution in the United Kingdom.

(4) If in the case of a person convicted by the ICC the request is not accompanied by a warrant of arrest, but is accompanied by—

(a) a copy of the judgment of conviction,

(b) information to demonstrate that the person sought is the one referred to in the judgment of conviction, and

(c) where the person sought has been sentenced, a copy of the sentence imposed and a statement of any time already served and the time remaining to be served,

the officer shall issue a warrant for the arrest of the person to whom the request relates.

(5) In this Part a warrant endorsed or issued under this section is referred to as a “section 2 warrant”.

Commencement

Pt 2 s. 2(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

3 Request for provisional arrest

(1) This section applies where the Secretary of State receives from the ICC a request for the provisional arrest of a person alleged to have committed an ICC crime or to have been convicted by the ICC.

(2) If it appears to the Secretary of State that application for a warrant should be made in England and Wales—

(a) he shall transmit the request to a constable and direct the constable to apply for a warrant for the arrest of that person, and

(b) on an application by a constable stating on oath that he has reason to believe—

(i) that a request has been made on grounds of urgency by the ICC for the arrest of a person, and

(ii) that the person is in, or on his way to, the United Kingdom,

an appropriate judicial officer shall issue a warrant for the arrest of that person.

- (3) If it appears to the Secretary of State that application for a warrant should be made in Scotland—
- (a) he shall transmit the request to the Scottish Ministers who shall instruct the procurator fiscal to apply for a warrant for the arrest of that person, and
 - (b) on the application by the procurator fiscal, which shall state—
 - (i) that a request has been made on grounds of urgency by the ICC for the arrest of a person, and
 - (ii) that the person is in, or on his way to, Scotland,an appropriate judicial officer shall issue a warrant for the arrest of that person.
- (4) Where an appropriate judicial officer issues a warrant under this section, he shall notify the Secretary of State and, where the proceedings are in Scotland, the Scottish Ministers that he has done so.
- (5) In this Part a warrant issued under this section is referred to as a “provisional warrant”.

Commencement

Pt 2 s. 3(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

4 Dealing with person arrested under provisional warrant

- (1) A person arrested under a provisional warrant shall be brought before a competent court as soon as is practicable.
- (2) If there is produced to the court a section 2 warrant in respect of that person, the court shall proceed as if he had been arrested under that warrant.
- (3) If no such warrant is produced, the court shall remand him pending the production of such a warrant.
- (4) Provision shall be made by Order in Council under paragraph 3 of Schedule 1 (power to make provision to give effect to Rules of Evidence and Procedure) specifying—
- (a) the period for which a person may be so remanded at any time, and
 - (b) the total period for which a person may be so remanded,
- having regard to the time limits specified in Rules of Evidence and Procedure for the purposes of article 92.3.
- (5) If at any time when the person is so remanded there is produced to the court a section 2 warrant in respect of him—
- (a) the court shall terminate the period of remand, and
 - (b) he shall be treated as if arrested under that warrant—
 - (i) if he was remanded in custody, at the time the warrant was produced to the court;
 - (ii) if he was remanded on bail, when he surrenders to his bail.
- (6) If no such warrant is produced to the court before the end of the period of the remand (including any extension of that period), the court shall discharge him.
- (7) The fact that a person has been discharged under this section does not prevent his subsequent arrest under a section 2 warrant.

Commencement

Pt 2 s. 4(1)-(7): September 1, 2001 (SI 2001/2161 art. 2)

5 Proceedings for delivery order

- (1) A person arrested under a section 2 warrant shall be brought before a competent court as soon as is practicable.
- (2) If the competent court is satisfied—
 - (a) that the warrant—
 - (i) is a warrant of the ICC and has been duly endorsed under section 2(3), or
 - (ii) has been duly issued under section 2(4), and
 - (b) that the person brought before the court is the person named or described in the warrant,it shall make a delivery order.
- (3) A “delivery order” is an order that the person be delivered up—
 - (a) into the custody of the ICC, or
 - (b) if the ICC so directs in the case of a person convicted by the ICC, into the custody of the state of enforcement,in accordance with arrangements made by the Secretary of State.
- (4) In the case of a person alleged to have committed an ICC crime, the competent court may adjourn the proceedings pending the outcome of any challenge before the ICC to the admissibility of the case or to the jurisdiction of the ICC.
- (5) In deciding whether to make a delivery order the court is not concerned to enquire—
 - (a) whether any warrant issued by the ICC was duly issued, or
 - (b) in the case of a person alleged to have committed an ICC crime, whether there is evidence to justify his trial for the offence he is alleged to have committed.
- (6) Whether or not it makes a delivery order, the competent court may of its own motion, and shall on the application of the person arrested, determine—
 - (a) whether the person was lawfully arrested in pursuance of the warrant, and
 - (b) whether his rights have been respected.
- (7) In making a determination under subsection (6) the court shall apply the principles which would be applied on an application for judicial review.
- (8) If the court determines—
 - (a) that the person has not been lawfully arrested in pursuance of the warrant, or
 - (b) that the person's rights have not been respected,it shall make a declaration or declarator to that effect, but may not grant any other relief.
- (9) The court shall notify the Secretary of State (and, where the proceedings are in Scotland, the Scottish Ministers) of any declaration or declarator under subsection (8) and the Secretary of State shall transmit that notification to the ICC.

Commencement

Pt 2 s. 5(1)-(9): September 1, 2001 (SI 2001/2161 art. 2)

6 Supplementary provisions as to proceedings before competent court

- (1) The following provisions apply in relation to proceedings before a competent court under section 5.
- (2) In the case of proceedings in England and Wales—
- (a) the court has the like powers, as nearly as may be, including power to adjourn the case and meanwhile to remand the person whose surrender is sought, as if the proceedings were the summary trial of an information against that person;
 - (b) if the court adjourns the proceedings, it shall on doing so remand the person whose surrender is sought;
 - (c) the proceedings are criminal proceedings for the purposes of Part 1 of the Access to Justice Act 1999 (c. 22) (advice, assistance and representation);
 - (d) section 16(1)(c) of the Prosecution of Offences Act 1985 (c. 23) (defence costs on dismissal of proceedings) applies, reading the reference to the dismissal of the information as a reference to the discharge of the person arrested.
- (3) In the case of proceedings in Scotland—
- (a) the court has the like powers, including power to adjourn the case and meanwhile to remand the person whose surrender is sought, and the proceedings shall be conducted as nearly as may be in the like manner, as if the proceedings were summary proceedings in respect of an offence alleged to have been committed by that person;
 - (b) the provisions of the Legal Aid (Scotland) Act 1986 (c. 47) relating to such proceedings, or any appeal proceedings following thereon, apply to that person.

Commencement

Pt 2 s. 6(1)-(3)(b): September 1, 2001 (SI 2001/2161 art. 2)

7 Consent to surrender

- (1) A person arrested under this Part may consent to being delivered up into the custody of the ICC or, in the case of a person convicted by the ICC, of the state of enforcement. This is referred to below as “consent to surrender”.
- (2) Consent to surrender may be given—
- (a) by the person himself, or
 - (b) in circumstances in which it is inappropriate for the person to act for himself, by reason of his physical or mental condition or his youth, by an appropriate person acting on his behalf.
- (3) Consent to surrender must—
- (a) be given in writing in the prescribed form or a form to the like effect, and
 - (b) be signed in the presence of a justice of the peace or, in Scotland, a sheriff.
- The “prescribed form” means that prescribed by [Criminal Procedure Rules]¹ or, in Scotland, by the High Court of Justiciary by Act of Adjournal.
- (4) Where consent to surrender has been given—

¹ Words substituted subject to saving specified in SI 2004/2066 art.3 by Courts Act 2003 c. 39 Sch.8 para.403(a) (September 1, 2004: substitution has effect subject to saving specified in SI 2004/2066 art.3)

- (a) a competent court before which the person is brought shall forthwith make a delivery order, and
 - (b) he shall be taken to have waived his rights under section 12 (right to review of delivery order).
- (5) Where consent to surrender has been given, notice of that fact shall be given—
- (a) if the person is in custody, to the prison governor, constable or other person in whose custody he is;
 - (b) if the person is on bail in England and Wales, to the officer in charge of the police station at which he is required to surrender to custody.
- (6) For the purposes of subsection (5)(b) notice shall be treated as given if it is sent by registered post, or recorded delivery, addressed to the officer mentioned.

Commencement

Pt 2 s. 7(1)-(2)(b), (4)-(6): September 1, 2001 (SI 2001/2161 art. 2, art. 3)

Pt 2 s. 7(3)-(3)(b): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

Proceedings where court refuses delivery order

8 Procedure where court refuses order

- (1) If a competent court refuses to make a delivery order, it shall—
- (a) make an order remanding the person arrested, and
 - (b) notify the Secretary of State and, in the case of proceedings in Scotland, the Scottish Ministers of its decision and of the grounds for it.
- (2) If the court is informed without delay that an appeal is to be brought under section 9 or 10, the order remanding the person arrested shall continue to have effect.
- (3) If the court is not so informed, it shall discharge the person arrested.

Commencement

Pt 2 s. 8(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

9 Appeal against refusal of delivery order: England and Wales

- (1) If a competent court in England and Wales refuses to make a delivery order, the Secretary of State may appeal against the decision to the High Court.
No permission is required for such an appeal, which shall be by way of rehearing.
- (2) If the High Court allows the appeal it may—
- (a) make a delivery order, or
 - (b) remit the case to the competent court to make a delivery order in accordance with the decision of the High Court.

(3) If the High Court dismisses the appeal, the Secretary of State may, with the permission of the High Court or the [Supreme Court]², appeal to the [Supreme Court]².

In relation to a decision of the High Court on an appeal under this section, section 1 of the Administration of Justice Act 1960 (c. 65) (appeals to the [Supreme Court]²) applies with the omission of so much of subsection (2) of that section as restricts the grant of leave to appeal.

(4) The [Supreme Court]² may exercise any of the powers conferred on the High Court by subsection (2) above.

(5) Where a delivery order is made by the High Court or the [Supreme Court]², the provisions of section 11(1)(a) and (c), (2) and (3) (procedure where court makes delivery order) apply in relation to that court as they apply to a competent court in England and Wales which makes a delivery order.

(6) An order for the remand of the arrested person which continues in force under section 8(2) shall cease to have effect if the High Court dismisses the appeal and the Secretary of State does not without delay—

(a) apply for permission to appeal to the [Supreme Court]², or

(b) inform the High Court that he intends to apply for such permission.

Subject to that, any such order shall have effect so long as the case is pending.

For this purpose a case is pending (unless proceedings are discontinued) until (disregarding any power of a court to allow a step to be taken out of time) there is no step that the Secretary of State can take.

Commencement

Pt 2 s. 9(1)-(6)(b): September 1, 2001 (SI 2001/2161 art. 2)

10 Appeal against refusal of delivery order: Scotland

(1) If a competent court in Scotland refuses to make a delivery order, the procurator fiscal may appeal against the decision to the High Court of Justiciary by note of appeal.

(2) If the High Court of Justiciary allows the appeal it may—

(a) make a delivery order, or

(b) remit the case to the competent court to make a delivery order in accordance with the decision of the High Court of Justiciary.

(3) Where a delivery order is made by the High Court of Justiciary, the provisions of section 11(1)(a) and (c), (2) and (3) (procedure where court makes delivery order) apply in relation to that court as they apply to a competent court in Scotland which makes a delivery order.

(4) An order for the remand of the arrested person which continues in force under section 8(2) shall cease to have effect if the High Court of Justiciary dismisses the appeal.

Subject to that, any such order shall have effect so long as the case is pending.

For this purpose a case is pending (unless proceedings are discontinued) until (disregarding any power of a court to allow a step to be taken out of time) there is no step that the procurator fiscal can take.

² Words substituted by Constitutional Reform Act 2005 c. 4 Sch.9(1) para.75 (October 1, 2009)

(5) In relation to an appeal under this section the High Court of Justiciary may make an order providing for the detention of the person to whom it relates or may grant bail.

(6) Section 177(2) of the Criminal Procedure (Scotland) Act 1995 (c. 46) (disposal of the application for bail) applies for the purposes of such an appeal as it applies for the purposes of an appeal such as is mentioned in section 176 of that Act.

Commencement

Pt 2 s. 10(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

Proceedings where court makes delivery order

11 Procedure where court makes order

- (1) Where a competent court makes a delivery order in respect of a person, the court shall—
- (a) commit the person to custody or on bail to await the Secretary of State's directions as to the execution of the order,
 - (b) inform the person of his rights under section 12 (right to review of delivery order) in ordinary terms and in a language which appears to the court to be one which he fully understands and speaks, and
 - (c) notify the Secretary of State and, in the case of proceedings in Scotland, the Scottish Ministers of its decision.
- (2) A person committed to custody under subsection (1)(a) shall be committed to prison or to the custody of a constable.
- (3) A court which commits a person to custody under subsection (1)(a) may subsequently grant bail.

Commencement

Pt 2 s. 11(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

12 Right to review of delivery order

- (1) The Secretary of State shall not give directions for the execution of a delivery order until after the end of the period of 15 days beginning with the date on which the order is made. This does not apply if the person in respect of whom the order is made—
- (a) waives his rights under this section (see section 13), or
 - (b) is taken to have done so (see section 7(4)(b)).
- (2) If before the end of that period an application for habeas corpus is made by the person in respect of whom the delivery order is made, or on his behalf, directions for the execution of the order shall not be given while proceedings on the application are still pending.
- (3) Proceedings on any such application shall be treated as pending until they are discontinued or there is no further possibility of an appeal.
- For this purpose any power of a court to allow an appeal out of time shall be disregarded.

- (4) On an application for habeas corpus to which this section applies–
- (a) the court shall set aside the delivery order and order the person's discharge if it is not satisfied of the matters mentioned in section 5(2), and
 - (b) the provisions of section 5(4) to (9) apply in relation to the court to which the application is made as they apply to the court that made the delivery order (but with the substitution in section 5(6) for “makes a delivery order” of “sets aside the delivery order”).
- (5) In the application of this section to Scotland references to an application for habeas corpus shall be read as references to the presentation of a Bill of Suspension.

Commencement

Pt 2 s. 12(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

13 Waiver of right to review

- (1) A person in respect of whom a delivery order has been made may waive his right to review of the order.
- (2) Waiver of the right to review may be made–
- (a) by the person himself, or
 - (b) in circumstances in which it is in appropriate for the person to act for himself, by reason of his physical or mental condition or his youth, by an appropriate person acting on his behalf.
- (3) Waiver of the right to review must–
- (a) be made in writing in the prescribed form or a form to the like effect, and
 - (b) be signed in the presence of a justice of the peace or, in Scotland, a sheriff.
- The “prescribed form” means that prescribed by [Criminal Procedure Rules]³ or, in Scotland, by the High Court of Justiciary by Act of Adjournal.
- (4) Where a person has waived his right to review of the delivery order–
- (a) no such application as is mentioned in section 12 may be made, and
 - (b) the order shall be taken for all purposes to be validly made.
- (5) Where a person has waived his right to review, notice of that fact shall be given–
- (a) if the person is in custody, to the prison governor, constable or other person in whose custody he is;
 - (b) if the person is on bail in England and Wales, to the officer in charge of the police station at which he is required to surrender to custody.
- (6) For the purposes of subsection (5)(b) notice shall be treated as given if it is sent by registered post, or recorded delivery, addressed to the officer mentioned.

³ Words substituted subject to saving specified in SI 2004/2066 art.3 by Courts Act 2003 c. 39 Sch.8 para.403(b) (September 1, 2004: substitution has effect subject to saving specified in SI 2004/2066 art.3)

Commencement

Pt 2 s. 13(1)-(2)(b), (4)-(6): September 1, 2001 (SI 2001/2161 art. 2, art. 3)

Pt 2 s. 13(3)-(3)(b): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

Warrants, custody, bail and related matters

14 Effect of warrant of arrest

- (1) For the purposes of any enactment or rule of law relating to warrants of arrest—
- (a) a section 2 warrant endorsed or issued in any part of the United Kingdom, or
 - (b) a provisional warrant issued in any part of the United Kingdom,
- shall be treated as if it were a warrant for the arrest of a person for an offence committed in that part of the United Kingdom.
- (2) Any such warrant may be executed in any part of the United Kingdom, and may be so executed by any person to whom it is directed or by any constable.
- (3) A person arrested under any such warrant shall be deemed to continue in legal custody until, in accordance with this Part, he is brought before a competent court.

Commencement

Pt 2 s. 14(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

15 Effect of delivery order

- (1) A delivery order is sufficient authority for any person acting in accordance with the directions of the Secretary of State to receive the person to whom the order relates, keep him in custody and convey him to the place where he is to be delivered up into the custody of the ICC (or, as the case may be, of the state of enforcement) in accordance with arrangements made by the Secretary of State.
- (2) A person in respect of whom a delivery order is in force is deemed to be in legal custody at any time when, being—
- (a) in the United Kingdom, or
 - (b) on board a British ship, a British aircraft or a British hovercraft,
- he is being taken under the order to or from any place or is being kept in custody pending his delivery up under the order.
- (3) A person authorised for the purposes of a delivery order to take the person to whom the order relates to or from any place or, to keep him in custody, has all the powers, authority, protection and privileges—
- (a) if he is in the United Kingdom, of a constable in that part of the United Kingdom, or
 - (b) if he is outside the United Kingdom, of a constable in the part of the United Kingdom to or from which the other person is to be taken.

- (4) If a person in respect of whom a delivery order is in force escapes or is unlawfully at large, he may be arrested without warrant by a constable and taken to any place where or to which, by virtue of this Part, he is required to be or to be taken.
- (5) For the purposes of subsection (4) a “constable” means—
- (a) a person who is a constable in any part of the United Kingdom, and
 - (b) in relation to any place, a person who, at that place, has, under any enactment (including subsection (3)), the powers of a constable in any part of the United Kingdom.

Commencement

Pt 2 s. 15(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

16 Bail and custody: general

- (1) Where under this Part a court has power to remand a person, the court may—
- (a) remand him in custody, that is, commit him for the period of the remand to prison or to the custody of a constable, or
 - (b) if an application for bail is made to the court, remand him on bail, that is, direct him to surrender himself into the custody of the officer in charge of a specified police station at the time appointed for him to do so.
- (2) The provisions of the Bail Act 1976 (c. 63) apply to proceedings under this Part in England and Wales as to proceedings against a fugitive offender.
- (3) The time appointed under subsection (1)(b) for a person to surrender to custody—
- (a) shall be a time appointed by the officer in charge of the specified police station and notified in writing to the person remanded, and
 - (b) shall not be more than 24 hours before the time at which it appears to that officer that the period of remand is likely to end.
- (4) Where under this Part a court in Scotland has power to remand a person and the person makes an application to the court for bail, the court may admit him to bail and shall have the like powers in doing so as it has in proceedings in respect of an offence alleged to have been committed by him.
- (5) Nothing in this Part shall be taken as authorising a court to grant bail to a person who is serving a sentence of imprisonment or detention to which he has been sentenced by a national court, or who is in custody awaiting trial or sentence by a national court.

Commencement

Pt 2 s. 16(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

17 Bail and custody (England and Wales): supplementary

- (1) The following provisions apply where a person is granted bail under this Part by a competent court in England and Wales.
- (2) Where a court—

- (a) grants bail but is unable to release the person because no surety or suitable surety is available, and
 - (b) fixes the amount in which the surety is to be bound with a view to the recognizance of the surety being entered into subsequently,
- the court shall in the meantime commit the person to the custody of a constable.
- (3) During the period between the surrender of a person to custody and the end of the period of remand he shall be treated as committed to the custody of the constable to whom he surrenders.
- (4) Where it appears to that officer that the end of the period of remand will be unexpectedly delayed, he shall grant the person bail subject to a duty to surrender himself into the custody of the officer in charge of the specified police station at the time appointed for him to do so.
- The time appointed under this subsection for the person to surrender to custody—
- (a) shall be a time to be appointed by the officer in charge of the specified police station and notified in writing to the person remanded, and
 - (b) shall not be more than 24 hours before the time at which it appears to that officer that the period of remand is likely to end.
- (5) If a person required to surrender to custody in accordance with subsection (4) fails to do so—
- (a) the court by which he was remanded may issue a warrant for his arrest,
 - (b) provisions of section 14 (effect of warrant of arrest) apply in relation to the warrant, and
 - (c) on his arrest the person shall be brought before the court which shall reconsider the question of bail.
- (6) In this section “the specified police station” means the police station specified by the competent court under section 16(1)(b).

Commencement

Pt 2 s. 17(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

18 Bail and custody: consultation with the ICC, &c

- (1) Where an application for bail is made in proceedings under this Part in England and Wales—
- (a) the court shall notify the Secretary of State of the application,
 - (b) the Secretary of State shall consult with the ICC, and
 - (c) bail shall not be granted without full consideration of any recommendations made by the ICC.
- (2) Where an application for bail is made in proceedings under this Part in Scotland—
- (a) the court shall notify the Scottish Ministers of the application,
 - (b) the Scottish Ministers shall notify the Secretary of State who shall consult with the ICC and shall notify the Scottish Ministers of any recommendations made by the ICC, and
 - (c) bail shall not be granted without full consideration of any such recommendations.
- (3) In considering any such application as is mentioned in subsection (1) or (2) the court shall consider—
- (a) whether, given the gravity of the offence or offences he is alleged to have committed or, as the case may be, of which he has been convicted by the ICC, there are urgent and exceptional circumstances justifying release on bail, and

- (b) whether any necessary measures have been or will be taken to secure that the person will surrender to custody in accordance with the terms of his bail.

Commencement

Pt 2 s. 18(1)-(3)(b): September 1, 2001 (SI 2001/2161 art. 2)

19 Discharge of person not delivered up

- (1) If the person in respect of whom a delivery order has been made is not delivered up under the order within 40 days after it was made, an application may be made, by him or on his behalf, for his discharge.
- (2) The application shall be made—
- (a) in the case of an order made in England and Wales, to the High Court;
 - (b) in the case of an order made in Scotland, to the High Court of Justiciary.
- (3) On an application under this section the court shall order the person's discharge unless reasonable cause is shown for the delay.

Commencement

Pt 2 s. 19(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

20 Discharge of person no longer required to be surrendered

- (1) Where the ICC informs the Secretary of State that a person arrested under this Part is no longer required to be surrendered—
- (a) the Secretary of State shall notify an appropriate judicial officer of that fact, and
 - (b) that officer shall, on receipt of the notification, make an order for his discharge.
- (2) Where the person was arrested in Scotland, the Secretary of State shall inform the Scottish Ministers who shall notify an appropriate judicial officer.

Commencement

Pt 2 s. 20(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

Request for transit and unscheduled landing

21 Request for transit

- (1) This section applies where the Secretary of State receives a request from the ICC for transit of a person being surrendered by another state.
- (2) If the Secretary of State accedes to the request—
- (a) the request shall be treated for the purposes of this Part as if it were a request for that person's arrest and surrender,

- (b) the warrant accompanying the request shall be deemed to have been endorsed under section 2(3), and
 - (c) the person to whom the request relates shall be treated on arrival in the United Kingdom as if he had been arrested under that warrant.
- (3) In relation to a case where this section applies—
- (a) the reference in section 5(2)(a)(i) to the warrant having been duly endorsed under section 2(3) shall be read as a reference to the Secretary of State having acceded to the request for transit; and
 - (b) section 12(1) (right to review of delivery order: period for making application) shall have effect as if the reference to 15 days (the period during which directions to execute delivery order are not to be given) were a reference to two days.
- (4) A person in transit under this section shall not be granted bail.

Commencement

Pt 2 s. 21(1)-(4): September 1, 2001 (SI 2001/2161 art. 2)

22 Unscheduled landing

- (1) If a person being surrendered by another state makes an unscheduled landing in the United Kingdom, he may be arrested by any constable and shall be brought before a competent court as soon as is practicable.
- (2) The court shall remand him in custody pending—
- (a) receipt by the Secretary of State of a request from the ICC for his transit, and
 - (b) the Secretary of State's decision whether to accede to the request.
- (3) If no such request is received by the Secretary of State before the end of the period of 96 hours beginning with the time of the arrested person's unscheduled landing—
- (a) the Secretary of State shall forthwith notify the court of that fact, and
 - (b) the court shall, on receipt of the notification, discharge the arrested person.
- (4) If the Secretary of State receives such a request before the end of that period, he shall notify the court without delay of his decision whether to accede to the request.
- (5) If the Secretary of State notifies the court that he has decided to accede to the request—
- (a) the court shall, on receipt of the notification, terminate the period of remand, and
 - (b) the provisions of section 21 (request for transit) apply with the substitution for the reference in subsection (2)(c) to the time of arrival in the United Kingdom of a reference to the time of notification to the court.
- (6) If the Secretary of State notifies the court that he has decided not to accede to the request, the court shall, on receipt of the notification, discharge the arrested person.
- (7) In the applications of subsections (3) to (6) to proceedings in Scotland, any duty of the Secretary of State to notify the court shall be read as a duty to notify the Scottish Ministers who shall forthwith notify the court accordingly.

Commencement

Pt 2 s. 22(1)-(7): September 1, 2001 (SI 2001/2161 art. 2)

*Supplementary provisions***23 Provisions as to state or diplomatic immunity**

(1) Any state or diplomatic immunity attaching to a person by reason of a connection with a state party to the ICC Statute does not prevent proceedings under this Part in relation to that person.

(2) Where—

(a) state or diplomatic immunity attaches to a person by reason of a connection with a state other than a state party to the ICC Statute, and

(b) waiver of that immunity is obtained by the ICC in relation to a request for that person's surrender,

the waiver shall be treated as extending to proceedings under this Part in connection with that request.

(3) A certificate by the Secretary of State—

(a) that a state is or is not a party to the ICC Statute, or

(b) that there has been such a waiver as is mentioned in subsection (2),

is conclusive evidence of that fact for the purposes of this Part.

(4) The Secretary of State may in any particular case, after consultation with the ICC and the state concerned, direct that proceedings (or further proceedings) under this Part which, but for subsection (1) or (2), would be prevented by state or diplomatic immunity attaching to a person shall not be taken against that person.

(5) The power conferred by section 1 of the United Nations Act 1946 (c. 45) (power to give effect by Order in Council to measures not involving the use of armed force) includes power to make in relation to any proceedings such provision corresponding to the provision made by this section in relation to the proceedings, but with the omission—

(a) in subsection (1), of the words “by reason of a connection with a state party to the ICC Statute”, and

(b) of subsections (2) and (3),

as appears to Her Majesty to be necessary or expedient in consequence of such a referral as is mentioned in article 13(b) (referral by the United Nations Security Council).

(6) In this section “state or diplomatic immunity” means any privilege or immunity attaching to a person, by reason of the status of that person or another as head of state, or as representative, official or agent of a state, under—

(a) the Diplomatic Privileges Act 1964 (c. 81), the Consular Relations Act 1968 (c. 18), the International Organisations Act 1968 (c.48) or the State Immunity Act 1978 (c.33),

(b) any other legislative provision made for the purpose of implementing an international obligation, or

(c) any rule of law derived from customary international law.

Commencement

Pt 2 s. 23(1)-(6)(c): September 1, 2001 (SI 2001/2161 art. 2)

24 Delivery up of persons subject to criminal proceedings, &c

Schedule 2 makes provision for cases where the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person—

- (a) against whom criminal proceedings are pending or in progress before a national court, or who has been dealt with in such proceedings,
- (b) against whom extradition proceedings are pending or in progress in the United Kingdom, or in respect of whom a warrant or order has been made in such proceedings, or
- (c) against whom proceedings are pending or in progress in the United Kingdom for a delivery order under—
 - (i) the United Nations (International Tribunal) (Former Yugoslavia) Order 1996 (S.I. 1996/716), or
 - (ii) the United Nations (International Tribunal) (Rwanda) Order 1996 (S.I. 1996/1296),or against whom a delivery order has been made in such proceedings.

Commencement

Pt 2 s. 24(a)-(c)(ii): September 1, 2001 (SI 2001/2161 art. 2)

25 Documents having effect as warrants, &c

(1) For the purposes of this Part the copy of a warrant issued by the ICC that is transmitted to the Secretary of State shall be treated as if it were the original warrant.

(2) Where facsimile transmission is used—

- (a) for the making of a request by the ICC or the transmission of any supporting documents, or
- (b) for the transmission of any document in consequence of such a request,

this Part applies as if the documents so sent were the originals of the documents so transmitted. Any such document shall be receivable or, in Scotland, admissible in evidence accordingly.

(3) Where the ICC amends a warrant of arrest, the provisions of this Part apply to the amended warrant as if it were a new warrant.

This does not affect the validity of anything done in reliance on the old warrant.

Commencement

Pt 2 s. 25(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

[26 Meaning of “appropriate judicial officer” and “competent court”

(1) For the purposes of this Part—

“appropriate judicial officer” means—

(b) a District Judge (Magistrates' Courts) designated for the purposes of this Act by the Lord Chief Justice of England and Wales after consulting the Lord Chancellor, or

(c) the Sheriff of Lothian and Borders; and

“competent court” means a court consisting of an appropriate judicial officer.

(2) The Lord Chief Justice may nominate a judicial office holder (as defined in section 109(4) of the Constitutional Reform Act 2005) to exercise his functions under this section.

J⁴

Commencement

Pt 2 s. 26 definition of "appropriate judicial officer"- definition of "competent court": September 1, 2001 (SI 2001/2161 art. 2)

PART 3

OTHER FORMS OF ASSISTANCE

Introduction

27 Provision of assistance

(1) The powers conferred by this Part on the Secretary of State are exercisable for the purpose of providing assistance to the ICC in relation to investigations or prosecutions where—

(a) an investigation has been initiated by the ICC, and

(b) the investigation and any proceedings arising out of it have not been concluded.

(2) Where facsimile transmission is used—

(a) for the making of a request by the ICC or the transmission of any supporting documents, or

(b) for the transmission of any document in consequence of such a request,

this Part applies as if the documents so sent were the originals of the documents so transmitted.

Any such document shall be receivable in evidence accordingly.

(3) Nothing in this Part shall be read as preventing the provision of assistance to the ICC otherwise than under this Part.

Commencement

Pt 3 s. 27(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

⁴ Existing s.26 renumbered as s.26(1), words are substituted and s.26(2) is added by Constitutional Reform Act 2005 c. 4 Sch.4(1) para.299 (April 3, 2006)

*Forms of assistance***28 Questioning**

- (1) This section applies where the Secretary of State receives a request from the ICC for assistance in questioning a person being investigated or prosecuted.
- (2) The person concerned shall not be questioned in pursuance of the request unless—
 - (a) he has been informed of his rights under article 55, and
 - (b) he consents to be interviewed.
- (3) The provisions of article 55 are set out in Schedule 3 to this Act.
- (4) Consent for the purposes of subsection (2)(b) may be given—
 - (a) by the person himself, or
 - (b) in circumstances in which it is inappropriate for the person to act for himself, by reason of his physical or mental condition or his youth, by an appropriate person acting on his behalf.
- (5) Such consent may be given orally or in writing, but if given orally it shall be recorded in writing as soon as is reasonably practicable.

Commencement

Pt 3 s. 28(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

29 Taking or production of evidence

- (1) This section applies where the Secretary of State receives a request from the ICC for assistance in the taking or production of evidence.
For this purpose “evidence” includes documents and other articles.
- (2) The Secretary of State may nominate a court in England and Wales or Northern Ireland to receive the evidence to which the request relates.
- (3) For this purpose the nominated court—
 - (a) has the same powers with respect to securing the attendance of witnesses and the production of documents or other articles as it has for the purpose of other proceedings before the court; and
 - (b) may take evidence on oath.
- (4) A person shall not be compelled to give evidence or produce anything in proceedings under this section that he could not be compelled to give or produce in criminal proceedings in the part of the United Kingdom in which the nominated court has jurisdiction.
- (5) If in order to comply with the request it is necessary for the evidence received by the court to be verified in any manner, the notice nominating the court shall specify the nature of the verification required.
- (6) No order for costs shall be made in proceedings under this section.

Commencement

Pt 3 s. 29(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

30 Taking or production of evidence: further provisions

- (1) The following provisions apply in relation to proceedings before a nominated court under section 29 and the evidence received in the proceedings.
- (2) The court may, if it thinks it necessary in order to protect—
 - (a) victims and witnesses, or a person alleged to have committed an ICC crime, or
 - (b) confidential or sensitive information,direct that the public be excluded from the court.
- (3) The court shall ensure that a register is kept of the proceedings that indicates, in particular—
 - (a) which persons with an interest in the proceedings were present,
 - (b) which of those persons were represented and by whom, and
 - (c) whether any of those persons was denied the opportunity of cross-examining a witness as to any part of his testimony.
- (4) The register shall not be open to inspection except as authorised by the Secretary of State or with the leave of the court.
- (5) A copy of the register of the proceedings shall be sent to the Secretary of State for transmission to the ICC.

Commencement

Pt 3 s. 30(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

31 Service of process

- (1) This section applies where the Secretary of State receives from the ICC a summons or other document together with a request for it to be served on a person in England, Wales or Northern Ireland.
- (2) The Secretary of State may direct the chief officer of police for the area in which the person appears to be to cause the document to be personally served on him.
- (3) If the document is so served, the chief officer of police shall forthwith inform the Secretary of State when and how it was served.
- (4) If it does not prove possible to serve the document, the chief officer of police shall forthwith inform the Secretary of State of that fact and of the reason.
- (5) In the application of this section to Northern Ireland the reference to the chief officer of police shall be read as a reference to the Chief Constable of the Royal Ulster Constabulary.

Commencement

Pt 3 s. 31(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

32 Transfer of prisoner to give evidence or assist in investigation

- (1) This section applies where the Secretary of State receives a request from the ICC for the temporary transfer of a prisoner to the ICC for purposes of identification or for obtaining testimony or other assistance.
- (2) Where the prisoner is detained in Scotland, the Secretary of State shall transmit the request to the Scottish Ministers.
- (3) The relevant Minister may issue a warrant (a “transfer warrant”) requiring the prisoner to be delivered up, in accordance with arrangements made by the relevant Minister with the ICC, into the custody of the ICC.
- (4) A transfer warrant shall not be issued unless the prisoner consents to the transfer, but consent may not be withdrawn after the issue of the warrant.
- (5) The following provisions of Part 2 of this Act apply in relation to a transfer warrant under this section as they apply in relation to a delivery order under that Part—
 section 15 (effect of delivery order), and
 section 24 and Schedule 2 (delivery up of persons subject to criminal proceedings, &c.).
- (6) In this section “prisoner” means—
 (a) a person serving a sentence in a prison to which the Prison Act 1952 (c. 52) or the Prison Act (Northern Ireland) 1953 (c. 18(N.I.)) applies,
 (b) a person serving a sentence in a prison, or in a young offenders institution, to which the Prisons (Scotland) Act 1989 (c. 45) applies,
 (c) a person serving a sentence of [service detention (within the meaning of the Armed Forces Act 2006)]⁵ or imprisonment imposed by a service court,
 (d) a person detained in custody otherwise than in pursuance of a sentence, including in particular—
 (i) a person in custody awaiting trial or sentence,
 (ii) a person committed to prison for contempt or for default in paying a fine,
 (iii) a person in custody in connection with proceedings to which Part 2 or 3 of Schedule 2 applies (extradition or other delivery proceedings),
 (iv) a person detained under any provision of the Immigration Act 1971 (c. 77) [or the Nationality, Immigration and Asylum Act 2002]⁶ .
- (7) For the purposes of the Immigration Acts (within the meaning [given by section 158 of the Nationality, Immigration and Asylum Act 2002]⁷) a person detained under any provision of the Immigration Act 1971 [or the Nationality, Immigration and Asylum Act 2002]⁸ is not to be regarded as having left the United Kingdom at any time when a transfer warrant is in force in respect of him (including any time when he is in the custody of the ICC).
- (8) In this section, “the relevant Minister” means—

⁵ Word substituted by Armed Forces Act 2006 c. 52 Sch.16 para.188 (October 31, 2009)

⁶ Words inserted by Nationality, Immigration and Asylum Act 2002 (Consequential and Incidental Provisions) Order 2003/1016 Sch.1 para.13(1) (April 4, 2003)

⁷ Words substituted by Nationality, Immigration and Asylum Act 2002 (Consequential and Incidental Provisions) Order 2003/1016 Sch.1 para.13(2)(a) (April 4, 2003)

⁸ Words inserted by Nationality, Immigration and Asylum Act 2002 (Consequential and Incidental Provisions) Order 2003/1016 Sch.1 para.13(2)(b) (April 4, 2003)

- (a) in relation to a person detained in England and Wales or Northern Ireland, the Secretary of State;
- (b) in relation to a person detained in Scotland, the Scottish Ministers.

Commencement

Pt 3 s. 32(1)-(8)(b): September 1, 2001 (SI 2001/2161 art. 2)

33 Entry, search and seizure

(1) This section applies where the Secretary of State receives from the ICC a request for assistance which appears to him to require the exercise of any of the powers conferred by Part 2 of the Police and Criminal Evidence Act 1984 (c. 60) or, in Northern Ireland, Part III of the Police and Criminal Evidence (Northern Ireland) Order 1989 (S.I. 1989/1341 (N.I. 12)) (powers of entry, search and seizure).

(2) The Secretary of State may direct a constable to apply for a warrant or order under the relevant Part, which shall apply in relation to an ICC crime as it applies [(in the case of Part 2 of the 1984 Act) to an indictable offence or (in the case of Part III of the 1989 Order) to a serious arrestable offence]⁹ .

Commencement

Pt 3 s. 33(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

34 Taking of fingerprints or non-intimate sample

(1) The provisions of Schedule 4 have effect with respect to the taking of fingerprints or a non-intimate sample in response to a request from the ICC for assistance in obtaining evidence as to the identity of a person.

(2) In subsection (1) and that Schedule “fingerprints” and “non-intimate sample” have the meaning given by section 65 of the Police and Criminal Evidence Act 1984 or, in Northern Ireland, Article 53 of the Police and Criminal Evidence (Northern Ireland) Order 1989.

Commencement

Pt 3 s. 34(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

35 Orders for exhumation

Proceedings before the ICC in respect of an ICC crime are criminal proceedings for the purposes of section 23 of the Coroners Act 1988 (c. 13) or section 11 of the Coroners Act (Northern Ireland) 1959 (c.15(N.I.)) (power of coroner to order exhumation).

⁹ Words substituted by Serious Organised Crime and Police Act 2005 c. 15 Sch.7(3) para.49(2) (January 1, 2006 subject to transitory provisions specified in SI 2005/3495 art.2(2))

Commencement

Pt 3 s. 35: September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Pt 3 s. 35: words substituted by Coroners and Justice Act 2009 c. 25 Sch. 21(1) para. 45 (date to be appointed)

36 Provision of records and documents

(1) This section applies where the Secretary of State receives a request from the ICC for the provision of records and documents relating to—

- (a) the evidence given in any proceedings in England and Wales or Northern Ireland in respect of conduct that would constitute an ICC crime, or
- (b) the results of any investigation of such conduct with a view to such proceedings.

(2) The Secretary of State shall take such steps as appear to him to be appropriate to obtain the records and documents requested, and on their being produced to him he shall transmit them to the ICC.

Commencement

Pt 3 s. 36(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

37 Investigation of proceeds of ICC crime

(1) Where the Secretary of State receives a request from the ICC for assistance—

- (a) in ascertaining whether a person has benefited from an ICC crime, or
- (b) in identifying the extent or whereabouts of property derived directly or indirectly from an ICC crime,

the Secretary of State may direct a constable to apply for an order or warrant under Schedule 5.

(2) In that Schedule—

- Part 1 makes provision for production or access orders,
- Part 2 makes provision for the issuing of search warrants, and
- Part 3 contains supplementary provisions.

Commencement

Pt 3 s. 37(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

38 Freezing orders in respect of property liable to forfeiture

Where the Secretary of State receives a request from the ICC for assistance in the freezing or seizure of proceeds, property and assets or instrumentalities of crime for the purpose of eventual forfeiture, he may—

- (a) authorise a person to act on behalf of the ICC for the purposes of applying for a freezing order, and

- (b) direct that person to apply for such an order under Schedule 6.

Commencement

Pt 3 s. 38(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

National security

39 Production or disclosure prejudicial to national security

(1) Nothing in any of the provisions of this Part, or any corresponding provision of an Act of the Scottish Parliament, requires or authorises the production of documents, or the disclosure of information, which would be prejudicial to the security of the United Kingdom.

(2) For the purposes of any such provision a certificate signed by or on behalf of the Secretary of State to the effect that it would be prejudicial to the security of the United Kingdom for specified documents to be produced, or for specified information to be disclosed, is conclusive evidence of that fact.

Commencement

Pt 3 s. 39(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

Supplementary provisions

40 Verification of material

If in order to comply with a request of the ICC it is necessary for any evidence or other material obtained under this Part to be verified in any manner, the Secretary of State may give directions as to the nature of the verification required.

Commencement

Pt 3 s. 40: September 1, 2001 (SI 2001/2161 art. 2)

41 Transmission of material to the ICC

(1) Any evidence or other material obtained under this Part by a person other than the Secretary of State, together with any requisite verification, shall be sent to the Secretary of State for transmission to the ICC.

(2) Where any evidence or other material is to be transmitted to the ICC, there shall be transmitted—
(a) where the material consists of a document, the original or a copy, and
(b) where the material consists of any other article, the article itself or a photograph or other description of it,
as may be necessary to comply with the request of the ICC.

Commencement

Pt 3 s. 41(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

PART 4**ENFORCEMENT OF SENTENCES AND ORDERS***Sentences of imprisonment***42 Detention in the United Kingdom in pursuance of ICC sentence**

- (1) This section applies where—
- (a) the United Kingdom is designated by the ICC as the state in which a person (“the prisoner”) is to serve a sentence of imprisonment imposed by the ICC, and
 - (b) the Secretary of State informs the ICC that the designation is accepted.
- (2) Where the Secretary of State is minded that the prisoner should be detained in Scotland—
- (a) he shall consult the Scottish Ministers, and
 - (b) if the Scottish Ministers agree that the prisoner should be detained in Scotland, they shall issue a warrant authorising the bringing of the prisoner to Scotland.
- (3) Where subsection (2) does not apply or the Scottish Ministers do not agree, the Secretary of State shall issue a warrant authorising—
- (a) the bringing of the prisoner to England and Wales or Northern Ireland,
 - (b) the detention of the prisoner there in accordance with the sentence of the ICC, and
 - (c) the taking of the prisoner to a specified place where he is to be detained.
- The provisions of the warrant may be varied by the Secretary of State, and shall be so varied to give effect to any variation of the ICC's sentence.
- (4) A prisoner subject to a warrant authorising his detention in England and Wales or Northern Ireland shall be treated for all purposes, subject to subsection (5) and Schedule 7, as if he were subject to a sentence of imprisonment imposed in exercise of its criminal jurisdiction by a court in the part of the United Kingdom in which he is to be detained.
- (5) The following enactments do not apply to a person detained in pursuance of a sentence of the ICC—
- (a) the Repatriation of Prisoners Act 1984 (c. 47),
 - (b) Schedule 1 to the Crime (Sentences) Act 1997 (c. 43) (transfer of prisoners within the British Islands).

As to transfer of such a person within the United Kingdom, see sections 44 and 45 below.

- (6) Schedule 7 excludes the operation of certain statutory provisions in relation to a person detained in England and Wales or Northern Ireland in pursuance of a sentence of the ICC.

Commencement

Pt 4 s. 42(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

43 Temporary return or transfer of custody to another state

- (1) This section applies where the Secretary of State receives a request from the ICC—
 - (a) for the temporary return of the prisoner to the custody of the ICC for the purposes of any proceedings, or
 - (b) for the transfer of the prisoner to the custody of another state in pursuance of a change in designation of state of enforcement.
- (2) If the prisoner is detained in Scotland, the Secretary of State shall transmit the request to the Scottish Ministers.
- (3) The relevant Minister shall—
 - (a) issue a warrant authorising the prisoner's temporary return or transfer in accordance with the request,
 - (b) make the necessary arrangements with the ICC or, as the case may be, the other state, and
 - (c) give such directions as to the custody, surrender and (where appropriate) return of the prisoner as appear to him appropriate to give effect to the arrangements.
- (4) Where the prisoner is temporarily returned to the custody of the ICC, the warrant authorising his detention in any part of the United Kingdom shall continue to have effect so as to apply to him again on his return.
- (5) In this section “the relevant Minister” means –
 - (a) in relation to a person detained in England and Wales or Northern Ireland, the Secretary of State, and
 - (b) in relation to a person detained in Scotland, the Scottish Ministers.

Commencement

Pt 4 s. 43(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

44 Transfer to another part of the United Kingdom: transfer of ICC sentence

- (1) The relevant Minister may make an order for the transfer of the prisoner to another part of the United Kingdom to serve the whole or part of the remainder of the ICC sentence there.
- (2) No such order shall be made—
 - (a) for the transfer of the prisoner to Scotland without the agreement of the Scottish Ministers, or
 - (b) for the transfer of the prisoner from Scotland without the agreement of the Secretary of State.
- (3) An order under this section shall be subject to such conditions (if any) as the relevant Minister may impose from time to time.
- (4) If an order is made under this section the warrant authorising the prisoner's detention in the part of the United Kingdom from which he is transferred—
 - (a) shall continue to have effect, and
 - (b) shall have effect as if it were a warrant authorising his detention in the part of the United Kingdom to which he is transferred.

(5) A prisoner transferred under this section to England and Wales or Northern Ireland shall be treated for all purposes, subject as mentioned in section 42(4), as if he were serving a sentence of imprisonment imposed in exercise of its criminal jurisdiction by a court in the part of the United Kingdom to which he is transferred.

(6) In this section “the relevant Minister” means—

- (a) in relation to a person detained in England and Wales or Northern Ireland, the Secretary of State, and
- (b) in relation to a person detained in Scotland, the Scottish Ministers.

Commencement

Pt 4 s. 44(1)-(6)(b): September 1, 2001 (SI 2001/2161 art. 2)

45 Transfer to another part of the United Kingdom: transfer for temporary purposes

(1) This section applies where it appears to the relevant Minister—

- (a) that the prisoner should be transferred to another part of the United Kingdom for the purpose of attending criminal proceedings against him there, or
- (b) that the attendance of the prisoner at a place in another part of the United Kingdom is desirable in the interests of justice, or for the purposes of any public inquiry.

(2) The relevant Minister may make an order for the transfer of the prisoner to that part of the United Kingdom.

(3) No such order shall be made—

- (a) for the transfer of the prisoner to Scotland without the agreement of the Scottish Ministers, or
- (b) for the transfer of the prisoner from Scotland without the agreement of the Secretary of State.

(4) An order under this section shall be subject to such conditions (if any) as the relevant Minister thinks fit to impose.

Any such conditions may be varied or removed at any time.

(5) Where an order is made under this section—

- (a) the warrant authorising the prisoner's detention in the part of the United Kingdom from which he is transferred shall continue to have effect, and
- (b) he shall be returned to that part of the United Kingdom when the purposes for which the order is made are fulfilled.

(6) In this section “the relevant Minister” means—

- (a) in relation to a person detained in England and Wales or Northern Ireland, the Secretary of State, and
- (b) in relation to a person detained in Scotland, the Scottish Ministers.

Commencement

Pt 4 s. 45(1)-(6)(b): September 1, 2001 (SI 2001/2161 art. 2)

46 Domestic sentence current at end of term of ICC sentence

- (1) Where a person who completes a term of imprisonment imposed by the ICC—
- (a) is still subject to a domestic sentence of imprisonment, whether imposed before or during his imprisonment in pursuance of the sentence of the ICC, and
 - (b) has been transferred to another part of the United Kingdom under section 44 or 45,
- he shall be treated as if he had been transferred from the part of the United Kingdom in which the domestic sentence was imposed, by order under Schedule 1 to the Crime (Sentences) Act 1997 (c. 43), on a restricted transfer subject to such conditions as the relevant Minister may consider appropriate.
- (2) In subsection (1)—
- (a) a “domestic sentence” means a sentence imposed by a court in the United Kingdom, and
 - (b) “the relevant Minister” means—
 - (i) where the domestic sentence was imposed in England and Wales or Northern Ireland, the Secretary of State, and
 - (ii) where the domestic sentence was imposed in Scotland, the Scottish Ministers.

Commencement

Pt 4 s. 46(1)-(2)(b)(ii): September 1, 2001 (SI 2001/2161 art. 2)

47 Custody of prisoner in transit, &c

- (1) The following provisions of this section apply in relation to times when the prisoner is subject to a warrant under any provision of this Part, or any corresponding provision of an Act of the Scottish Parliament, but is not in legal custody under the Prison Act 1952 (c. 52), the Prisons (Scotland) Act 1989 (c. 45) or the Prison Act (Northern Ireland) 1953 (c.18(N.I.)).
- (2) The prisoner shall be deemed to be in the legal custody of the relevant Minister at any time when, being—
- (a) in the United Kingdom, or
 - (b) on board a British ship, a British aircraft or a British hovercraft,
- he is being taken to or from any place or is being kept in custody.
- (3) The relevant Minister may, from time to time, designate a person as a person who is for the time being authorised to take the prisoner to or from any place or to keep the prisoner in custody.
- (4) A person so authorised has all the powers, authority, protection and privileges—
- (a) of a constable in the part of the United Kingdom in which that person is for the time being, or
 - (b) if he is outside the United Kingdom, of a constable in the part of the United Kingdom to or from which the prisoner is to be taken.
- (5) If the prisoner escapes or is unlawfully at large, he may be arrested without warrant by a constable and taken to any place to which he may be taken under the warrant referred to in subsection (1).
- In this subsection “constable”, in relation to any part of the United Kingdom, means—
- (a) a person who is a constable in that or any other part of the United Kingdom, or

(b) a person who, at the place in question, has under any enactment (including subsection (4)) the powers of a constable in that or any other part of the United Kingdom.

(6) In this section “the relevant Minister” means—

(a) in relation to a person who is, or is to be, detained in England and Wales or Northern Ireland, the Secretary of State, and

(b) in relation to a person who is, or is to be, detained in Scotland, the Scottish Ministers.

Commencement

Pt 4 s. 47(1)-(6)(b): September 1, 2001 (SI 2001/2161 art. 2)

48 Interpretation of ss. 42 to 47

(1) Any reference in sections 42 to 47 to a person being detained in a part of the United Kingdom is to his being subject to a warrant authorising his detention there.

(2) References to such a warrant include, unless the context otherwise requires, a warrant issued under an Act of the Scottish Parliament authorising his detention in Scotland.

Commencement

Pt 4 s. 48(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

Other orders

49 Power to make provision for enforcement of other orders

(1) The Secretary of State may make provision by regulations for the enforcement in England and Wales or Northern Ireland of—

(a) fines or forfeitures ordered by the ICC, and

(b) orders by the ICC against convicted persons specifying reparations to, or in respect of, victims.

(2) The regulations may authorise the Secretary of State—

(a) to appoint a person to act on behalf of the ICC for the purposes of enforcing the order, and

(b) to give such directions to the appointed person as appear to him necessary.

(3) The regulations shall provide for the registration of the order by a court in England and Wales or Northern Ireland as a precondition of enforcement.

An order shall not be so registered unless the court is satisfied that the order is in force and not subject to appeal.

If the order has been partly complied with, the court shall register the order for enforcement only so far as it has not been complied with.

(4) The regulations may provide that—

(a) for the purposes of enforcement an order so registered has the same force and effect,

(b) the same powers are exercisable in relation to its enforcement, and

(c) proceedings for its enforcement may be taken in the same way,

as if the order were an order of a court in England and Wales or Northern Ireland.

The regulations may for that purpose apply all or any of the provisions (including provisions of subordinate legislation) relating to the enforcement in England and Wales or Northern Ireland of orders of a court of a country or territory outside the United Kingdom.

(5) A court shall not exercise its powers of enforcement under the regulations in relation to any property unless it is satisfied—

- (a) that a reasonable opportunity has been given for persons holding any interest in the property to make representations to the court, and
- (b) that the exercise of the powers will not prejudice the rights of bona fide third parties.

(6) The regulations may provide that the reasonable costs of and incidental to the registration and enforcement of an order are recoverable as if they were sums recoverable under the order.

(7) Regulations under this section—

- (a) may make different provision for different kinds of order, and
- (b) shall be made by statutory instrument which shall be subject to annulment in pursuance of a resolution of either House of Parliament.

Commencement

Pt 4 s. 49(1)-(7)(b): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

PART 5

OFFENCES UNDER DOMESTIC LAW

Introduction

50 Meaning of “genocide”, “crime against humanity” and “war crime”

(1) In this Part—

- “genocide” means an act of genocide as defined in article 6,
- “crime against humanity” means a crime against humanity as defined in article 7, and
- “war crime” means a war crime as defined in article 8.2.

(2) In interpreting and applying the provisions of those articles the court shall take into account—

- (a) any relevant Elements of Crimes adopted in accordance with article 9, and
- (b) until such time as Elements of Crimes are adopted under that article, any relevant Elements of Crimes contained in the report of the Preparatory Commission for the International Criminal Court adopted on 30th June 2000.

(3) The Secretary of State shall set out in regulations the text of the Elements of Crimes referred to in subsection (2), as amended from time to time.

The regulations shall be made by statutory instrument which shall be laid before Parliament after being made.

(4) The articles referred to in subsection (1) shall for the purposes of this Part be construed subject to and in accordance with any relevant reservation or declaration made by the United Kingdom when ratifying any treaty or agreement relevant to the interpretation of those articles.

Her Majesty may by Order in Council—

(a) certify that such a reservation or declaration has been made and the terms in which it was made;

(b) if any such reservation or declaration is withdrawn (in whole or part), certify that fact and revoke or amend any Order in Council containing the terms of that reservation or declaration.

(5) In interpreting and applying the provisions of the articles referred to in subsection (1) the court shall take into account any relevant judgment or decision of the ICC.

Account may also be taken of any other relevant international jurisprudence.

(6) The relevant provisions of the articles of the ICC Statute referred to this section are set out in Schedule 8 to this Act.

No account shall be taken for the purposes of this Part of any provision of those articles omitted from the text set out in that Schedule.

Commencement

Pt 5 s. 50(1)-(2)(b), (5)-(6): September 1, 2001 (SI 2001/2161 art. 2, art. 3)

Pt 5 s. 50(3): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

Pt 5 s. 50(4)-(4)(b): June 13, 2001 (SI 2001/2161 art. 2, art. 3)

England and Wales

51 Genocide, crimes against humanity and war crimes

(1) It is an offence against the law of England and Wales for a person to commit genocide, a crime against humanity or a war crime.

(2) This section applies to acts committed—

(a) in England or Wales, or

(b) outside the United Kingdom by a United Kingdom national, a United Kingdom resident or a person subject to UK service jurisdiction.

Commencement

Pt 5 s. 51(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

52 Conduct ancillary to genocide, etc. committed outside jurisdiction

(1) It is an offence against the law of England and Wales for a person to engage in conduct ancillary to an act to which this section applies.

(2) This section applies to an act that if committed in England or Wales would constitute—

(a) an offence under section 51 (genocide, crime against humanity or war crime), or

(b) an offence under this section, but which, being committed (or intended to be committed) outside England and Wales, does not constitute such an offence.

(3) The reference in subsection (1) to conduct ancillary to such an act is to conduct that would constitute an ancillary offence in relation to that act if the act were committed in England or Wales.

(4) This section applies where the conduct in question consists of or includes an act committed—
 (a) in England or Wales, or
 (b) outside the United Kingdom by a United Kingdom national, a United Kingdom resident or a person subject to UK service jurisdiction.

Commencement

Pt 5 s. 52(1)-(4)(b): September 1, 2001 (SI 2001/2161 art. 2)

53 Trial and punishment of main offences

(1) The following provisions apply in relation to—
 (a) offences under section 51 (genocide, crimes against humanity and war crimes),
 (b) offences under section 52 (conduct ancillary to genocide, etc. committed outside jurisdiction), and
 (c) offences ancillary to an offence within paragraph (a) or (b) above.

(2) The offence is triable only on indictment.

(3) Proceedings for an offence shall not be instituted except by or with the consent of the Attorney General.

(4) If the offence is not committed in England or Wales—
 (a) proceedings may be taken, and
 (b) the offence may for incidental purposes be treated as having been committed, in any place in England or Wales.

(5) A person convicted of—
 (a) an offence involving murder, or
 (b) an offence ancillary to an offence involving murder, shall be dealt with as for an offence of murder or, as the case may be, the corresponding ancillary offence in relation to murder.

In this subsection “murder” means the killing of a person in such circumstances as would, if committed in England or Wales, constitute murder.

(6) In any other case a person convicted of an offence is liable to imprisonment for a term not exceeding 30 years.

[(7) Subsections (5) and (6) are subject to section 65B (restriction of penalties in relation to retrospective application of certain offences).]¹⁰

¹⁰ Added by Coroners and Justice Act 2009 c. 25 Pt 2 c.3 s.70(2) (April 6, 2010)

Commencement

Pt 5 s. 53(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

54 Offences in relation to the ICC

(1) A person intentionally committing any of the acts mentioned in article 70.1 (offences against the administration of justice in relation to the ICC) may be dealt with as for the corresponding domestic offence committed in relation to a superior court in England and Wales.

(2) In interpreting and applying the provisions of article 70.1 the court shall take into account any relevant judgment or decision of the ICC.

Account may also be taken of any other relevant international jurisprudence.

(3) The corresponding domestic offences are—

- (a) in relation to article 70.1(a) (giving false testimony when under an obligation to tell the truth), an offence against section 1(1) of the Perjury Act 1911 (c. 6);
- (b) in relation to article 70.1(c) (interference with witness or evidence), an offence against section 51 of the Criminal Justice and Public Order Act 1994 (c. 33) or at common law;
- (c) in relation to article 70.1(b) or (d) to (f) (other offences), an offence at common law.

(4) This section and, so far as may be necessary for the purposes of this section, the enactments and rules of law relating to the corresponding domestic offences apply to acts committed—

- (a) in England or Wales, or
- (b) outside the United Kingdom by a United Kingdom national, a United Kingdom resident or a person subject to UK service jurisdiction.

(5) Proceedings for an offence under this section, or for an offence ancillary to such an offence, shall not be instituted except by or with the consent of the Attorney General.

(6) If an offence under this section, or an offence ancillary to such an offence, is not committed in England or Wales—

- (a) proceedings may be taken, and
- (b) the offence may for incidental purposes be treated as having been committed,

in any place in England or Wales.

(7) The relevant provisions of article 70.1 are set out in Schedule 9 to this Act.

Commencement

Pt 5 s. 54(1)-(7): September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Pt 5 s. 54(3): modified by Bribery Act 2010 c. 23 Sch. 1 para. 4 (date to be appointed)

55 Meaning of “ancillary offence”

(1) References in this Part to an ancillary offence under the law of England and Wales are to—

- (a) aiding, abetting, counselling or procuring the commission of an offence,

- (b) inciting a person to commit an offence,
- (c) attempting or conspiring to commit an offence, or
- (d) assisting an offender or concealing the commission of an offence.

(2) In subsection (1)(a) the reference to aiding, abetting, counselling or procuring is to conduct that in relation to an indictable offence would be punishable under section 8 of the Accessories and Abettors Act 1861 (c. 94).

(3) [...] ¹¹

(4) In subsection (1)(c)–

- (a) the reference to an attempt is to conduct amounting to an offence under section 1 of the Criminal Attempts Act 1981 (c. 47); and
- (b) the reference to conspiracy is to conduct amounting to an offence of conspiracy under section 1 of the Criminal Law Act 1977 (c. 45).

(5) In subsection (1)(d)–

- (a) the reference to assisting an offender is to conduct that in relation to [a relevant offence] ¹² would amount to an offence under section 4(1) of the Criminal Law Act 1967 (c. 58); and
- (b) the reference to concealing an offence is to conduct that in relation to [a relevant offence] ¹² would amount to an offence under section 5(1) of that Act.

Commencement

Pt 5 s. 55(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

56 Saving for general principles of liability, etc

(1) In determining whether an offence under this Part has been committed the court shall apply the principles of the law of England and Wales.

(2) Nothing in this Part shall be read as restricting the operation of any enactment or rule of law relating to–

- (a) the extra-territorial application of offences (including offences under this Part), or
- (b) offences ancillary to offences under this Part (wherever committed).

Commencement

Pt 5 s. 56(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

57 Protection of victims and witnesses

(1) The enactments specified below (which make provision for the protection of victims and witnesses of certain offences) have effect–

¹¹ Repealed by Serious Crime Act 2007 c. 27 Sch.14 para.1 (October 1, 2008 as SI 2008/2504)

¹² Words substituted by Serious Organised Crime and Police Act 2005 c. 15 Sch.7(3) para.49(3) (January 1, 2006 subject to transitory provisions specified in SI 2005/3495 art.2(2))

- (a) as if any reference in those provisions to a specific substantive offence included an offence under section 51 involving conduct constituting that offence; and
 - (b) as if any reference in those provisions to a specific ancillary offence included—
 - (i) that ancillary offence in relation to an offence under section 51 involving conduct constituting the substantive offence in question, and
 - (ii) an offence under section 52 involving conduct constituting that ancillary offence in relation to an act to which that section applies involving conduct constituting the substantive offence in question.
- (2) The enactments are—
- the Sexual Offences (Amendment) Act 1976 (c. 82) and the Sexual Offences (Amendment) Act 1992 (c. 34) (protection of victims of sexual offences);
 - Chapters 1 to 3 of Part 2 of the Youth Justice and Criminal Evidence Act 1999 (c. 23) (protection of witnesses and complainants); and
 - the Sexual Offences (Protected Material) Act 1997 (c. 39) (restrictions on access by defendants and others to material disclosed in connection with proceedings for offences).
- (3) In subsection (1) above—
- (a) “substantive offence” means an offence other than an ancillary offence; and
 - (b) the reference to conduct constituting an offence is to conduct that would constitute that offence if committed in England and Wales.

Commencement

Pt 5 s. 57(1)-(3)(b): September 1, 2001 (SI 2001/2161 art. 2)

Northern Ireland

58 Genocide, crime against humanity and war crimes

- (1) It is an offence against the law of Northern Ireland for a person to commit genocide, a crime against humanity or a war crime.
- (2) This section applies to acts committed—
- (a) in Northern Ireland, or
 - (b) outside the United Kingdom by a United Kingdom national or a United Kingdom resident.

Commencement

Pt 5 s. 58(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

59 Conduct ancillary to genocide, etc. committed outside jurisdiction

- (1) It is an offence against the law of Northern Ireland for a person to engage in conduct ancillary to an act to which this section applies.
- (2) This section applies to an act that if committed in Northern Ireland would constitute—
- (a) an offence under section 58 (genocide, crime against humanity or war crime), or

(b) an offence under this section, but which, being committed (or intended to be committed) outside Northern Ireland, does not constitute such an offence.

(3) The reference in subsection (1) to conduct ancillary to such an act is to conduct that would constitute an ancillary offence in relation to that act if the act were committed in Northern Ireland.

(4) This section applies where the conduct in question consists of or includes an act committed—
 (a) in Northern Ireland, or
 (b) outside the United Kingdom by a United Kingdom national or a United Kingdom resident.

Commencement

Pt 5 s. 59(1)-(4)(b): September 1, 2001 (SI 2001/2161 art. 2)

60 Trial and punishment of main offences

(1) The following provisions apply in relation to—
 (a) offences under section 58 (genocide, crimes against humanity and war crimes),
 (b) offences under section 59 (conduct ancillary to genocide, etc. committed outside jurisdiction), and
 (c) offences ancillary to an offence within paragraph (a) or (b) above.

(2) The offence is triable only on indictment.

(3) Proceedings for an offence shall not be instituted except by or with the consent of the Attorney General for Northern Ireland.

(4) If the offence is not committed in Northern Ireland—
 (a) proceedings may be taken, and
 (b) the offence may for incidental purposes be treated as having been committed,
 in any place in Northern Ireland.

(5) A person convicted of—
 (a) an offence involving murder, or
 (b) an offence ancillary to an offence involving murder,
 shall be dealt with as for an offence of murder or, as the case may be, the corresponding ancillary offence in relation to murder.

In this subsection “murder” means the killing of a person in such circumstances as would, if committed in Northern Ireland, constitute murder.

(6) In any other case a person convicted of an offence is liable to imprisonment for a term not exceeding 30 years.

[(7) Subsections (5) and (6) are subject to section 65B (restriction of penalties in relation to retrospective application of certain offences).]¹³

¹³ Added by Coroners and Justice Act 2009 c. 25 Pt 2 c.3 s.70(2) (April 6, 2010)

Commencement

Pt 5 s. 60(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

61 Offences in relation to the ICC

- (1) A person intentionally committing any of the acts mentioned in article 70.1 (offences against the administration of justice in relation to the ICC) may be dealt with as for the corresponding domestic offence committed in relation to a superior court in Northern Ireland.
- (2) In interpreting and applying the provisions of article 70.1 the court shall take into account any relevant judgment or decision of the ICC.
Account may also be taken of any other relevant international jurisprudence.
- (3) The corresponding domestic offences are—
- (a) in relation to article 70.1(a) (giving false testimony when under an obligation to tell the truth), an offence against Article 3(1) of the Perjury (Northern Ireland) Order 1979 (S.I. 1979/1714 (N.I. 19));
 - (b) in relation to article 70.1(b) to (f) (other offences), an offence at common law.
- (4) This section and, so far as may be necessary for the purposes of this section, the enactments and rules of law relating to the corresponding domestic offences apply to acts committed—
- (a) in Northern Ireland, or
 - (b) outside the United Kingdom by a United Kingdom national or a United Kingdom resident.
- (5) Proceedings for an offence under this section, or for an offence ancillary to such an offence, shall not be instituted except by or with the consent of the Attorney General for Northern Ireland.
- (6) If an offence under this section, or an offence ancillary to such an offence, is not committed in Northern Ireland—
- (a) proceedings may be taken, and
 - (b) the offence may for incidental purposes be treated as having been committed, in any place in Northern Ireland.
- (7) The relevant provisions of article 70.1 are set out in Schedule 9 to this Act.

Commencement

Pt 5 s. 61(1)-(7): September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Pt 5 s. 61(3)(b): words inserted by Bribery Act 2010 c. 23 Sch. 1 para. 5 (date to be appointed)

62 Meaning of “ancillary offence”

- (1) References in this Part to an ancillary offence under the law of Northern Ireland are to—
- (a) aiding, abetting, counselling or procuring the commission of an offence,
 - (b) inciting a person to commit an offence,

- (c) attempting or conspiring to commit an offence, or
- (d) assisting an offender or concealing the commission of an offence.

(2) In subsection (1)(a) the reference to aiding, abetting, counselling or procuring is to conduct that in relation to an indictable offence would be punishable under section 8 of the Accessories and Abettors Act 1861 (c. 94).

(3) [...] ¹⁴

(4) In subsection (1)(c)–

- (a) the reference to an attempt is to conduct amounting to an offence under Article 3 of the Criminal Attempts and Conspiracy (Northern Ireland) Order 1983 (S.I. 1983/1120 (N.I. 13)); and
- (b) the reference to conspiracy is to conduct amounting to an offence of conspiracy under Article 9 of that Order.

(5) In subsection (1)(d)–

- (a) the reference to assisting an offender is to conduct that in relation to an arrestable offence would amount to an offence under section 4(1) of the Criminal Law Act (Northern Ireland) 1967 (c. 18 (N.I.)); and
- (b) the reference to concealing an offence is to conduct that in relation to an arrestable offence would amount to an offence under section 5(1) of that Act.

Commencement

Pt 5 s. 62(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

63 Saving for general principles of liability, etc

(1) In determining whether an offence under this Part has been committed the court shall apply the principles of the law of Northern Ireland.

(2) Nothing in this Part shall be read as restricting the operation of any enactment or rule of law relating to–

- (a) the extra-territorial application of offences (including offences under this Part), or
- (b) offences ancillary to offences under this Part (wherever committed).

Commencement

Pt 5 s. 63(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

64 Protection of victims and witnesses

(1) The enactments specified below (which make provision for the protection of victims and witnesses of certain offences) have effect–

- (a) as if any reference in those provisions to a specific substantive offence included an offence under section 58 involving conduct constituting that offence; and
- (b) as if any reference in those provisions to a specific ancillary offence included–

¹⁴ Repealed by Serious Crime Act 2007 c. 27 Sch.14 para.1 (October 1, 2008 as SI 2008/2504)

- (i) that ancillary offence in relation to an offence under section 58 involving conduct constituting the substantive offence in question, and
- (ii) an offence under section 59 involving conduct constituting that ancillary offence in relation to an act to which that section applies involving conduct constituting the substantive offence in question.

(2) The enactments are—

the Sexual Offences (Northern Ireland) Order 1978 (S.I. 1978/460 (N.I. 15)) and the Sexual Offences (Amendment) Act 1992 (c. 34) (protection of victims of sexual offences); and Parts 1 to 4 of the Criminal Evidence (Northern Ireland) Order 1999 (S.I. 1999/2789 (N.I. 8)) (protection of witnesses and complainants).

Until the commencement of the amendments to the Sexual Offences (Amendment) Act 1992 (c. 34) made by Schedule 2 to the Youth Justice and Criminal Evidence Act 1999, the reference above to the 1992 Act shall be read as a reference to Part 3 of the Criminal Justice (Northern Ireland) Order 1994.

(3) In subsection (1) above—

- (a) “substantive offence” means an offence other than an ancillary offence; and
- (b) the reference to conduct constituting an offence is to conduct that would constitute that offence if committed in Northern Ireland.

Commencement

Pt 5 s. 64(1)-(3)(b): September 1, 2001 (SI 2001/2161 art. 2)

Supplementary provisions

65 Responsibility of commanders and other superiors

(1) This section applies in relation to—

- (a) offences under this Part, and
- (b) offences ancillary to such offences.

(2) A military commander, or a person effectively acting as a military commander, is responsible for offences committed by forces under his effective command and control, or (as the case may be) his effective authority and control, as a result of his failure to exercise control properly over such forces where—

- (a) he either knew, or owing to the circumstances at the time, should have known that the forces were committing or about to commit such offences, and
- (b) he failed to take all necessary and reasonable measures within his power to prevent or repress their commission or to submit the matter to the competent authorities for investigation and prosecution.

(3) With respect to superior and subordinate relationships not described in subsection (2), a superior is responsible for offences committed by subordinates under his effective authority and control, as a result of his failure to exercise control properly over such subordinates where—

- (a) he either knew, or consciously disregarded information which clearly indicated, that the subordinates were committing or about to commit such offences,

- (b) the offences concerned activities that were within his effective responsibility and control, and
 - (c) he failed to take all necessary and reasonable measures within his power to prevent or repress their commission or to submit the matter to the competent authorities for investigation and prosecution.
- (4) A person responsible under this section for an offence is regarded as aiding, abetting, counselling or procuring the commission of the offence.
- (5) In interpreting and applying the provisions of this section (which corresponds to article 28) the court shall take into account any relevant judgment or decision of the ICC. Account may also be taken of any other relevant international jurisprudence.
- (6) Nothing in this section shall be read as restricting or excluding—
- (a) any liability of the commander or superior apart from this section, or
 - (b) the liability of persons other than the commander or superior.

Commencement

Pt 5 s. 65(1)-(6)(b): September 1, 2001 (SI 2001/2161 art. 2)

[65A Retrospective application of certain offences

- (1) Sections 51 and 58 apply to acts committed on or after 1 January 1991.
- (2) But those sections do not apply to a crime against humanity, or a war crime within article 8.2(b) or (e), committed by a person before 1 September 2001 unless, at the time the act constituting that crime was committed, the act amounted in the circumstances to a criminal offence under international law.
- (3) Section 52 applies to conduct in which a person engaged on or after 1 January 1991, and in subsections (2) and (3) of that section references to an offence include an act or conduct which would not constitute an offence under the law of England and Wales but for this section.
- (4) Section 59 applies to conduct in which a person engaged on or after 1 January 1991, and in subsections (2) and (3) of that section references to an offence include an act or conduct which would not constitute an offence under the law of Northern Ireland but for this section.
- (5) Any enactment or rule of law relating to an offence ancillary to a relevant Part 5 offence—
- (a) applies to conduct in which a person engaged on or after 1 January 1991, and
 - (b) applies even if the act or conduct constituting the relevant Part 5 offence would not constitute such an offence but for this section.
- (6) But sections 52 and 59, and any enactment or rule of law relating to an offence ancillary to a relevant Part 5 offence, do not apply to—
- (a) conduct in which the person engaged before 1 September 2001, or
 - (b) conduct in which the person engaged on or after that date which was ancillary to an act or conduct which—
 - (i) was committed or engaged in before that date, and
 - (ii) would not constitute a relevant Part 5 offence, or fall within section 52(2) or 59(2), but for this section,

unless, at the time the person engaged in the conduct, it amounted in the circumstances to a criminal offence under international law.

- (7) Section 65, so far as it has effect in relation to relevant Part 5 offences—
- (a) applies to failures to exercise control of the kind mentioned in section 65(2) or (3) which occurred on or after 1 January 1991, and
 - (b) applies even if the act or conduct constituting the relevant Part 5 offence would not constitute such an offence but for this section.
- (8) But section 65, so far as it has effect in relation to relevant Part 5 offences, does not apply to a failure to exercise control of the kind mentioned in section 65(2) or (3) which occurred before 1 September 2001 unless, at the time the failure occurred, it amounted in the circumstances to a criminal offence under international law.
- (9) In this section “relevant Part 5 offence” means an offence under section 51, 52, 58 or 59 or an offence ancillary to such an offence.
-]¹⁵

[65B Modification of penalties: provision supplemental to section 65A

- (1) In the case of a pre-existing E&W offence committed before 1 September 2001, in section 53(6) “30 years” is to be read as “14 years”.
- (2) In the case of an offence of the kind mentioned in section 55(1)(d) which is ancillary to a pre-existing E&W offence committed before 1 September 2001, nothing in section 53(5) and (6) disapplies the penalties provided for in sections 4 and 5 of the Criminal Law Act 1967.
- (3) In the case of a pre-existing NI offence committed before 1 September 2001, in section 60(6) “30 years” is to be read as “14 years”.
- (4) In the case of an offence of the kind mentioned in section 62(1)(d) which is ancillary to a pre-existing NI offence committed before 1 September 2001, nothing in section 60(5) and (6) disapplies the penalties provided for in sections 4 and 5 of the Criminal Law Act (Northern Ireland) 1967.
- (5) In this section—
- “pre-existing E&W offence” means—
 - (a) an offence under section 51 on account of an act constituting genocide, if at the time the act was committed it also amounted to an offence under section 1 of the Genocide Act 1969;
 - (b) an offence under section 51 on account of an act constituting a war crime, if at the time the act was committed it also amounted to an offence under section 1 of the Geneva Conventions Act 1957 (grave breaches of the Conventions);
 - (c) an offence of a kind mentioned in section 55(1)(a) to (c) which is ancillary to an offence within paragraph (a) or (b) above;
 - “pre-existing NI offence” means—
 - (a) an offence under section 58 on account of an act constituting genocide, if at the time the act was committed it also amounted to an offence under section 1 of the Genocide Act 1969;

¹⁵ Added by Coroners and Justice Act 2009 c. 25 Pt 2 c.3 s.70(3) (April 6, 2010)

- (b) an offence under section 58 on account of an act constituting a war crime, if at the time the act was committed it also amounted to an offence under section 1 of the Geneva Conventions Act 1957 (grave breaches of the Conventions);
- (c) an offence of a kind mentioned in section 62(1)(a) to (c) which is ancillary to an offence within paragraph (a) or (b) above.

]¹⁶

66 Mental element

(1) References in this Part to a person committing—

- (a) genocide,
- (b) a crime against humanity,
- (c) a war crime, or
- (d) any of the acts mentioned in article 70.1 (offences against the administration of justice in relation to the ICC),

shall be construed in accordance with this section.

(2) Unless otherwise provided by—

- (a) the articles mentioned in the definition in section 50(1) of the crimes specified in subsection (1)(a) to (c) above, or any relevant Elements of Crimes (see section 50(2)),
- (b) section 54(1) or 61(1) or article 70.1 (offences in relation to the ICC), or
- (c) section 65 (responsibility of commanders and other superiors),

a person is regarded as committing such an act or crime only if the material elements are committed with intent and knowledge.

(3) For this purpose—

- (a) a person has intent—
 - (i) in relation to conduct, where he means to engage in the conduct, and
 - (ii) in relation to a consequence, where he means to cause the consequence or is aware that it will occur in the ordinary course of events; and
- (b) “knowledge” means awareness that a circumstance exists or a consequence will occur in the ordinary course of events.

(4) In interpreting and applying the provisions of this section (which corresponds to article 30) the court shall take into account any relevant judgment or decision of the ICC.

Account may also be taken of any other relevant international jurisprudence.

Commencement

Pt 5 s. 66(1)-(4): September 1, 2001 (SI 2001/2161 art. 2)

67 Meaning of “UK national”, “UK resident” and “person subject to UK service jurisdiction”

(1) In this Part a “United Kingdom national” means an individual who is—

- (a) a British citizen, a [British overseas territories citizen]¹⁷, a British National (Overseas) or a British Overseas Citizen,

¹⁶ Added by Coroners and Justice Act 2009 c. 25 Pt 2 c.3 s.70(3) (April 6, 2010)

¹⁷ Words substituted by British Overseas Territories Act 2002 c. 8 s.2(3) (February 26, 2002)

- (b) a person who under the British Nationality Act 1981 (c. 61) is a British subject, or
- (c) a British protected person within the meaning of that Act.

(2) In this Part a “United Kingdom resident” means a person who is resident in the United Kingdom.

(3) In this Part a “person subject to UK service jurisdiction” means [a person subject to service law, or a civilian subject to service discipline, within the meaning of the Armed Forces Act 2006.]¹⁸

Commencement

Pt 5 s. 67(1)-(3)(c): September 1, 2001 (SI 2001/2161 art. 2)

[67A Supplemental provision about UK residents

(1) To the extent that it would not otherwise be the case, the following individuals are to be treated for the purposes of this Part as being resident in the United Kingdom—

- (a) an individual who has indefinite leave to remain in the United Kingdom;
- (b) any other individual who has made an application for such leave (whether or not it has been determined) and who is in the United Kingdom;
- (c) an individual who has leave to enter or remain in the United Kingdom for the purposes of work or study and who is in the United Kingdom;
- (d) an individual who has made an asylum claim, or a human rights claim, which has been granted;
- (e) any other individual who has made an asylum claim or human rights claim (whether or not the claim has been determined) and who is in the United Kingdom;
- (f) an individual named in an application for indefinite leave to remain, an asylum claim or a human rights claim as a dependant of the individual making the application or claim if—
 - (i) the application or claim has been granted, or
 - (ii) the named individual is in the United Kingdom (whether or not the application or claim has been determined);
- (g) an individual who would be liable to removal or deportation from the United Kingdom but cannot be removed or deported because of section 6 of the Human Rights Act 1998 or for practical reasons;
- (h) an individual—
 - (i) against whom a decision to make a deportation order under section 5(1) of the Immigration Act 1971 by virtue of section 3(5)(a) of that Act (deportation conducive to the public good) has been made,
 - (ii) who has appealed against the decision to make the order (whether or not the appeal has been determined), and
 - (iii) who is in the United Kingdom;
- (i) an individual who is an illegal entrant within the meaning of section 33(1) of the Immigration Act 1971 or who is liable to removal under section 10 of the Immigration and Asylum Act 1999;
- (j) an individual who is detained in lawful custody in the United Kingdom.

¹⁸ Words substituted for s.67(3)(a)-(c) by Armed Forces Act 2006 c. 52 Sch.16 para.189 (October 31, 2009)

(2) When determining for the purposes of this Part whether any other individual is resident in the United Kingdom regard is to be had to all relevant considerations including—

- (a) the periods during which the individual has been or intends to be in the United Kingdom,
- (b) the purposes for which the individual is, has been or intends to be in the United Kingdom,
- (c) whether the individual has family or other connections to the United Kingdom and the nature of those connections, and
- (d) whether the individual has an interest in residential property located in the United Kingdom.

(3) In this section—

“asylum claim” means—

- (a) a claim that it would be contrary to the United Kingdom's obligations under the Refugee Convention for the claimant to be removed from, or required to leave, the United Kingdom, or
- (b) a claim that the claimant would face a real risk of serious harm if removed from the United Kingdom;

“Convention rights” means the rights identified as Convention rights by section 1 of the Human Rights Act 1998;

“detained in lawful custody” means—

- (a) detained in pursuance of a sentence of imprisonment, detention or custody for life or a detention and training order,
- (b) remanded in or committed to custody by an order of a court,
- (c) detained pursuant to an order under section 2 of the Colonial Prisoners Removal Act 1884 or a warrant under section 1 or 4A of the Repatriation of Prisoners Act 1984,
- (d) detained under Part 3 of the Mental Health Act 1983 or by virtue of an order under section 5 of the Criminal Procedure (Insanity) Act 1964 or section 6 or 14 of the Criminal Appeal Act 1968 (hospital orders, etc),
- (e) detained by virtue of an order under Part 6 of the Criminal Procedure (Scotland) Act 1995 (other than an order under section 60C) or a hospital direction under section 59A of that Act, and includes detention by virtue of the special restrictions set out in Part 10 of the Mental Health (Care and Treatment) (Scotland) Act 2003 to which a person is subject by virtue of an order under section 59 of the Criminal Procedure (Scotland) Act 1995, or
- (f) detained under Part 3 of the Mental Health (Northern Ireland) Order 1986 or by virtue of an order under section 11 or 13(5A) of the Criminal Appeal (Northern Ireland) Act 1980;

“human rights claim” means a claim that to remove the claimant from, or to require the claimant to leave, the United Kingdom would be unlawful under section 6 of the Human Rights Act 1998 (public authority not to act contrary to Convention) as being incompatible with the person's Convention rights;

“the Refugee Convention” means the Convention relating to the Status of Refugees done at Geneva on 28 July 1951 and the Protocol to the Convention;

“serious harm” has the meaning given by article 15 of Council Directive 2004/83/EC on minimum standards for the qualification and status of third country nationals or stateless persons as refugees or as persons who otherwise need international protection and the content of the protection granted;

and a reference to having leave to enter or remain in the United Kingdom is to be construed in accordance with the Immigration Act 1971.

(4) This section applies in relation to any offence under this Part (whether committed before or after the coming into force of this section).

]¹⁹

68 Proceedings against persons becoming resident within the jurisdiction

(1) This section applies in relation to a person who commits acts outside the United Kingdom at a time when he is not a United Kingdom national, a United Kingdom resident or a person subject to UK service jurisdiction and who subsequently becomes resident in the United Kingdom.

(2) Proceedings may be brought against such a person in England and Wales or Northern Ireland for a substantive offence under this Part if–

- (a) he is resident in the United Kingdom at the time the proceedings are brought, and
- (b) the acts in respect of which the proceedings are brought would have constituted that offence if they had been committed in that part of the United Kingdom.

(3) Proceedings may be brought against such a person in England and Wales or Northern Ireland for an offence ancillary to a substantive offence under this Part (or what would be such a substantive offence if committed in that part of the United Kingdom) if–

- (a) he is resident in the United Kingdom at the time the proceedings are brought, and
- (b) the acts in respect of which the proceedings are brought would have constituted that offence if they had been committed in that part of the United Kingdom.

(4) In this section a “substantive offence” means an offence other than an ancillary offence.

(5) Nothing in this section shall be read as restricting the operation of any other provision of this Part.

Commencement

Pt 5 s. 68(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

69 References to acts to include omissions, etc

In this Part “act”, except where the context otherwise requires, includes an omission, and references to conduct have a corresponding meaning.

Commencement

Pt 5 s. 69: September 1, 2001 (SI 2001/2161 art. 2)

¹⁹ Added by Coroners and Justice Act 2009 c. 25 Pt 2 c.3 s.70(4) (April 6, 2010)

*Consequential provisions***70 Offences under section 1 of the Geneva Conventions Act 1957**

(1) In section 1 of the Geneva Conventions Act 1957 (c. 52) (punishment of grave breaches of the conventions)–

- (a) in subsection (1), omit the words from “and on conviction on indictment” to the end; and
- (b) omit subsections (3) to (5).

(2) After that section insert–

“1A Trial and punishment of offences under s.1

(1) The following provisions apply in relation to offences under section 1 of this Act.

(2) The offence is triable only on indictment.

(3) Proceedings for an offence shall not be instituted–

- (a) in England and Wales, except by or with the consent of the Attorney General;
- (b) in Northern Ireland, except by or with the consent of the Attorney General for Northern Ireland.

(4) If the offence is not committed in the United Kingdom–

- (a) proceedings may be taken, and
- (b) the offence may for incidental purposes be treated as having been committed, in any place in the United Kingdom.

(5) A person convicted of an offence involving murder shall be dealt with as for an offence of murder.

In this subsection “murder” means the killing of a person in such circumstances as would constitute murder if committed in the part of the United Kingdom in which the proceedings are brought.

(6) In any other case a person convicted of an offence is liable to imprisonment for a term not exceeding 30 years.”.

(3) The above amendments do not apply in relation to offences committed before the commencement of this section.

Commencement

Pt 5 s. 70(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

71 [...]²⁰

72 [...]²¹

²⁰ Repealed by Extradition Act 2003 c. 41 Sch.4 para.1 (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

²¹ Repealed by Extradition Act 2003 c. 41 Sch.4 para.1 (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

73 [...] ²²

74 [...] ²³

PART 6

GENERAL PROVISIONS

Interpretation

75 Meaning of “national court” and “service court”

In this Act—

“national court” means a court in the United Kingdom or a service court; and

[“service court” means—

(a) the Court Martial;

(b) the Service Civilian Court;

(c) the Court Martial Appeal Court; or

(d) the Supreme Court on an appeal brought from the Court Martial Appeal Court.

] ²⁴

Commencement

Pt 6 s. 75 definition of "national court"- definition of "service court" (d): September 1, 2001 (SI 2001/2161 art. 2)

76 Meaning of “British aircraft”, “British hovercraft” and “British ship”

(1) In this Act—

“British aircraft” means a British-controlled aircraft within the meaning of section 92 of the Civil Aviation Act 1982 (c. 16) (application of criminal law to aircraft), or one of Her Majesty's aircraft;

“British hovercraft” means a British-controlled hovercraft within the meaning of that section as applied in relation to hovercraft by virtue of provision made under the Hovercraft Act 1968 (c. 59), or one of Her Majesty's hovercraft; and

“British ship” means a British ship within the meaning of the Merchant Shipping Act 1995 (c. 21), or one of Her Majesty's ships.

(2) References in subsection (1) to Her Majesty's aircraft, hovercraft or ships are to the aircraft, hovercraft or, as the case may be, ships which belong to, or are exclusively employed in the service of, Her Majesty in right of the government of the United Kingdom.

²² Repealed by Extradition Act 2003 c. 41 Sch.4 para.1 (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

²³ Repealed by Armed Forces Act 2006 c. 52 Sch.17 para.1 (October 31, 2009 as SI 2009/1167)

²⁴ Definition substituted by Armed Forces Act 2006 c. 52 Sch.16 para.190 (October 31, 2009)

Commencement

Pt 6 s. 76(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

Application and extent

77 Application of provisions in relation to other International Tribunals

(1) Section 23 (provisions as to state or diplomatic immunity) applies in relation to proceedings under—

(a) the United Nations (International Tribunal) (Former Yugoslavia) Order 1996 (S.I. 1996/716), or

(b) the United Nations (International Tribunal) (Rwanda) Order 1996 (S.I. 1996/1296),
as it applies in relation to proceedings under Part 2 of this Act, with the following adaptations.

(2) The adaptations are—

(a) in subsection (1) omit the words “by reason of a connection with a state party to the ICC Statute”;

(b) omit subsections (2), (3) and (5);

(c) in subsection (4)—

(i) for the reference to the ICC substitute a reference to the relevant International Tribunal, and

(ii) omit the words “or (2)”.

(3) The provisions of sections 42 to 48 (enforcement of sentences of imprisonment) apply, with any necessary modifications, in relation to a sentence of imprisonment imposed by either of the International Tribunals to which the Orders mentioned in subsection (1) above apply as they apply in relation to a sentence of the ICC.

(4) The power conferred by section 1 of the United Nations Act 1946 (c. 45) (power to give effect by Order in Council to measures not involving the use of armed force) includes power to make in relation to any other tribunal of a similar character that may be established by resolution of the Security Council of the United Nations provision corresponding to that made in relation to the ICC by the provisions of this Act mentioned in subsection (1) or (3) above.^[25]²⁶

Commencement

Pt 6 s. 77(1)-(4): September 1, 2001 (SI 2001/2161 art. 2)

[77A The Special Court for Sierra Leone

(1) Her Majesty may by Order in Council make in relation to the Special Court for Sierra Leone provision—

(a) having effect in England and Wales, and

²⁵ In relation to England and Wales: s. 77 is modified: [See Westlaw UK].

²⁶ Heading substituted by International Tribunals (Sierra Leone) Act 2007 c. 7 s.1 (June 18, 2007)

(b) corresponding to that made in relation to the ICC by sections 42 to 48 (enforcement of sentences of imprisonment), with any necessary modifications.

(2) An Order in Council made under this section must be laid before Parliament after it is made.²⁷
] ²⁸

78 Crown application

This Act binds the Crown and applies to persons in the public service of the Crown, and property held for the purposes of the public service of the Crown, as it applies to other persons and property.

Commencement

Pt 6 s. 78: September 1, 2001 (SI 2001/2161 art. 2)

79 Extent

(1) The following provisions of this Act do not extend to Scotland–

(a) Part 3 (other forms of assistance), except section 32 (transfer of prisoner to give evidence or assist in investigation) and section 39 (production or disclosure prejudicial to national security);

(b) section 49 (power to make provision for enforcement of orders other than sentences of imprisonment);

(c) Part 5 (offences under domestic law), except–
section 50(3) (regulations setting out Elements of Crimes),
section 50(4) (Orders in Council specifying relevant reservations or declarations),
section 70 (offences under section 1 of the Geneva Conventions Act 1957 (c. 52)),
and
sections 71 to 73 (extradition);

(d) the repeal by Schedule 10 of the provisions of the Genocide Act 1969 (c. 12) creating the offence of genocide.

(2) This Act extends to Northern Ireland.

(3) Her Majesty may by Order in Council make provision for extending the provisions of this Act, with such exceptions, adaptations or modifications as may be specified in the Order, to any of the Channel Islands, the Isle of Man or any colony.

(4) Section 77 of this Act (application of provisions to other International Tribunals) has the same extent as section 1 of the United Nations Act 1946 (c. 45).

(5) [...] ²⁹

²⁷ In relation to England and Wales: s.77A is inserted.

²⁸ Added by International Tribunals (Sierra Leone) Act 2007 c. 7 s.1 (June 18, 2007)

²⁹ Repealed by Armed Forces Act 2006 c. 52 Sch.17 para.1 (October 31, 2009 as SI 2009/1167)

Commencement

Pt 6 s. 79(1)-(2), (4)-(5): September 1, 2001 (SI 2001/2161 art. 2, art. 3)

Pt 6 s. 79(3): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

80 Power to make provision in relation to Scotland

(1) The Secretary of State may by regulations make such modifications or adaptations of this Act as appear to him appropriate for co-ordinating the provisions of this Act and any corresponding provisions of an Act of the Scottish Parliament.

(2) The regulations may, in particular, make provision—

(a) for the transmission to and from Scottish Ministers of communications from and to the ICC, and

(b) for warrants, orders and other things done under the Scottish provisions to have effect in England and Wales or Northern Ireland as if done under the corresponding provisions of this Act.

(3) Regulations under this section shall be made by statutory instrument which shall be subject to annulment in pursuance of a resolution of either House of Parliament.

Commencement

Pt 6 s. 80(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2, art. 3)

Pt 6 s. 80(3): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise

*Final provisions***81 Index of defined expressions**

In this Act the expressions listed below are defined or otherwise explained by the provisions indicated—

act and conduct (in Part 5)	section 69
ancillary offence (in Part 5)	
—in England and Wales	section 55
—in Northern Ireland	section 62
appropriate judicial officer (in Part 2)	section 26
article	section 1(2)
British aircraft, British hovercraft and British ship	section 76
competent court (in Part 2)	section 26
crime against humanity (in Part 5)	section 50(1)
delivery order (in Part 2)	section 5(3)
detained (in sections 42 to 47)	section 48(1)

genocide (in Part 5)	section 50(1)
the ICC	section 1(1)
ICC crime	section 1(1)
the ICC Statute	section 1(1)
national court	section 75
person subject to UK service jurisdiction (in Part 5)	section 67(3)
the prisoner (in Part 4)	section 42(1)
provisional warrant (in Part 2)	section 3(5)
remand (in Part 2)	section 16
section 2 warrant (in Part 2)	section 2(5)
service court	section 75
United Kingdom national (in Part 5)	section 67(1)
United Kingdom resident (in Part 5)	section 67(2)
war crime (in Part 5)	section 50(1)

Commencement

Pt 6 s. 81: September 1, 2001 (SI 2001/2161 art. 2)

82 Commencement

(1) The provisions of this Act come into force on such day as the Secretary of State may by order appoint.

(2) Any such order shall be made by statutory instrument and may appoint different days for different provisions and purposes.

Commencement

Pt 6 s. 82(1)-(2): May 11, 2001

83 Repeals

The enactments mentioned in Schedule 10 are repealed to the extent specified.

Commencement

Pt 6 s. 83: September 1, 2001 (SI 2001/2161 art. 2)

84 Short title

This Act may be cited as the International Criminal Court Act 2001.

Commencement

Pt 6 s. 84: September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 1

SUPPLEMENTARY PROVISIONS RELATING TO THE ICC

Section 1(3)

1 Legal capacity, privileges and immunities

(1) Her Majesty may by Order in Council confer on the ICC the legal capacities of a body corporate.

(2) Her Majesty may by Order in Council provide that—

(a) the ICC,

(b) the judges, the Prosecutor, the Deputy Prosecutors and the Registrar,[and members of their families who form part of their households,]³⁰

(c) the Deputy Registrar, the staff of the Office of the Prosecutor and the staff of the Registry, [...]³¹

(d) counsel, experts, witnesses and other persons involved in proceedings of the ICC,[and]³¹

[(e) persons attending meetings of the Assembly (including persons attending such meetings as observers and persons invited to such meetings),]³¹

shall have such privileges and immunities as, in the opinion of Her Majesty, are or will be required for giving effect to the ICC Statute or any related agreement to which the United Kingdom, or Her Majesty's government in the United Kingdom, is or will be a party.

[(3) In sub-paragraph (2)(e) “the Assembly” means the Assembly of States Parties to the ICC statute (and includes the subsidiary organs of that Assembly).]³²

Commencement

Sch. 1 para. 1(1)-(2)(d): June 13, 2001 for the purposes of making any Order in Council, order, rules or regulations; September 1, 2001 otherwise (SI 2001/2161 art. 2, art. 3)

2 Power to provide for sittings of the ICC in the UK

(1) Her Majesty may by Order in Council make such provision as appears to Her Majesty to be necessary or expedient to enable sittings of the ICC to be held in the United Kingdom.

(2) Provision may in particular be made with respect to the detention of persons in the custody of the ICC.

Commencement

Sch. 1 para. 2(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

3 Power to give effect to Rules of Procedure and Evidence etc.

Her Majesty may by Order in Council make such provision as appears to Her Majesty to be necessary or expedient for giving effect to—

³⁰ Words added by International Organisations Act 2005 c. 20 s.6(2)(a) (June 7, 2005)

³¹ Added by International Organisations Act 2005 c. 20 s.6(2)(c) (June 7, 2005)

³² Added by International Organisations Act 2005 c. 20 s.6(3) (June 7, 2005)

- (a) any Rules of Procedure and Evidence having effect under article 51, and
- (b) any related agreement to which the United Kingdom, or Her Majesty's government in the United Kingdom, is a party.

Commencement

Sch. 1 para. 3(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

4 Parliamentary approval of draft Orders

No recommendation shall be made to Her Majesty to make an Order in Council under paragraph 1, 2 or 3 unless a draft—

- (a) has been laid before Parliament and approved by a resolution of each House of Parliament, and
- (b) has been laid before, and approved by resolution of, the Scottish Parliament.

Commencement

Sch. 1 para. 4(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

5 Proof of orders, etc. of the ICC

(1) An order, judgment, warrant or request of the ICC which purports—

- (a) to bear the seal of the ICC, or
- (b) to be signed by a person in his capacity as a judge or officer of the ICC,

shall, for the purposes of this Act, be deemed without further proof to have been duly sealed or, as the case may be, to have been signed by that person.

(2) A document, duly authenticated, which purports to be a copy of an order, judgment, warrant or request of the ICC shall, for the purposes of this Act, be deemed without further proof to be a true copy.

For this purpose a document is duly authenticated if it purports to be certified by any person in his capacity as a judge or officer of the ICC.

Commencement

Sch. 1 para. 5(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

6 Evidence about ICC proceedings and orders

(1) For the purposes of this Act a certificate purporting to be issued by or on behalf of the ICC stating—

- (a) that an investigation has been initiated by the Court, or that proceedings before the Court have been instituted and have not been concluded,
- (b) that an order of the Court is in force and is not subject to appeal,
- (c) that property recoverable under a forfeiture order made by the Court remains unrecovered, or
- (d) that any person has been notified of any proceedings in accordance with the ICC Statute,

is admissible in proceedings under this Act as evidence of the facts stated.

(2) In proceedings under Part 2, 3 or 4 of this Act a statement contained in a document, duly authenticated, which purports to have been received in evidence or to be a copy of a document so

received, or to set out or summarise evidence given, in proceedings before the ICC is admissible as evidence of any fact stated in it.

For this purpose a document is duly authenticated if it purports to be certified by any person in his capacity as a judge or officer of the ICC, to have been received in evidence or to be a copy of a document so received, or, as the case may be, to be the original document setting out or summarising the evidence or a true copy of that document.

(3) Nothing in this paragraph affects the admission of any evidence, whether contained in a document or otherwise, which is admissible apart from this paragraph.

Commencement

Sch. 1 para. 6(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

7 Pension provision for UK judges of ICC

(1) The appropriate Minister may by order make provision for securing that a holder of a United Kingdom judicial officer who serves as a judge of the ICC is not worse off as regards pension benefits than if he had not been appointed to the ICC.

(2) The order may—

- (a) entitle an ICC judge who was, immediately before his appointment as an ICC judge, a member of a judicial pension scheme to remain as a member of that scheme, or
- (b) authorise the making of such other arrangements as appear to the Minister to be appropriate.

(3) An order making such provision as is mentioned in sub-paragraph (2)(a) may include such provision as the appropriate Minister considers is necessary to secure—

- (a) that the terms on which an ICC judge remains a member of a judicial pension scheme are those which would have been applicable had he not been appointed as an ICC judge, and
- (b) that entitlement to benefits payable in accordance with the scheme continues to be determined as if, while serving as an ICC judge, his salary was that which would (but for section 68(3)(a) of the Access to Justice Act 1999 (c. 22)) have been payable to him in respect of his continuing service as the holder of his United Kingdom judicial office.

(4) Any such order may also make provision—

- (a) for any contributions payable by a person who remains a member of a judicial pension scheme as a result of the order, and which would otherwise be payable by deduction from his salary, to be made otherwise than by deduction from his salary as an ICC judge, and
- (b) for such contributions to be collected in such manner as may be determined by the administrators of the scheme.

(5) Any such order—

- (a) shall have effect notwithstanding section 68(3)(b) of the Access to Justice Act 1999, and
- (b) may amend any provision of, or made under, any of the judicial pensions Acts in such manner and to such extent as the appropriate Minister considers necessary or expedient to ensure the proper administration of any scheme to which it relates.

(6) Any payments made in pursuance of such arrangements as are mentioned in sub-paragraph (2)(b) to, or in respect of, a holder of a United Kingdom judicial office shall be charged on, and paid out of, the Consolidated Fund.

(7) In this paragraph—

“the appropriate Minister” means—

- (a) in relation to a judicial office whose jurisdiction is exercisable exclusively in Scotland, the Secretary of State, and
- (b) otherwise, the Lord Chancellor;

“the judicial pensions Acts” means—

- (a) the County Courts Act (Northern Ireland) 1959 (c. 25) (N.I.),
- (b) the Sheriffs' Pensions (Scotland) Act 1961 (c. 42),
- (c) the Judicial Pensions Act 1981 (c. 20), and
- (d) the Judicial Pensions and Retirement Act 1993 (c. 8);

“judicial pension scheme” means a scheme established by and in accordance with any of those Acts; and

“United Kingdom judicial office” means the office of—

- (a) Lord Justice of Appeal, Justice of the High Court or Circuit judge, in England and Wales,
- (b) judge of the Court of Session or sheriff, in Scotland, or
- (c) Lord Justice of Appeal, judge of the High Court or county court judge, in Northern Ireland.

(8) An order under this paragraph shall be made by statutory instrument which shall be subject to annulment in pursuance of a resolution of either House of Parliament.

Commencement

Sch. 1 para. 7(1)-(8): September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 2

DELIVERY UP OF PERSONS SUBJECT TO CRIMINAL PROCEEDINGS, &C.

Section 24

PART 1

CRIMINAL PROCEEDINGS

1 Meaning of “criminal proceedings”

In this Part of this Schedule “criminal proceedings” means proceedings before a national court—

- (a) for dealing with an individual accused of an offence,
- (b) for dealing with an individual convicted of an offence, or
- (c) on an appeal from any proceedings within paragraph (a) or (b).

Commencement

Sch. 2(1) para. 1(a)-(c): September 1, 2001 (SI 2001/2161 art. 2)

2 Criminal proceedings in England and Wales or Northern Ireland

(1) Where—

- (a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and
- (b) criminal proceedings against that person are pending or in progress before a court in England and Wales or Northern Ireland,

the Secretary of State shall inform the court of the request.

(2) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(3) If a delivery order is made and the criminal proceedings are still pending or in progress, the Secretary of State—

- (a) shall consult the ICC before giving directions for the execution of the order, and
- (b) may direct that the criminal proceedings shall be discontinued.

(4) Where the Secretary of State directs that criminal proceedings shall be discontinued, the court before which the proceedings are pending or in progress shall—

- (a) order their discontinuance, and
- (b) make any other order necessary to enable the delivery order to be executed (including any necessary order as to the custody of the person concerned).

(5) The discontinuance under this paragraph of criminal proceedings in respect of an offence does not prevent the institution of fresh proceedings in respect of the offence.

Commencement

Sch. 2(1) para. 2(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

3 Criminal proceedings in Scotland

(1) Where—

- (a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and
- (b) criminal proceedings against that person are pending or in progress before a court in Scotland,

the Secretary of State shall inform the Scottish Ministers of the request and they shall inform the court.

(2) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(3) If a delivery order is made and the criminal proceedings are still pending or in progress, the Secretary of State shall consult the ICC before giving directions for the execution of the order.

Commencement

Sch. 2(1) para. 3(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

4 Proceedings before service court

(1) Where—

(a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and

(b) proceedings against that person are pending or in progress before a service court, the Secretary of State shall inform the court of the request.

(2) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(3) If a delivery order is made and the proceedings before a service court are still pending or in progress, the Secretary of State—

(a) shall consult the ICC before giving directions for the execution of the delivery order, and

(b) may direct that the proceedings before the service court shall be discontinued.

(4) Where the Secretary of State directs that proceedings before a service court shall be discontinued, the court shall—

(a) order their discontinuance, and

(b) make any other order necessary to enable the delivery order to be executed (including any necessary order as to the custody of the person concerned).

(5) The discontinuance under this paragraph of proceedings before a service court in respect of an offence does not prevent the institution of fresh proceedings in respect of the offence.

Commencement

Sch. 2(1) para. 4(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

5 Effect on custodial sentences

(1) Where in pursuance of Part 2 of this Act a person who is a prisoner is delivered up—

(a) into the custody of the ICC, or

(b) into the custody of a state where he is to undergo imprisonment under a sentence of the ICC,

he shall continue to be liable to complete any term of imprisonment or detention to which he had been sentenced by a national court.

But there shall be counted towards the completion of that term any time during which he is in the custody of the ICC or of another state.

(2) Where in pursuance of Part 2 of this Act a court orders the discharge of a person who is a prisoner, the discharge is without prejudice to the liability of the prisoner to complete any term of imprisonment or detention to which he has been sentenced by a national court.

Accordingly, a prisoner to whom such an order relates and whose sentence has not expired shall be transferred in custody to the place where he is liable to be detained under the sentence to which he is subject.

(3) Where in pursuance of Part 2 of this Act a delivery order is made in respect of a person who is a prisoner, the order may include provision authorising the return of the prisoner into the custody of the Secretary of State—

- (a) in accordance with arrangements made by the Secretary of State with the ICC, or
- (b) in the case of a prisoner taken to a place where he is to undergo imprisonment under a sentence of the ICC, in accordance with arrangements made by the Secretary of State with the state where that place is situated,

and for his transfer in custody to the place where he is liable to be detained under the sentence of the national court to which he is subject.

(4) In the application of sub-paragraph (3) where the prisoner is liable to be detained in Scotland—

- (a) the reference to the custody of the Secretary of State shall be read as a reference to the custody of the Scottish Ministers, and
- (b) the Secretary of State shall consult the Scottish Ministers before making any such arrangements as are mentioned in paragraph (a) or (b).

(5) In this paragraph “prisoner” means—

- (a) a person serving a sentence in a prison or other institution to which the Prison Act 1952 (c. 52), the Prisons (Scotland) Act 1989 or the Prisons Act (Northern Ireland) 1953 applies, or
- (b) a person serving a sentence of [service detention (within the meaning of the Armed Forces Act 2006)]³³ or imprisonment imposed by a service court.

Commencement

Sch. 2(1) para. 5(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

6 Power to suspend or revoke other orders

(1) This paragraph applies where a court makes a delivery order in respect of a person in respect of whom an order (other than a sentence of imprisonment or detention) has been made in criminal proceedings before a national court.

(2) The court may make any order necessary to enable the delivery order to be executed, and may in particular suspend or revoke any such order as is mentioned in sub-paragraph (1).

Commencement

Sch. 2(1) para. 6(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

³³ Word substituted by Armed Forces Act 2006 c. 52 Sch.16 para.191 (October 31, 2009)

PART 2

EXTRADITION PROCEEDINGS

[7 Meaning of “extradition proceedings”

In this Part of this Schedule “extradition proceedings” means proceedings before a court or judge in the United Kingdom under the Extradition Act 2003.

]

Commencement

Sch. 2(2) para. 7(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

8 Extradition proceedings in England and Wales or Northern Ireland

(1) Where—

- (a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and
- (b) extradition proceedings against that person are pending or in progress before a court in England and Wales or Northern Ireland,

the Secretary of State shall inform the court of the request.

(2) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(3) If a delivery order is made and the extradition proceedings are still pending or in progress, the Secretary of State—

- (a) shall consult the ICC before giving directions for the execution of the order, and
- (b) may direct that the extradition proceedings shall be discontinued.

(4) Where the Secretary of State directs that extradition proceedings shall be discontinued, the court before which the proceedings are pending or in progress shall—

- (a) order their discontinuance, and
- (b) make any other order necessary to enable the delivery order to be executed (including any necessary order as to the custody of the person concerned).

(5) The discontinuance under this paragraph of extradition proceedings in respect of an offence does not prevent the institution of fresh extradition proceedings in respect of the offence.

[(6) References in this paragraph to a court include references to a judge.]

Commencement

Sch. 2(2) para. 8(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

³⁴ Substituted by Extradition Act 2003 c. 41 Sch.3 para.13(2) (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

³⁵ Added by Extradition Act 2003 c. 41 Sch.3 para.13(3) (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

9 Extradition proceedings in Scotland

(1) Where—

- (a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and
- (b) extradition proceedings against that person are pending or in progress before a court in Scotland,

the Secretary of State shall inform the Scottish Ministers of the request and they shall inform the court.

(2) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(3) If a delivery order is made and the extradition proceedings are still pending or in progress, the Secretary of State shall consult the ICC before giving directions for the execution of the order.

[(4) References in this paragraph to a court include references to a judge.]³⁶

Commencement

Sch. 2(2) para. 9(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

10 Power to suspend or revoke warrant or order

[(1) Where a court makes a delivery order in respect of a person whose extradition has been ordered under the Extradition Act 2003, it may make any such order as is necessary to enable the delivery order to be executed.]³⁷

(2) The court may, in particular, suspend or revoke any warrant or other order made [...] ³⁸ in respect of the person.

Commencement

Sch. 2(2) para. 10(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

PART 3

OTHER DELIVERY PROCEEDINGS

11 Meaning of “other delivery proceedings”

In this Part of this Schedule “other delivery proceedings” means proceedings before a court in the United Kingdom for a delivery order under—

³⁶ Added by Extradition Act 2003 c. 41 Sch.3 para.13(4) (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

³⁷ Substituted by Extradition Act 2003 c. 41 Sch.3 para.13(5) (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

³⁸ Words repealed by Extradition Act 2003 c. 41 Sch.4 para.1 (January 1, 2004 subject to savings specified in SI 2003/3103 arts.3-4 as amended by SI 2003/3258 art.2(2))

(a) the United Nations (International Tribunal) (Former Yugoslavia) Order 1996 (S.I. 1996/716), or

(b) the United Nations (International Tribunal) (Rwanda) Order 1996 (S.I. 1996/1296); and “the relevant International Tribunal”, in relation to such proceedings, means the international tribunal to which the Order in question relates.

Commencement

Sch. 2(3) para. 11(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

12 Delivery proceedings in England and Wales

(1) Where—

(a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and

(b) other delivery proceedings against that person are pending or in progress before a court in England and Wales,

the Secretary of State shall consult the ICC and the relevant International Tribunal.

(2) The Secretary of State shall inform the court of the request and of the outcome of the consultations.

(3) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made under this Part of this Act.

(4) If a delivery order is made under this Part of this Act and the other delivery proceedings are still pending or in progress, the Secretary of State—

(a) shall consult the ICC before giving directions for the execution of the order, and

(b) may direct that the other delivery proceedings shall be discontinued.

(5) Where the Secretary of State directs that the other delivery proceedings shall be discontinued, the court before which the proceedings are pending or in progress shall—

(a) order their discontinuance, and

(b) make any other order necessary to enable the delivery order under this Part of this Act to be executed (including any necessary order as to the custody of the person concerned).

(6) The discontinuance under this paragraph of other delivery proceedings in respect of an offence does not prevent the institution of fresh proceedings for a delivery order in respect of the offence.

Commencement

Sch. 2(3) para. 12(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

13 Delivery proceedings in Scotland

(1) Where—

(a) the Secretary of State receives a request from the ICC for the arrest and surrender, or provisional arrest, of a person, and

(b) other delivery proceedings against that person are pending or in progress before a court in Scotland,

the Secretary of State shall consult the ICC and the relevant International Tribunal.

(2) The Secretary of State shall inform the Scottish Ministers of the request and of the outcome of the consultations and they shall inform the court.

(3) The court shall (if necessary) adjourn the proceedings before it, for such period or periods as it thinks fit, so as to enable proceedings to be taken to determine whether a delivery order should be made.

(4) If a delivery order is made and the other delivery proceedings are still pending or in progress, the Secretary of State shall consult the ICC before giving directions for the execution of the order.

Commencement

Sch. 2(3) para. 13(1)-(4): September 1, 2001 (SI 2001/2161 art. 2)

14 Power to suspend or revoke previous delivery order

(1) Where a court makes a delivery order under this Part of this Act in respect of a person in respect of whom a delivery order has been made under—

(a) the United Nations (International Tribunal) (Former Yugoslavia) Order 1996 (S.I. 1996/716), or

(b) the United Nations (International Tribunal) (Rwanda) Order 1996 (S.I. 1996/1296),
the court may make any order necessary to enable the person to be delivered up under this Part of this Act.

(2) The court may, in particular, suspend or revoke the other delivery order.

Commencement

Sch. 2(3) para. 14(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 3

RIGHTS OF PERSONS DURING INVESTIGATION: ARTICLE 55

Section 28(3)

Article 55

Rights of persons during an investigation

1

In respect of an investigation under this Statute, a person:

(a) Shall not be compelled to incriminate himself or herself or to confess guilt;

(b) Shall not be subjected to any form of coercion, duress or threat, to torture or to any other form of cruel, inhuman or degrading treatment or punishment;

(c) Shall, if questioned in a language other than a language the person fully understands and speaks, have, free of any cost, the assistance of a competent interpreter and such translations as are necessary to meet the requirements of fairness; and

(d) Shall not be subjected to arbitrary arrest or detention, and shall not be deprived of his or her liberty except on such grounds and in accordance with such procedures as are established in this Statute.

2

Where there are grounds to believe that a person has committed a crime within the jurisdiction of the Court and that person is about to be questioned either by the Prosecutor, or by national authorities pursuant to a request made under Part 9, that person shall also have the following rights of which he or she shall be informed prior to being questioned:

- (a) To be informed, prior to being questioned, that there are grounds to believe that he or she has committed a crime within the jurisdiction of the Court;
- (b) To remain silent, without such silence being a consideration in the determination of guilt or innocence;
- (c) To have legal assistance of the person's choosing, or, if the person does not have legal assistance, to have legal assistance assigned to him or her, in any case where the interests of justice so require, and without payment by the person in any such case if the person does not have sufficient means to pay for it; and
- (d) To be questioned in the presence of counsel unless the person has voluntarily waived his or her right to counsel.

Commencement

Sch. 3 para. 1: September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 4

TAKING OF FINGERPRINTS OR NON-INTIMATE SAMPLES

Section 34(1)

1 Nomination of court to supervise taking of evidence

(1) Where the Secretary of State receives a request from the ICC for assistance in obtaining evidence as to the identity of a person, he may nominate a court in England and Wales or Northern Ireland to supervise the taking of the person's fingerprints or a non-intimate sample (or both).

(2) He shall not do so unless—

- (a) he is satisfied that other means of identification have been tried and have proved inconclusive, and
- (b) he has notified the ICC of that fact and the ICC has signified that it wishes to proceed with the request.

Commencement

Sch. 4 para. 1(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

2 Order to provide evidence

(1) The nominated court may order the taking by a constable of the person's fingerprints or a non-intimate sample (or both).

- (2) In the case of a non-intimate sample–
- (a) the sample must be a sufficient sample within the meaning of section 65 of the Police and Criminal Evidence Act 1984 (c. 60) or, in Northern Ireland, Article 53 of the Police and Criminal Evidence (Northern Ireland) Order 1989 (S.I. 1989/1341 (N.I.12)), and
 - (b) section 63A(2) of that Act or, in Northern Ireland, Article 63A(2) of that Order applies as to the manner of taking the sample.
- (3) In the following provisions of this Schedule “the necessary identification evidence” means the fingerprints or sample (or both) required by the order of the nominated court.

Commencement

Sch. 4 para. 2(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

3 Requirement to attend and provide evidence

- (1) The order of the nominated court may require the person to attend a police station to provide the necessary identification evidence.
- (2) Any such requirement–
- (a) shall give the person at least seven days within which he must so attend, and
 - (b) may direct him to attend at a specified time of day or between specified times of day.
- (3) If the person fails to attend in accordance with the order–
- (a) the nominated court may issue a warrant for his arrest, and
 - (b) the person may be detained for such period as is necessary to enable the necessary identification evidence to be taken.

The court shall inform the person concerned of the effect of this sub-paragraph.

(4) Sub-paragraphs (1) to (3) do not apply where the person concerned is in prison or is otherwise lawfully detained.

In that case the necessary identification evidence may be taken at the place where he is detained or at such other place as the nominated court may direct.

Commencement

Sch. 4 para. 3(1)-(4): September 1, 2001 (SI 2001/2161 art. 2)

4 Consent to taking of evidence

- (1) The necessary identification evidence may be taken–
- (a) with the appropriate consent given in writing, or
 - (b) without that consent, in accordance with paragraph 5.
- (2) In sub-paragraph (1) “the appropriate consent” has the meaning given by section 65 of the Police and Criminal Evidence Act 1984 or, in Northern Ireland, Article 53 of the Police and Criminal Evidence (Northern Ireland) Order 1989.
- (3) The court shall inform the person concerned of the effect of this paragraph.

Commencement

Sch. 4 para. 4(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

5 Taking of evidence without consent

- (1) A constable may, if authorised by an officer of the rank of superintendent or above, take the necessary identification evidence without consent.
- (2) An officer may give an authorisation under sub-paragraph (1) orally or in writing, but if he gives it orally he shall confirm it in writing as soon as is reasonably practicable.
- (3) Before fingerprints or a sample are taken from a person upon an authorisation given under sub-paragraph (1), he shall be informed that the authorisation has been given.

Commencement

Sch. 4 para. 5(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

6 Record of certain matters to be made

- (1) After fingerprints or a sample are taken under this Schedule, there shall be recorded as soon as is reasonably practicable any of the following which apply—
 - (a) the fact that the appropriate consent has been given,
 - (b) any authorisation given under paragraph 5(1), and
 - (c) the fact that the person has been informed under paragraph 5(3) of the giving of such authorisation.
- (2) A copy of the record shall be sent to the Secretary of State for transmission to the ICC together with the material obtained under this Schedule.

Commencement

Sch. 4 para. 6(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

7 Checking of fingerprints or samples

- (1) This paragraph applies to—
 - (a) fingerprints or samples taken under this Schedule, and
 - (b) information derived from such samples.
- (2) The fingerprints, samples or information may be used only for the purpose of an investigation into a relevant offence.
- (3) In particular, a check may not be made against them under—
 - (a) section 63A(1) of the Police and Criminal Evidence Act 1984 (c. 60) (checking of fingerprints and samples), or
 - (b) Article 63A(1) of the Police and Criminal Evidence (Northern Ireland) Order 1989 (S.I. 1989/1341 (N.I. 12)) (checking of fingerprints and samples),except for the purpose of an investigation into a relevant offence.
- (4) The fingerprints, samples or information may be checked, subject to sub-paragraph (2), against—
 - (a) other fingerprints or samples taken under this Schedule or information derived from such samples,
 - (b) any of the fingerprints, samples and information mentioned in section 63A(1)(a) and (b) of the Police and Criminal Evidence Act 1984 (checking of fingerprints and samples), and

(c) any of the fingerprints, samples and information mentioned in Article 63A(1)(a) and (b) of the Police and Criminal Evidence (Northern Ireland) Order 1989 (checking of fingerprints and samples).

(5) For the purposes of this paragraph a “relevant offence” means an ICC crime or an offence under Part 5 of this Act.

(6) Before fingerprints or a sample are taken from a person under this Schedule, he shall be informed that they may be used as mentioned in this paragraph.

Commencement

Sch. 4 para. 7(1)-(6): September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Sch. 4 para. 7(3)(a): words inserted by Crime and Security Act 2010 c. 17 s. 5(2) (date to be appointed)

Sch. 4 para. 7(3)(b): words inserted by Crime and Security Act 2010 c. 17 s. 11(2) (date to be appointed)

8 Destruction of fingerprints and samples

Section 64 of the Police and Criminal Evidence Act 1984 or Article 64 of the Police and Criminal Evidence (Northern Ireland) Order 1989 (destruction of fingerprints or samples) applies to fingerprints and samples taken under this Schedule in connection with the investigation of an ICC crime as it applies in relation to fingerprints and samples taken in connection with the investigation of an offence under the law of England and Wales or Northern Ireland.

Commencement

Sch. 4 para. 8: September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Sch. 4 para. 8: substituted by Crime and Security Act 2010 c. 17 s. 19 (date to be appointed)

SCHEDULE 5

INVESTIGATION OF PROCEEDS OF ICC CRIME

Section 37

PART 1

PRODUCTION OR ACCESS ORDERS

1 Application for order

(1) An order under this Part of this Schedule may be made by a Circuit judge or, in Northern Ireland, a county court judge on an application made in pursuance of a direction by the Secretary of State under section 37(1) (investigation of proceeds of ICC crime).

- (2) Any such application–
- (a) in England and Wales, may be made without notice and may be granted without a hearing; and
 - (b) in Northern Ireland, may be made on an ex parte application to a judge in chambers.

Commencement

Sch. 5(1) para. 1(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Sch. 5(1) para. 1(1): sch.5 para.1(1)(a)-(b) substituted for words by Courts Act 2003 c. 39 Sch. 4 para. 14 (date to be appointed)

2 Grounds for making order

- (1) The judge may make an order under this Part of this Schedule if he is satisfied that there are reasonable grounds for suspecting–
- (a) that a specified person has benefited from an ICC crime, and
 - (b) that the material to which the application relates is likely to be of substantial value (whether by itself or together with other material) to the investigation for the purposes of which the application is made.
- (2) No such order shall be made if it appears to the judge that the material to which the application relates consists of or include items subject to legal privilege.
- (3) Paragraphs 3 and 4 specify the descriptions of order that may be made.

Commencement

Sch. 5(1) para. 2(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

3 Production or access orders: standard orders

- (1) The judge may order a specified person who appears to have in his possession, custody or power specified material, or material of a specified description, to which the application relates, either–
- (a) to produce the material to a constable within a specified period for the constable to take away (a “production order”), or
 - (b) to give a constable access to the material within a specified period (an “access order”).
- (2) The specified period shall be seven days beginning with the date of the order unless it appears to the judge making the order that a longer or shorter period would be appropriate in the particular circumstances of the application.
- (3) Where the judge makes an access order in relation to material on any premises he may, on the application of a constable, order any person who appears to him to be entitled to grant entry to the premises to allow a constable to enter the premises to obtain access to the material.
- (4) In this paragraph “specified” means specified in the order.
- (5) Where a production or access order is made by virtue of paragraph 4 (special orders), the provisions of this paragraph have effect subject to the modifications specified in that paragraph.

Commencement

Sch. 5(1) para. 3(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

4 Production or access orders: special orders

- (1) A production or access order may be made in relation to a person who the judge thinks is likely to have material to which the application relates in his possession, custody or power within the period of 28 days beginning with the date of the order.
- (2) A production or access order may also be made in relation to material consisting of or including material which is expected to come into existence within that period.
In that case it must specify a person within sub-paragraph (1).
- (3) Where a production or access order is made by virtue of this paragraph—
- (a) the order shall require the specified person to notify a named constable as soon as is reasonably practicable after any material to which the application relates comes into his possession, custody or power, and
 - (b) paragraph 3 has effect with the following modifications.
- (4) The modifications are—
- (a) that the references in paragraph 3(1) to material which the specified person has in his possession, custody or power shall be read as references to the material that comes into his possession, custody or power, and
 - (b) that the reference in paragraph 3(2) to the date of the order shall be read as a reference to the date of the notification required by sub-paragraph (3)(a) above
- (5) In this paragraph “specified” means specified in the order.

Commencement

Sch. 5(1) para. 4(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

5 Effect of order: general

- (1) An order under this Part of this Schedule has effect as if it were an order of the Crown Court.
- (2) Provision may be made by [Criminal Procedure Rules or, in Northern Ireland,]³⁹ Crown Court Rules as to—
- (a) the discharge and variation of such orders, and
 - (b) proceedings relating to such orders.

Commencement

Sch. 5(1) para. 5(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

³⁹ Words inserted subject to saving specified in SI 2004/2066 art.3 by Courts Act 2003 c. 39 Sch.8 para.405 (September 1, 2004: insertion has effect subject to saving specified in SI 2004/2066 art.3)

6 Effect of order: supplementary

- (1) The following provisions have effect with respect to the effect of an order under this Part of this Schedule.
- (2) Where the material to which the order relates consists of information contained in a computer–
 - (a) a production order has effect as an order to produce the material in a form in which it can be taken away and in which it is visible and legible, and
 - (b) an access order has effect as an order to give access to the material in a form in which it is visible and legible.
- (3) An order under this Part of this Schedule does not confer any right to production of, or access to, items subject to legal privilege.
- (4) Subject to sub-paragraph (3), the order has effect notwithstanding any obligation as to secrecy or other restriction upon the disclosure of information imposed by statute or otherwise.
- (5) For the purposes of sections 21 and 22 of the Police and Criminal Evidence Act 1984 (c. 60) or, in Northern Ireland, Articles 23 and 24 of the Police and Criminal Evidence (Northern Ireland) Order 1989 (S.I. 1989/1341 (N.I. 12)) (access to, and copying and retention of, seized material) material produced in pursuance of an order under this Part of this Schedule shall be treated as if it were material seized by a constable.

Commencement

Sch. 5(1) para. 6(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

7 Order in relation to material in possession of government department

- (1) An order under this Part of this Schedule may be made in relation to material in the possession, custody or power of a government department.
- (2) An order so made–
 - (a) shall be served as if the proceedings were civil proceedings against the department, and
 - (b) may require any officer of the department, whether named in the order or not, who may for the time being have in his possession, custody or power the material concerned, to comply with it.
- (3) In this paragraph “government department” means an authorised government department for the purposes of the Crown Proceedings Act 1947 (c. 44) or an authorised Northern Ireland department for the purposes of that Act as it applies to the Crown in right of Her Majesty's Government in Northern Ireland.

Commencement

Sch. 5(1) para. 7(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

PART 2

SEARCH WARRANTS

8 Application for warrant

A search warrant may be issued under this Part of this Schedule by a Circuit Judge or, in Northern Ireland, a county court judge on an application made in pursuance of a direction by the Secretary of State under section 37(1) (investigation of proceeds of ICC crime).

Commencement

Sch. 5(2) para. 8: September 1, 2001 (SI 2001/2161 art. 2)

Amendments Pending

Sch. 5(2) para. 8: sch.5 para.8(a)-(b) substituted for words by Courts Act 2003 c. 39 Sch. 4 para. 14 (date to be appointed)

9 Effect of warrant

- (1) A search warrant issued under this Part of this Schedule authorises any constable—
 - (a) to enter and search the premises specified in the warrant, and
 - (b) to seize and retain any material found on the search that is likely to be of substantial value (whether by itself or together with other material) to the investigation for the purposes of which the warrant was issued.
- (2) The warrant does not confer any right to seize material that consists of or includes items subject to legal privilege.

Commencement

Sch. 5(2) para. 9(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

10 Grounds for issue of warrant

- (1) The judge may issue a search warrant under this Part of this Schedule in the following cases.
- (2) The first case is where the judge is satisfied that a production or access order made in relation to material on the premises has not been complied with.
- (3) The second case is where the judge is satisfied—
 - (a) that there are reasonable grounds for suspecting that a specified person has benefited from an ICC crime,
 - (b) that there are grounds for making a production or access order (see paragraph 2) in relation to material on the premises, and
 - (c) that it would not be appropriate to make a production or access order in relation to the material for any of the following reasons.
- (4) Those reasons are—
 - (a) that it is not practicable to communicate with any person entitled to produce the material,
 - (b) that it is not practicable to communicate with any person entitled to grant access to the material or entitled to grant entry to the premises on which the material is situated, or

- (c) that the investigation for the purposes of which the application is made might be seriously prejudiced unless a constable could secure immediate access to the material.
- (5) The third case is where the judge is satisfied—
- (a) that there are reasonable grounds for suspecting that a specified person has benefited from an ICC crime,
 - (b) that there are reasonable grounds for suspecting that there is material on the premises which cannot be particularised at the time of the application but which—
 - (i) relates to the specified person, or to the question whether that person has benefited from an ICC crime, or to any question as to the extent or whereabouts of the proceeds of an ICC crime, and
 - (ii) is likely to be of substantial value (whether by itself or together with other material) to the investigation for the purposes of which the application is made, and
 - (c) that any of the following circumstances apply.
- (6) Those circumstances are—
- (a) that it is not practicable to communicate with any person entitled to grant entry to the premises,
 - (b) that entry to the premises will not be granted unless a warrant is produced, or
 - (c) that the investigation for the purposes of which the application is made might be seriously prejudiced unless a constable arriving at the premises could secure immediate entry to them.

Commencement

Sch. 5(2) para. 10(1)-(6)(c): September 1, 2001 (SI 2001/2161 art. 2)

PART 3

SUPPLEMENTARY PROVISIONS

11

In this Schedule—

“constable” includes a person commissioned by the Commissioners of Customs and Excise; and

“items subject to legal privilege” and “premises” have the same meaning as in the Police and Criminal Evidence Act 1984 (c. 60) or, in Northern Ireland, the Police and Criminal Evidence (Northern Ireland) Order 1989 (S.I. 1989/1341 (N.I. 12)).

Commencement

Sch. 5(3) para. 11 definition of "constable"- definition of "items subject to legal privilege": September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 6**FREEZING ORDERS IN RESPECT OF PROPERTY LIABLE TO FORFEITURE****Section 38****1 Application for freezing order**

- (1) A freezing order may be made by the High Court on an application in pursuance of a direction given by the Secretary of State under section 38.
- (2) Any such application—
- (a) in England and Wales, may be made without notice and may be granted without a hearing; and
 - (b) in Northern Ireland, may be made on an ex parte application to a judge in chambers.

Commencement

Sch. 6 para. 1(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

2 Grounds for making order

The court may make a freezing order if it is satisfied—

- (a) that a forfeiture order has been made in proceedings before the ICC, or
- (b) that there are reasonable grounds for believing that a forfeiture order may be made in such proceedings,

and that the property to which the order relates consists of or includes property that is or may be affected by such a forfeiture order.

Commencement

Sch. 6 para. 2(a)-(b): September 1, 2001 (SI 2001/2161 art. 2)

3 Effect of order

- (1) A “freezing order” is an order prohibiting any person from dealing with property specified in the order otherwise than in accordance with such conditions and exceptions as may be specified in the order.
- (2) A freezing order shall provide for notice to be given to persons affected by the order.

Commencement

Sch. 6 para. 3(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

4 Variation or discharge of order

- (1) A freezing order may be varied or discharged in relation to any property on the application of any person affected by the order.
- (2) A freezing order shall be discharged on the conclusion of the ICC proceedings in relation to which the order was made.

Commencement

Sch. 6 para. 4(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

5 Power to appoint receiver

- (1) The powers conferred by this paragraph may be exercised if a freezing order is in force.
- (2) The High Court may at any time appoint a receiver–
 - (a) to take possession of any property specified in the order, and
 - (b) in accordance with the court's directions, to manage or otherwise deal with the property in respect of which he is appointed,
 subject to such exceptions and conditions as may be specified by the court.
- (3) The High Court may require any person having possession of property in respect of which a receiver is appointed under this paragraph to give possession of it to the receiver.
- (4) The powers conferred on a receiver by this paragraph shall be exercised with a view to securing that the property specified in the order is available for satisfying the forfeiture order or, as the case may be, any forfeiture order that may be made in the ICC proceedings in relation to which the order was made.
- (5) A receiver appointed under this paragraph shall not be liable to any person in respect of any loss or damage resulting from any action taken by him which he believed on reasonable grounds that he was entitled to take, except in so far as the loss or damage is caused by his negligence.

Commencement

Sch. 6 para. 5(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

6 Seizure to prevent removal from jurisdiction

- (1) Where a freezing order has been made, a constable may, for the purpose of preventing any property specified in the order from being removed from the jurisdiction, seize the property.
- (2) The reference in sub-paragraph (1) to property being removed from the jurisdiction is to its being removed from England and Wales or Northern Ireland, as the case may be.
- (3) Property seized under this paragraph shall be dealt with in accordance with the directions of the High Court.

Commencement

Sch. 6 para. 6(1)-(3): September 1, 2001 (SI 2001/2161 art. 2)

7 Registered land: England and Wales

- (1) The Land Charges Act 1972 (c. 61) and the [Land Registration Act 2002]⁴⁰ apply–
 - (a) in relation to freezing orders, as they apply in relation to orders affecting land made by the court for the purpose of enforcing judgments or recognisances [, except that no notice

⁴⁰ Words substituted by Land Registration Act 2002 c. 9 Sch.11 para.40(a) (October 13, 2003)

may be entered in the register of title under the Land Registration Act 2002 in respect of such orders]⁴¹ ; and

(b) in relation to applications for freezing orders, as they apply in relation to other pending land actions.

(2) [...]⁴²

Commencement

Sch. 6 para. 7(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

8 Registered land: Northern Ireland

(1) The ICC shall be treated for the purposes of section 66 of the Land Registration Act (Northern Ireland) 1970 (c. 18 (N.I.)) (cautions) as a person interested in relation to any registered land to which a freezing order or an application for such an order relates.

(2) Upon being served with a copy of a freezing order or an application for such an order, the Registrar shall, in respect of any registered land to which the order or application relates, make an entry inhibiting any dealing with the land without the consent of the High Court.

(3) Subsections (2) and (4) of section 67 of the Land Registration Act (Northern Ireland) 1970 (inhibitions) apply to an entry made under sub-paragraph (2) as they apply to an entry made on the application of any person interested in the registered land under subsection (1) of that section.

(4) Where a freezing order has been protected by an entry registered under the Land Registration Act (Northern Ireland) 1970 or the Registration of Deeds Act (Northern Ireland) 1970 (c. 25 (N.I.)), an order under paragraph 4 discharging the freezing order may direct that the entry be vacated.

(5) In this paragraph–

(a) “Registrar” and “entry” have the same meanings as in the Registration Act (Northern Ireland) 1970; and

(b) “registered land” has the meaning assigned to it by section 45(1)(a) of the Interpretation Act (Northern Ireland) 1954 (c. 33 (N.I.)).

Commencement

Sch. 6 para. 8(1)-(5)(b): September 1, 2001 (SI 2001/2161 art. 2)

9 Bankruptcy: England and Wales

(1) Where a person is adjudged bankrupt in England and Wales–

(a) property for the time being subject to a freezing order, or an order having the like effect in Scotland, made before the order adjudging him bankrupt, and

(b) any proceeds of property realised by virtue of paragraph 5(2) for the time being in the hands of a receiver appointed under that paragraph,

is excluded from the bankrupt's estate for the purposes of Part 9 of the Insolvency Act 1986 (c. 45).

(2) Where a person has been adjudged bankrupt in England and Wales, the powers conferred on a receiver appointed under paragraph 5 above shall not be exercised in relation to–

⁴¹ Words inserted by Land Registration Act 2002 c. 9 Sch.11 para.40(b) (October 13, 2003)

⁴² Repealed by Land Registration Act 2002 c. 9 Sch.13 para.1 (October 13, 2003)

- (a) property for the time being comprised in the bankrupt's estate for the purposes of that Part of that Act;
 - (b) property in respect of which his trustee in bankruptcy may (without leave of court) serve a notice under section 307, 308 or 308A of that Act (after-acquired property and tools, clothes, etc. exceeding value of reasonable replacement and certain tenancies); and
 - (c) property which is to be applied for the benefit of creditors of the bankrupt by virtue of a condition imposed under section 280(2)(c) of that Act.
- (3) Nothing in that Act shall be taken as restricting, or enabling the restriction of, the exercise of those powers.
- (4) Where, in the case of a debtor, an interim receiver stands appointed under section 286 of that Act and any property of the debtor is subject to a freezing order, the powers conferred on the receiver by virtue of that Act do not apply to property for the time being subject to the freezing order.
- (5) In any case in which a petition in bankruptcy was presented, or a receiving order or adjudication in bankruptcy was made, before 29th December 1986 (the date on which the Insolvency Act 1986 (c. 45) came into force), this paragraph shall have effect with the following modifications—
- (a) for references to the bankrupt's estate for the purposes of Part 9 of that Act there shall be substituted references to the property of the bankrupt for the purposes of the Bankruptcy Act 1914 (c. 59);
 - (b) for references to the Act of 1986 and sections 280(2)(c) and 286 of that Act there shall be respectively substituted references to the Act of 1914 and to sections 26(2) and 8 of that Act;
 - (c) the references in sub-paragraph (4) to an interim receiver appointed as there mentioned include, where a receiving order has been made, a reference to the receiver constituted by virtue of section 7 of the Act of 1914; and
 - (d) sub-paragraph (2)(b) shall be omitted.

Commencement

Sch. 6 para. 9(1)-(5)(d): September 1, 2001 (SI 2001/2161 art. 2)

10 Bankruptcy: Northern Ireland

- (1) Where a person is adjudged bankrupt in Northern Ireland—
- (a) property for the time being subject to a freezing order, or an order having the like effect in Scotland, made before the order adjudging him bankrupt, and
 - (b) any proceeds of property realised by virtue of paragraph 5(2) for the time being in the hands of a receiver appointed under that paragraph,
- is excluded from the bankrupt's estate for the purposes of Part IX of the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19)).
- (2) Where a person has been adjudged bankrupt in Northern Ireland, the powers conferred on a receiver appointed under paragraph 5 above shall not be exercised in relation to—
- (a) property for the time being comprised in the bankrupt's estate for the purposes of that Part of that Order;
 - (b) property in respect of which his trustee in bankruptcy may (without leave of court) serve a notice under Article 280 or 281 of that Order (after-acquired property and tools, clothes, etc. exceeding value of reasonable replacement); and

(c) property which is to be applied for the benefit of creditors of the bankrupt by virtue of a condition imposed under Article 254(2)(c) of that Order.

(3) Nothing in that Order shall be taken as restricting, or enabling the restriction of, the exercise of those powers.

(4) Where, in the case of a debtor, an interim receiver stands appointed under Article 259 of that Order and any property of the debtor is subject to a freezing order, the powers conferred on the receiver by virtue of that Order do not apply to property for the time being subject to the freezing order.

(5) In any case in which a petition in bankruptcy was presented, or a receiving order or adjudication in bankruptcy was made, before 1st October 1991 (the date on which the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19)) came into force), this paragraph shall have effect with the following modifications—

(a) for references to the bankrupt's estate for the purposes of Part IX of that Order there shall be substituted references to the property of the bankrupt for the purposes of the Bankruptcy Acts (Northern Ireland) 1857 to 1980;

(b) sub-paragraph (2)(b) shall be omitted;

(c) for the reference in sub-paragraph (2)(c) to Article 254(2)(c) of that Order there shall be substituted a reference to Articles 28(4), (5)(c) and (11) and 30(6)(c) of the Bankruptcy Amendment (Northern Ireland) Order 1980 (S.I. 1980/561 (N.I. 4));

(d) for the reference in sub-paragraph (3) to that Order there shall be substituted a reference to the Bankruptcy Acts (Northern Ireland) 1857 to 1980; and

(e) for the reference in sub-paragraph (4) to an interim receiver appointed under Article 259 of that Order there shall be substituted a reference to a receiver or manager appointed under section 68 of the Bankruptcy (Ireland) Amendment Act 1872 (c. 58).

Commencement

Sch. 6 para. 10(1)-(5)(e): September 1, 2001 (SI 2001/2161 art. 2)

11 Winding up: England and Wales

(1) Where an order for the winding up of a company has been made under the Insolvency Act 1986 (c. 45), or a resolution has been passed by a company for voluntary winding up under that Act, the functions of the liquidator (or any provisional liquidator) shall not be exercisable in relation to—

(a) property for the time being subject to a freezing order, or an order having the like effect in Scotland, made before the relevant time, and

(b) any proceeds of property realised by virtue of paragraph 5(2) for the time being in the hands of a receiver appointed under that paragraph.

(2) Where such an order has been made or such a resolution has been passed, the powers conferred on a receiver appointed under paragraph 5 shall not be exercised in relation to any property held by the company in relation to which the functions of the liquidator are exercisable—

(a) so as to inhibit him from exercising those functions for the purpose of distributing any property held by the company to the company's creditors; or

(b) so as to prevent the payment out of any property of expenses (including the remuneration of the liquidator or any provisional liquidator) properly incurred in the winding up in respect of the property.

(3) Nothing in the Insolvency Act 1986 shall be taken as restricting, or enabling the restriction of, the exercise of those powers.

(4) In this paragraph–

“company” means any company which may be wound up under the Insolvency Act 1986; and

“the relevant time” means–

- (a) where no order for the winding up of the company has been made, the time of the passing of the resolution for voluntary winding up;
- (b) where such an order has been made and, before the presentation of the petition for the winding up of the company by the court, such a resolution had been passed by the company, the time of the passing of the resolution; and
- (c) in any other case where such an order has been made, the time of the making of the order.

(5) In any case in which a winding up of a company commenced or is treated as having commenced before 29th December 1986 (the date on which the Insolvency Act 1986 (c. 45) came into operation), this paragraph shall have effect with the substitution for references to that Act of references to the Companies Act 1985 (c. 6).

Commencement

Sch. 6 para. 11(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

12 Winding up: Northern Ireland

(1) Where an order for the winding up of a company has been made under the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19)), or a resolution has been passed by a company for voluntary winding up under that Order, the functions of the liquidator (or any provisional liquidator) shall not be exercisable in relation to–

- (a) property for the time being subject to a freezing order, or an order having the like effect in Scotland, made before the relevant time, and
- (b) any proceeds of property realised by virtue of paragraph 5(2) for the time being in the hands of a receiver appointed under that paragraph.

(2) Where such an order has been made or such a resolution has been passed, the powers conferred on a receiver appointed under paragraph 5 shall not be exercised in relation to any property held by the company in relation to which the functions of the liquidator are exercisable–

- (a) so as to inhibit him from exercising those functions for the purpose of distributing any property held by the company to the company's creditors; or
- (b) so as to prevent the payment out of any property of expenses (including the remuneration of the liquidator or any provisional liquidator) properly incurred in the winding up in respect of the property.

(3) Nothing in the Insolvency (Northern Ireland) Order 1989 shall be taken as restricting, or enabling the restriction of, the exercise of those powers.

(4) In this paragraph–

“company” means any company which may be wound up under the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19)); and

“the relevant time” means–

- (a) where no order for the winding up of the company has been made, the time of the passing of the resolution for voluntary winding up;
- (b) where such an order has been made and, before the presentation of the petition for the winding up of the company by the court, such a resolution had been passed by the company, the time of the passing of the resolution; and
- (c) in any other case where such an order has been made, the time of the making of the order.

(5) In any case in which a winding up of a company commenced or is treated as having commenced before 1st October 1991 (the date on which the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19)) came into operation), this paragraph shall have effect with the substitution for references to that Order of references to the Companies (Northern Ireland) Order 1986 (S.I. 1986/1032 (N.I. 6)).

Commencement

Sch. 6 para. 12(1)-(5): September 1, 2001 (SI 2001/2161 art. 2)

13 Protection of insolvency practitioners

- (1) This paragraph applies where an insolvency practitioner seizes or disposes of property which is subject to a freezing order and—
- (a) he reasonably believes that he is entitled to do so in the exercise of his functions, and
 - (b) he would be so entitled if the property were not subject to a freezing order.
- (2) The insolvency practitioner shall not be liable to any person in respect of any loss or damage resulting from the seizure or disposal except in so far as the loss or damage is caused by his negligence.
- (3) The insolvency practitioner shall have a lien on the property seized or the proceeds of its sale—
- (a) for such of his expenses as were incurred in connection with the insolvency proceedings in relation to which the seizure or disposal purported to take place, and
 - (b) for so much of his remuneration as may be reasonably assigned for his acting in connection with those proceedings.
- (4) Sub-paragraphs (1) to (3) are without prejudice to the generality of any provision contained in the Insolvency Act 1986 (c. 45) or the Insolvency (Northern Ireland) Order 1989.
- (5) In this paragraph “insolvency practitioner”, in any part of the United Kingdom, means a person acting as an insolvency practitioner in that or any other part of the United Kingdom.
- (6) For the purpose of sub-paragraph (5) any question whether a person is acting as an insolvency practitioner in England and Wales or in Scotland shall be determined in accordance with section 388 of the Insolvency Act 1986, except that—
- (a) the reference in section 388(2)(a) to a permanent or interim trustee in the sequestration of a debtor's estate shall be taken to include a reference to a trustee in sequestration,
 - (b) section 388(5) shall be disregarded, and
 - (c) the expression shall also include the Official Receiver acting as receiver or manager of property.

(7) For the purpose of sub-paragraph (5) any question whether a person is acting as an insolvency practitioner in Northern Ireland shall be determined in accordance with Article 3 of the Insolvency (Northern Ireland) Order 1989, except that—

- (a) Article 3(5) shall be disregarded, and
- (b) the expression shall also include the Official Receiver acting as receiver or manager of property.

Commencement

Sch. 6 para. 13(1)-(7)(b): September 1, 2001 (SI 2001/2161 art. 2)

14 Interpretation

(1) For the purposes of this Schedule—

- (a) “property” includes money and all other property, real or personal, heritable or moveable, and including things in action and other intangible or incorporeal property; and
- (b) “dealing with property” includes (without prejudice to the generality of that expression)—
 - (i) where a debt is owed to a person, making a payment to any person in reduction of the amount of the debt, and
 - (ii) removing the property from England and Wales or Northern Ireland.

(2) For the purposes of this Schedule ICC proceedings are concluded—

- (a) when there is no further possibility of a forfeiture order being made in the proceedings; or
- (b) on the satisfaction of a forfeiture order made in the proceedings (whether by the recovery of all the property liable to be recovered, or otherwise).

Commencement

Sch. 6 para. 14(1)-(2)(b): September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 7

DOMESTIC PROVISIONS NOT APPLICABLE TO ICC PRISONERS

Section 42

1 Introduction

The provisions specified in this Schedule do not apply in relation to a person detained in England and Wales or Northern Ireland in pursuance of a sentence of the ICC.

Commencement

Sch. 7 para. 1: September 1, 2001 (SI 2001/2161 art. 2)

2 Provisions affecting length of sentence

(1) The following provisions of the law of England and Wales do not apply—

- (a) section 24 of the Prison Act 1952 (c. 52) (calculation of term of sentence: meaning of “month”);
 - (b) section 49(2) of that Act (deduction of periods unlawfully at large);
 - (c) section 23(3) of the Criminal Justice Act 1961 (c. 39) (discharge at weekend or on a holiday);
 - [(d) sections 240 and 240A of the Criminal Justice Act 2003 (crediting of periods spent on remand in custody or on bail subject to certain types of condition: terms of imprisonment and detention).]⁴³
- (2) The following provisions of the law of Northern Ireland do not apply—
any provision of rules under section 13 of the Prisons (Northern Ireland) Act 1953 (c. 18 (N.I.)) (prison rules) as to—
- (a) remission, or
 - (b) discharge at a weekend or on a holiday;
- section 38(2) of that Act (deduction of periods unlawfully at large);
section 26(2) of the Treatment of Offenders Act (Northern Ireland) 1968 (c. 29 (N.I.)) (taking into account of time spent in custody).

Commencement

Sch. 7 para. 2(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

3 Provisions relating to early release or release on licence

- (1) The following provisions of the law of England and Wales do not apply—
section 28 of the Prison Act 1952 (c. 52) (power to discharge prisoners temporarily on grounds of ill health);
any provision of rules under section 47 of that Act (prison rules) permitting temporary release on licence;
section 32 of the Criminal Justice Act 1982 (c. 48) or [sections 244 to 264 of the Criminal Justice Act 2003]⁴⁴ ;
Chapter 2 of Part 2 of the Crime (Sentences) Act 1997 (c. 43) (release on licence of life prisoners).
- (2) The following provisions of the law of Northern Ireland do not apply—
any provision of rules under section 13 of the Prison Act (Northern Ireland) 1953 (c.18 (N.I.)) (prison rules) permitting temporary release on licence;
section 23 of that Act (release on licence of life prisoners);
section 24 of that Act (power to discharge prisoners temporarily on grounds of ill health).

Commencement

Sch. 7 para. 3(1)-(2): September 1, 2001 (SI 2001/2161 art. 2)

⁴³ Substituted by Criminal Justice and Immigration Act 2008 c. 4 Pt 2 s.22(7) (November 3, 2008)

⁴⁴ Words substituted subject to transitional provisions specified in SI 2005/950 Sch.2 para.32 by Criminal Justice Act 2003 c. 44 Sch.32(1) para.139(3) (April 4, 2005: substitution has effect subject to transitional provisions specified in SI 2005/950 Sch.2 para.32)

Amendments Pending

Sch. 7 para. 3(2): words inserted by Criminal Justice (Northern Ireland Consequential Amendments) Order 2008/1241 art. 5(2) (date to be appointed)

SCHEDULE 8

GENOCIDE, CRIMES AGAINST HUMANITY AND WAR CRIMES: ARTICLES 6 TO 9

Section 50(6)

ARTICLE 6

Genocide

For the purpose of this Statute, “genocide” means any of the following acts committed with intent to destroy, in whole or in part, a national, ethnical, racial or religious group, as such:

- (a) Killing members of the group;
- (b) Causing serious bodily or mental harm to members of the group;
- (c) Deliberately inflicting on the group conditions of life calculated to bring about its physical destruction in whole or in part;
- (d) Imposing measures intended to prevent births within the group;
- (e) Forcibly transferring children of the group to another group.

ARTICLE 7

Crimes against humanity

1

For the purpose of this Statute, “crime against humanity” means any of the following acts when committed as part of a widespread or systematic attack directed against any civilian population, with knowledge of the attack:

- (a) Murder;
- (b) Extermination;
- (c) Enslavement;
- (d) Deportation or forcible transfer of population;
- (e) Imprisonment or other severe deprivation of physical liberty in violation of fundamental rules of international law;
- (f) Torture;
- (g) Rape, sexual slavery, enforced prostitution, forced pregnancy, enforced sterilization, or any other form of sexual violence of comparable gravity;
- (h) Persecution against any identifiable group or collectivity on political, racial, national, ethnic, cultural, religious, gender as defined in paragraph 3, or other grounds that are universally recognized as impermissible under international law, in connection with any act referred to in this paragraph or any crime within the jurisdiction of the Court;

- (i) Enforced disappearance of persons;
- (j) The crime of apartheid;
- (k) Other inhumane acts of a similar character intentionally causing great suffering, or serious injury to body or to mental or physical health.

2

For the purpose of paragraph 1:

- (a) “Attack directed against any civilian population” means a course of conduct involving the multiple commission of acts referred to in paragraph 1 against any civilian population, pursuant to or in furtherance of a State or organizational policy to commit such attack;
- (b) “Extermination” includes the intentional infliction of conditions of life, inter alia the deprivation of access to food and medicine, calculated to bring about the destruction of part of a population;
- (c) “Enslavement” means the exercise of any or all of the powers attaching to the right of ownership over a person and includes the exercise of such power in the course of trafficking in persons, in particular women and children;
- (d) “Deportation or forcible transfer of population” means forced displacement of the persons concerned by expulsion or other coercive acts from the area in which they are lawfully present, without grounds permitted under international law;
- (e) “Torture” means the intentional infliction of severe pain or suffering, whether physical or mental, upon a person in the custody or under the control of the accused; except that torture shall not include pain or suffering arising only from, inherent in or incidental to, lawful sanctions;
- (f) “Forced pregnancy” means the unlawful confinement of a woman forcibly made pregnant, with the intent of affecting the ethnic composition of any population or carrying out other grave violations of international law.;
- (g) “Persecution” means the intentional and severe deprivation of fundamental rights contrary to international law by reason of the identity of the group or collectivity;
- (h) “The crime of apartheid” means inhumane acts of a character similar to those referred to in paragraph 1, committed in the context of an institutionalized regime of systematic oppression and domination by one racial group over any other racial group or groups and committed with the intention of maintaining that regime;
- (i) “Enforced disappearance of persons” means the arrest, detention or abduction of persons by, or with the authorization, support or acquiescence of, a State or a political organization, followed by a refusal to acknowledge that deprivation of freedom or to give information on the fate or whereabouts of those persons, with the intention of removing them from the protection of the law for a prolonged period of time.

3

For the purpose of this Statute, it is understood that the term “gender” refers to the two sexes, male and female, within the context of society. The term “gender” does not indicate any meaning different from the above.

ARTICLE 8

War crimes

2

For the purpose of this Statute, “war crimes” means:

(a) Grave breaches of the Geneva Conventions of 12 August 1949, namely, any of the following acts against persons or property protected under the provisions of the relevant Geneva Convention:

- (i) Wilful killing;
- (ii) Torture or inhuman treatment, including biological experiments;
- (iii) Wilfully causing great suffering, or serious injury to body or health;
- (iv) Extensive destruction and appropriation of property, not justified by military necessity and carried out unlawfully and wantonly;
- (v) Compelling a prisoner of war or other protected person to serve in the forces of a hostile Power;
- (vi) Wilfully depriving a prisoner of war or other protected person of the rights of fair and regular trial;
- (vii) Unlawful deportation or transfer or unlawful confinement;
- (viii) Taking of hostages.

(b) Other serious violations of the laws and customs applicable in international armed conflict, within the established framework of international law, namely, any of the following acts:

- (i) Intentionally directing attacks against the civilian population as such or against individual civilians not taking direct part in hostilities;
- (ii) Intentionally directing attacks against civilian objects, that is, objects which are not military objectives;
- (iii) Intentionally directing attacks against personnel, installations, material, units or vehicles involved in a humanitarian assistance or peacekeeping mission in accordance with the Charter of the United Nations, as long as they are entitled to the protection given to civilians or civilian objects under the international law of armed conflict;
- (iv) Intentionally launching an attack in the knowledge that such attack will cause incidental loss of life or injury to civilians or damage to civilian objects or widespread, long-term and severe damage to the natural environment which would be clearly excessive in relation to the concrete and direct overall military advantage anticipated;
- (v) Attacking or bombarding, by whatever means, towns, villages, dwellings or buildings which are undefended and which are not military objectives;
- (vi) Killing or wounding a combatant who, having laid down his arms or having no longer means of defence, has surrendered at discretion;
- (vii) Making improper use of a flag of truce, or of the flag or of the military insignia and uniform of the enemy or of the United Nations, as well as of the distinctive emblems of the Geneva Conventions, resulting in death or serious personal injury;
- (viii) The transfer, directly or indirectly, by the Occupying Power of parts of its own civilian population into the territory it occupies, or the deportation or transfer

of all or parts of the population of the occupied territory within or outside this territory;

(ix) Intentionally directing attacks against buildings dedicated to religion, education, art, science or charitable purposes, historic monuments, hospitals and places where the sick and wounded are collected, provided they are not military objectives;

(x) Subjecting persons who are in the power of an adverse party to physical mutilation or to medical or scientific experiments of any kind which are neither justified by the medical, dental or hospital treatment of the person concerned nor carried out in his or her interest, and which cause death to or seriously endanger the health of such person or persons;

(xi) Killing or wounding treacherously individuals belonging to the hostile nation or army;

(xii) Declaring that no quarter will be given;

(xiii) Destroying or seizing the enemy's property unless such destruction or seizure be imperatively demanded by the necessities of war;

(xiv) Declaring abolished, suspended or inadmissible in a court of law the rights and actions of the nationals of the hostile party;

(xv) Compelling the nationals of the hostile party to take part in the operations of war directed against their own country, even if they were in the belligerent's service before the commencement of the war;

(xvi) Pillaging a town or place, even when taken by assault;

(xvii) Employing poison or poisoned weapons;

(xviii) Employing asphyxiating, poisonous or other gases, and all analogous liquids, materials or devices;

(xix) Employing bullets which expand or flatten easily in the human body, such as bullets with a hard envelope which does not entirely cover the core or is pierced with incisions;

...

(xxi) Committing outrages upon personal dignity, in particular humiliating and degrading treatment;

(xxii) Committing rape, sexual slavery, enforced prostitution, forced pregnancy as defined in article 7, paragraph 2(f), enforced sterilisation, or any other form of sexual violence also constituting a grave breach of the Geneva Conventions;

(xxiii) Utilizing the presence of a civilian or other protected person to render certain points, areas or military forces immune from military operations;

(xxiv) Intentionally directing attacks against buildings, material, medical units and transport, and personnel using the distinctive emblems of the Geneva Conventions in conformity with international law;

(xxv) Intentionally using starvation of civilians as a method of warfare by depriving them of objects indispensable to their survival, including wilfully impeding relief supplies as provided for under the Geneva Conventions;

(xxvi) Conscripting or enlisting children under the age of fifteen years into the national armed forces or using them to participate actively in hostilities.

(c) In the case of an armed conflict not of an international character, serious violations of article 3 common to the four Geneva Conventions of 12 August 1949, namely, any of the following acts committed against persons taking no active part in the hostilities, including

members of armed forces who have laid down their arms and those placed *hors de combat* by sickness, wounds, detention or any other cause:

- (i) Violence to life and person, in particular murder of all kinds, mutilation, cruel treatment and torture;
 - (ii) Committing outrages upon personal dignity, in particular humiliating and degrading treatment;
 - (iii) Taking of hostages;
 - (iv) The passing of sentences and the carrying out of executions without previous judgement pronounced by a regularly constituted court, affording all judicial guarantees which are generally recognised as indispensable.
- (d) Paragraph 2(c) applies to armed conflicts not of an international character and thus does not apply to situations of internal disturbances and tensions, such as riots, isolated and sporadic acts of violence or other acts of a similar nature.
- (e) Other serious violations of the laws and customs applicable in armed conflicts not of an international character, within the established framework of international law, namely, any of the following acts:
- (i) Intentionally directing attacks against the civilian population as such or against individual civilians not taking direct part in hostilities;
 - (ii) Intentionally directing attacks against buildings, material, medical units and transport, and personnel using the distinctive emblems of the Geneva Conventions in conformity with international law;
 - (iii) Intentionally directing attacks against personnel, installations, material, units or vehicles involved in a humanitarian assistance or peacekeeping mission in accordance with the Charter of the United Nations, as long as they are entitled to the protection given to civilians or civilian objects under the international law of armed conflict;
 - (iv) Intentionally directing attacks against buildings dedicated to religion, education, art, science or charitable purposes, historic monuments, hospitals and places where the sick and wounded are collected, provided they are not military objectives;
 - (v) Pillaging a town or place, even when taken by assault;
 - (vi) Committing rape, sexual slavery, enforced prostitution, forced pregnancy, as defined in article 7, paragraph 2(f), enforced sterilization, and any other form of sexual violence also constituting a serious violation of article 3 common to the four Geneva Conventions;
 - (vii) Conscripting or enlisting children under the age of fifteen years into armed forces or groups or using them to participate actively in hostilities;
 - (viii) Ordering the displacement of the civilian population for reasons related to the conflict, unless the security of the civilians involved or imperative military reasons so demand;
 - (ix) Killing or wounding treacherously a combatant adversary;
 - (x) Declaring that no quarter will be given;
 - (xi) Subjecting persons who are in the power of another party to the conflict to physical mutilation or to medical or scientific experiments of any kind which are neither justified by the medical, dental or hospital treatment of the person concerned nor carried out in his or her interest, and which cause death to or seriously endanger the health of such person or persons;

- (xii) Destroying or seizing the property of an adversary unless such destruction or seizure be imperatively demanded by the necessities of the conflict.
- (f) Paragraph 2(e) applies to armed conflicts not of an international character and thus does not apply to situations of internal disturbances and tensions, such as riots, isolated and sporadic acts of violence or other acts of a similar nature. It applies to armed conflicts that take place in the territory of a State when there is protracted armed conflict between governmental authorities and organized armed groups or between such groups.

ARTICLE 9

Elements of crimes

1

Elements of Crimes shall assist the Court in the interpretation and application of articles 6, 7 and 8. They shall be adopted by a two-thirds majority of the members of the Assembly of States Parties.

2

Amendments to the Elements of Crimes may be proposed by:

- (a) Any State Party;
- (b) The judges acting by an absolute majority;
- (c) The Prosecutor.

Such amendments shall be adopted by a two-thirds majority of the members of the Assembly of States Parties.

3

The Elements of Crimes and amendments thereto shall be consistent with this Statute.

Commencement

Sch. 8 para. 1: September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 9

OFFENCES AGAINST THE ICC: ARTICLE 70

Sections 54(7) and 61(7)

ARTICLE 70

Offences against the administration of justice

1

The Court shall have jurisdiction over the following offences against its administration of justice when committed intentionally:

- (a) Giving false testimony when under an obligation pursuant to article 69, paragraph 1 to tell the truth;
- (b) Presenting evidence that the party knows is false or forged;

- (c) Corruptly influencing a witness, obstructing or interfering with the attendance or testimony of a witness, retaliating against a witness for giving testimony or destroying, tampering with or interfering with the collection of evidence;
- (d) Impeding, intimidating or corruptly influencing an official of the Court for the purpose of forcing or persuading the official not to perform, or to perform improperly, his or her duties;
- (e) Retaliating against an official of the Court on account of duties performed by that or another official;
- (f) Soliciting or accepting a bribe as an official of the Court in connection with his or her official duties.

...

4

- (a) Each State Party shall extend its criminal laws penalizing offences against the integrity of its own investigative or judicial process to offences against the administration of justice referred to in this article, committed on its territory, or by one of its nationals;

...

NOTE:

Article 69.1, referred to in article 70.1(a), provides as follows:

“1

Before testifying, each witness shall, in accordance with the Rules of Procedure and Evidence, give an undertaking as to the truthfulness of the evidence to be given by that witness”.

Commencement

Sch. 9 para. 1: September 1, 2001 (SI 2001/2161 art. 2)

SCHEDULE 10

REPEALS

Section 83

<i>Short title and chapter</i>	<i>Extent of repeal</i>
[...	...
...	...
...	...
...	...
...	...
...	...
...	...
...	...
...	...] ⁴⁵

⁴⁵ Entries repealed by Armed Forces Act 2006 c. 52 Sch.17 para.1 (October 31, 2009 as SI 2009/1167)

<i>Short title and chapter</i>	<i>Extent of repeal</i>
The Geneva Conventions Act 1957 (c. 52)	In section 1– (a) in subsection (1) the words from “and on conviction on indictment” to the end; (b) subsections (3) to (5). In section 7(1), the definition of “court”.
[... ...]] ⁴⁵
The Genocide Act 1969 (c. 12)	The whole Act.
The Geneva Conventions (Amendment) Act 1995 (c. 27)	Section 1(4) and (5).

Commencement

Sch. 10 para. 1: September 1, 2001 (SI 2001/2161 art. 2)

EXPLANATORY NOTES

INTRODUCTION

1. These Explanatory Notes relate to the International Criminal Court Act which received Royal Assent on 11 May 2001. They have been prepared by the Foreign and Commonwealth Office in order to assist the reader of the Act. They do not form part of the Act and have not been endorsed by Parliament.

2. The notes need to be read in conjunction with the Act. They are not, and are not meant to be, a comprehensive description of the Act. So when a section or part of a section does not seem to require any explanation or comments, none is given.

BACKGROUND

3. Following several years of negotiation, the inter-governmental treaty which forms the Rome Statute of the International Criminal Court (“ICC Statute”) was adopted on 17 July 1998. (The ICC Statute has been published as Cm 4555.) Once the Statute has been ratified by 60 States, the ICC will itself be created. The Foreign Secretary announced in the House of Commons on 20 July 1998 that the Government intended to introduce legislation to enable the UK to ratify the Statute and wished to be among the Court's founding members. The UK signed the Statute on 30 November 1998 and this Act is intended, together with corresponding legislation in the Scottish Parliament, to enable the UK to comply with all its obligations under the Statute and accordingly to ratify.

4. The International Criminal Court (“ICC”) will be a permanent Court, situated in The Hague, to try individuals for genocide, crimes against humanity and war crimes. The ICC will be able to investigate crimes committed by nationals, or on the territory, of States Parties, or of non-State Parties who have given consent. It will also have jurisdiction over crimes, wherever committed, which are referred to the ICC by the United Nations Security Council. The ICC will have 18 judges

and its own Prosecutor. The ICC will work with the assistance of States; States Parties are obliged to co-operate with the ICC, including by gathering evidence and arresting suspects.

5. The ICC will be “complementary” to national courts: relevant States will retain jurisdiction unless they are unable or unwilling genuinely to investigate and prosecute a crime. A situation may be referred to the ICC by the Security Council; alternatively a State Party can refer a situation to the Prosecutor or the Prosecutor can initiate an investigation on his own motion. In the latter two cases, if the Prosecutor has determined that there is a reasonable basis to commence an investigation, he must inform all States Parties and those States which would normally exercise jurisdiction over the alleged crime. Within one month, a State may inform the ICC that it is investigating, or has investigated, the alleged crimes. The Prosecutor must defer to the State's investigation unless the ICC determines that the State is unwilling or unable genuinely to carry out the investigation or prosecution. The definitions of unwillingness and inability are set out in Article 17 of the Statute.

6. The principal aims of the Act are:

- to incorporate the offences in the Statute into domestic law so that domestic authorities will always be in a position to investigate and prosecute any ICC crimes committed in this country, or committed overseas by a UK national, a UK resident or a person subject to UK Service jurisdiction;
- to make provision, where necessary, to enable the UK to meet its obligations under the ICC Statute and so to enable ratification of that Statute. These obligations relate, in particular, to the arrest and surrender of persons wanted by the ICC and the provision of assistance with respect to ICC investigations;?
- to enable the UK to reach an agreement with the ICC so that persons convicted can serve prison sentences in this country.

7. The Act extends to England and Wales, and Northern Ireland. Many provisions of the Act also extend to Scotland, either because they deal with reserved matters under the Scotland Act 1998 or because the Scottish Parliament has agreed that certain matters, although devolved, are more conveniently dealt with on a UK-wide basis in this Act. A separate International Criminal Court (Scotland) Bill has been introduced in the Scottish Parliament to deal with the other issues which fall within that Parliament's competence.

THE ACT

8. The Act is in six Parts, with ten Schedules.

- Part 1 (The International Criminal Court) defines certain terms in the Act.
- Part 2 (Arrest and Delivery of Persons) enables the arrest and surrender of suspects at the request of the ICC.
- Part 3 (Other Forms of Assistance) provides for various forms of co-operation with ICC investigations.
- Part 4 (Enforcement of Sentences and Orders) makes provision for persons convicted by the ICC to serve their sentences in prisons in the UK. It also enables the enforcement of fines, forfeitures and reparations ordered by the ICC.
- Part 5 (Offences under Domestic Law) incorporates into domestic law the offences of genocide, crimes against humanity and war crimes, and offences against the administration of justice of the ICC.
- Part 6 (General Provisions), *inter alia*, sets out the territorial extent of the Act, its application to the Crown, and extends certain provisions in the Act to the International Criminal Tribunals.

- Schedule 1 (Supplementary Provisions relating to the ICC) provides for secondary legislation to confer legal capacity, privileges and immunities on the ICC and persons connected with it; to enable the ICC to sit in the UK; to give effect to the ICC's Rules of Procedure and Evidence; and to protect the pension benefits of UK judges serving on the ICC.
- Schedule 2 (Delivery up of Persons subject to Criminal Proceedings etc) deals with the situation where the ICC has made a request for the surrender of a person subject to ongoing domestic proceedings, or of a prisoner.
- Schedule 3 (Rights of Persons during Investigation: Article 55) reproduces the Article of the ICC Statute on that matter.
- Schedule 4 (Taking of Fingerprints or Non-intimate Samples) enables the taking of evidence in response to an ICC request for assistance in identifying a person.
- Schedule 5 (Investigation of Proceeds of ICC crime) enables co-operation with ICC investigations into the proceeds of crimes.
- Schedule 6 (Freezing Orders in respect of Property liable to Forfeiture) enables property to be frozen at the request of the ICC for the purpose of eventual forfeiture.
- Schedule 7 (Domestic provisions not applicable to ICC prisoners) disapplies certain provisions of domestic law with respect to ICC prisoners serving their sentences here.
- Schedule 8 (Genocide, Crimes against Humanity and War Crimes: Articles 6 to 9) reproduces the Articles of the Statute which define those crimes.
- Schedule 9 (Offences against the ICC: Article 70) reproduces the Article which defines offences against the administration of justice of the ICC.
- Schedule 10 (Repeals) lists the provisions repealed by this Act.

COMMENTARY ON SECTIONS

PART 1: THE INTERNATIONAL CRIMINAL COURT

9. Part 1 has UK-wide extent.

Section 1: The ICC and the ICC Statute

10. This section defines certain terms used in the Act. The term “ICC crime” refers to genocide, war crimes, crimes against humanity and offences against the administration of justice of the ICC as defined in the Rome Statute. It does not include the crime of aggression. This is because, under Article 5 of the Statute, the ICC will exercise its jurisdiction over the crime of aggression only when agreement has been reached on a definition of that crime and the conditions under which jurisdiction will be exercised. Agreement has yet to be reached and would in any case require an amendment of the ICC Statute. The earliest such an amendment could be adopted is seven years after the entry into force of the Statute (see Articles 121 and 123). Any amendment to the crimes within the jurisdiction of the ICC, if accepted by the UK, would need to be given effect by amendment to this legislation.

11. Subsection (2) provides that references in the Act to “Articles” means Articles of the ICC Statute, unless otherwise indicated. The same convention is used in this Commentary.

12. Subsection (3) introduces Schedule 1 which, *inter alia*, makes provision for secondary legislation to be made to confer legal capacity, privileges and immunities on the ICC and persons associated with the ICC; to enable the ICC to sit in the UK; to give effect, as necessary, to the ICC's Rules of Procedure and Evidence; and to secure the pension benefits of UK judges serving on the ICC. (Notes on Schedule 1 can be found at paragraphs 123–131 below.)

PART 2: ARREST AND DELIVERY OF PERSONS

13. This Part, which has UK-wide extent, puts in place an expedited procedure to execute requests from the ICC for the arrest and surrender of persons. The procedure is broadly based on that already in place for arrest and surrender to the two *ad hoc* International Criminal Tribunals for the former Yugoslavia and Rwanda (“the International Criminal Tribunals”) and is different from that used for extradition to other countries.

Section 2: Request for arrest and surrender

14. This section sets out the procedure to be followed when the Government receives a request from the ICC for the arrest and surrender of an individual. This could be someone suspected of having committed an ICC crime or someone who has already been convicted by the ICC but has escaped custody in another country.

15. Subsection (1) requires the Secretary of State to transmit the request and the accompanying documents to an appropriate judicial officer (as defined in section 26). Subsection (3) requires the judicial officer to endorse an arrest warrant provided he is satisfied that it appears to have been issued by the ICC. Under Article 91.3 of the Statute, an ICC request for the arrest and surrender of a person already convicted may or may not contain an arrest warrant. If a request is received without an arrest warrant but is accompanied by the other documents specified in Article 91.3, subsection (4) requires the judicial officer to issue an arrest warrant himself.

16. An arrest warrant endorsed or issued under this section is termed “a section 2 warrant” and may be executed in any part of the UK (see section 14).

Section 3: Request for provisional arrest

17. Under Article 92 of the Statute, the ICC may, in urgent cases, request a State Party to make the provisional arrest of an individual before sending the formal request for surrender. This section provides that, where the UK receives such a request, an application shall be made to an appropriate judicial officer who is required to issue a “provisional warrant”.

18. Under subsection (2), where the Secretary of State considers the application for a provisional arrest warrant should be made in England and Wales, he will direct a constable to make the application. Under subsection (3) where the Secretary of State considers the application for a provisional arrest warrant should be made in Scotland, he will transmit the request to the Scottish Ministers and the procurator fiscal will make the application.

Section 4: Dealing with person arrested under provisional warrant

19. This section sets out what is to happen if a person is arrested under a provisional warrant; it reflects Article 92 of the Statute. The person must be brought as soon as is practicable before a competent court (defined in section 26 as a court consisting of an appropriate judicial officer). The court is required to remand him until such time as a section 2 warrant is produced. If such a warrant is produced, the court will proceed as if the person concerned had been arrested under that warrant. If not, the person will be discharged; however, he can be subsequently re-arrested under a section 2 warrant in accordance with Article 92.4.

20. Article 92.3 of the Statute does not specify the maximum length of time a person who has been provisionally arrested can be detained pending receipt of the ICC's request for surrender; it instead provides for this to be specified in the ICC's Rules of Procedure and Evidence. The Rules have been drafted by the Preparatory Commission for the ICC and this time limit has been provisionally set, in Rule 188, at 60 days. However, the Rules, like various other subsidiary documents to the

ICC Statute, will not be finally adopted until the first meeting of the Assembly of States Parties, which will not take place until 60 States have ratified the Statute. It therefore remains possible that the provision in Rule 188 might change. For this reason, subsection (4) requires the period of remand to be specified in the Order in Council which may be made, under Schedule 1, paragraph 3, to give effect to the Rules of Procedure and Evidence.

Section 5: Proceedings for delivery order

21. This section is intended to implement Article 59.2 of the Statute. Article 59.2 states that a person arrested shall be brought promptly before the competent judicial authority in the custodial State which shall determine, in accordance with the law of that State, that: (a) the warrant applies to that person; (b) the person has been arrested in accordance with the proper process; and (c) the person's rights have been respected. However, nothing in the Statute allows a State to refuse to surrender a person to the ICC on the grounds that the person has not been properly arrested or his rights have not been respected. The Government interprets the Statute as meaning that it will be for the ICC to determine the consequence of any violation of a person's rights or of proper procedure for his prosecution before the ICC. It would be open to the ICC, for example, to halt the prosecution on grounds of abuse of process or to award compensation.

22. Subsection (1) requires that the person arrested under a section 2 warrant be brought before a competent court as soon as is practicable. Subsection (2) requires the court to make a delivery order if it is satisfied that the person before it is the person named in the warrant and that the warrant is from the ICC and has been duly endorsed or that the warrant has been duly issued under section 2.

23. As provided for in Article 89.2 of the Statute, subsection (4) allows the domestic court to adjourn surrender proceedings whilst a challenge is still pending before the ICC to the admissibility of the case or to the ICC's jurisdiction. Such a challenge could be made by the accused or by a State under Article 19 of the Statute. This subsection would apply, for example, if the person claimed that he had already been tried for the crime which the ICC wished to prosecute.

24. Article 59.4 states that it shall not be open to a domestic court to consider whether the warrant of arrest was properly issued by the ICC. Subsection (5) is intended to implement that obligation. Subsection (5) also provides that the competent court shall not consider whether there is evidence to justify the person's trial before the ICC.

25. Subsection (6) provides that the competent court may also determine whether the person has been lawfully arrested under the warrant and whether his rights have been respected. The court must make this determination if the person arrested applies for it to do so. Subsection (6), following Article 59.2, does not seek to spell out all the rights which the court may consider. If the domestic court determines that there have been violations of proper process or of the person's rights, subsections (8) and (9) state it shall make a declaration (or declarator in Scotland) which will be passed to the ICC. However, the competent court cannot grant any other relief and this declaration will not affect the court's decision whether or not to issue a delivery order under subsection (2). This section does not exclude any other procedure available under domestic law for the remedy of a violation of a person's rights.

Section 6: Supplementary provisions as to proceedings before competent court

26. Subsection (2) enables a competent court in England and Wales hearing proceedings under section 5 to exercise certain powers relating to the conduct of the proceedings, including to adjourn the case and remand the arrested person. It provides for criminal advice and assistance, and

representation under the Criminal Defence Service to be extended to the arrested person during the delivery proceedings and, in the event of a discharge, for the arrested person's costs to be paid out of central funds. Subsection (3) makes similar provision with regard to proceedings under section 5 in Scotland. (With respect to proceedings before the ICC, an accused is entitled under Articles 55 and 67 of the Statute to legal assistance from the ICC, including assistance free of charge if he lacks sufficient means to pay for it.)

Section 7: Consent to surrender

27. This section provides that where an arrested person gives written consent to surrender in the presence of a justice of the peace or, in Scotland, a sheriff, the competent court will make a delivery order and the person will be taken to have waived his rights to appeal against that order. This reflects the provision in Article 92.3 of the Statute.

Section 8: Procedure where court refuses order

28. This section provides that if the competent court refuses to make a delivery order it must remand the person arrested and notify the Secretary of State (and, in Scotland, the Scottish Ministers). If the court is not informed without delay of an intention to appeal, the person concerned will be discharged. Otherwise, the person will remain on remand.

Sections 9 and 10: Appeal against refusal of delivery order

29. Section 9 provides that, where the competent court in England and Wales refuses to make a delivery order, the Secretary of State may appeal against the refusal, on grounds of fact or law, to the High Court and then, with permission, to the House of Lords. If either the High Court or the House of Lords grants the appeal, it can make the delivery order or send the case back to the original court to do so. The person will remain on remand until the end of the appeal process. Section 10 makes corresponding provision for Scotland.

Section 11–12: Proceedings where court makes delivery order

30. Sections 11 and 12 set out the procedure to be followed when a competent court makes a delivery order and protects the right of the person to seek a review of that order by means of an application for habeas corpus (or, in Scotland, a presentation of a Bill of Suspension). The court must notify the person of his right to seek a review of the order. It must also commit the person to custody or on bail to await the Secretary of State's directions as to how the order is to be executed and the practical arrangements for the transfer to the ICC or the State of enforcement. To allow the person time to consider seeking a review, the directions shall not be made for 15 days from the date of the order, unless the person waives his right to seek a review (see section 13) or has already consented to surrender (see section 7). If he does make an application for habeas corpus, directions for the execution of the order are not to be made until proceedings on that application have been completed.

31. Subsection (4) of section 12 provides that the court hearing the application for review shall consider the same issues as the court which made the delivery order; subsections (2) and (4) to (9) of section 5 shall again apply (see paragraphs 22–25 above).

Section 13: Waiver of right to review

32. This section permits a person to waive his right to seek a review of a delivery order. Waiver must be made in writing and in the presence of a justice of the peace or, in Scotland, a sheriff.

Section 14: Effect of warrant of arrest

33. This section provides for the execution in any part of the UK of any arrest warrant endorsed or issued under this Part and for the legal custody of a person so arrested. The arrest warrant can be executed by any constable or any other person to whom it is directed (for example, an immigration officer).

Section 15: **Effect of delivery order**

34. The purpose of this section is to enable the execution of a delivery order. It provides that someone subject to a delivery order can be lawfully kept in custody pending or during the execution of the delivery order, whether in the UK or on board a British vessel or aircraft.

35. Subsection (3) grants the powers of a constable to a person authorised to carry out custodial duties in respect of a delivery order (such as the person designated to escort the person to the ICC or the State of enforcement) and provides for equivalent authority, protection and privileges.

36. Subsection (4) enables the arrest, without warrant, of someone subject to a delivery order who escapes. If, for example, someone escapes during transit to the ICC, a constable (including someone granted custodial powers under subsection (3)) has the authority to arrest the fugitive, keep him in custody and convey him into the custody of the ICC.

37. The reference in subsection (5)(b) is to persons such as transport police whose powers are limited to specific places.

Sections 16 to 18: **Bail and custody**

38. Article 59 of the ICC Statute deals with the question of interim release pending surrender (i.e. bail). The Article provides that a person arrested at the request of the ICC has the right to apply for bail. It requires that the Pre-Trial Chamber of the ICC be notified of any application for bail and that the authority deciding the application give full consideration to any recommendations made by the Pre-Trial Chamber, including any on measures to prevent the escape of the person, before making its decision. Article 59.4 further requires that the authority consider whether, given the gravity of the alleged crime, there are urgent and exceptional circumstances to justify bail and whether necessary safeguards exist to ensure that the State can fulfil its duty to surrender the person to the ICC.

39. Sections 16 and 18 are intended to implement these provisions of Article 59. Section 16 provides that a court may grant bail if an application is made. Section 18(1) and (2) provide for compulsory consultation with the ICC. Section 18(3) requires the court to consider the matters specified in Article 59.4. Section 16(2) applies the provisions of the Bail Act 1976 to proceedings under this part in England and Wales as if they were proceedings against a fugitive offender. This ensures that, in view of the very serious nature of the crimes involved, the court is obliged to take into account all of the relevant factors surrounding the bail application with no presumption in favour of, or against, granting bail.

40. Section 17 covers various eventualities where a person has been granted bail in England and Wales. Subsections (3) to (5) deal with a situation in which a person, having been granted bail, surrenders to the custody of a constable shortly before the end of the period of remand. If the constable learns that the end of that period will be unexpectedly delayed, he will re-bail the person and set a new date for the person to surrender to custody. If the person fails to surrender at the appointed time, then the court which originally granted bail may issue an arrest warrant and, once the person is arrested, will reconsider whether bail is appropriate.

Sections 19 and 20: **Discharge of persons**

41. Sections 19 and 20 provide for two different situations in which a person arrested under this Part may be discharged. Section 19 grants a person subject to a delivery order the right to make an application for discharge if he has not been delivered up within 40 days of the order being made. The High Court, or High Court of Justiciary in Scotland, is required to order discharge if reasonable cause is not shown for the delay; this is to ensure that the right not to be subject to unnecessarily prolonged detention is respected. Section 20 provides that a person must be discharged if the ICC informs the Secretary of State that the person's surrender is no longer required.

Section 21: Request for transit

42. It is possible that a prisoner being surrendered to the ICC by another State might need to transit the UK. To address this sort of situation, Article 89.3(a) obliges States Parties to authorise transport through their territory of a person being surrendered to the ICC by another State (except where such transit would impede or delay the surrender). Article 89.3(b) sets out what such an ICC request for transit must contain, including the warrant for arrest and surrender, and Article 89.3(c) requires the person being surrendered to be detained in custody during the period of transit.

43. Section 21 is intended to implement the obligations under Article 89.3(a)–(c). It provides that, if the Secretary of State receives and agrees to a request for transit, the request will be treated as if it were an ordinary ICC request for arrest and surrender but, in view of the different circumstances, there will be an expedited process for transferring the person in question to the ICC. The person will be treated on arrival as if he had been arrested under an endorsed warrant, will not be granted bail, and, once the competent court has made a delivery order, he will be given only two days in which to make an application for review before the Secretary of State may issue directions for the person's delivery.

Section 22: Unscheduled landing by person in transit

44. It is possible that a person being surrendered to the ICC by another State might need to make an unscheduled landing in the UK. In the case of an unscheduled landing, Article 89.3(e) obliges a State to detain the person being transported until the request for transit is received from the ICC. The request for transit must be received within 96 hours: if not, the individual must be released.

45. Section 22 is intended to implement the obligations under Article 89.3(e). The section provides that, in such a case, the person being surrendered shall be arrested, brought before a competent court as soon as is practicable, and remanded in custody pending receipt of the ICC request. If no request for transit is received by the Secretary of State within 96 hours of the unscheduled landing, the person must be discharged. If a request is received from the ICC and the Secretary of State notifies the court that he has agreed to it, the person is to be treated as if a person in transit under section 21.

Section 23: Provisions as to State or diplomatic immunity

46. Article 27 states that the Statute shall apply equally to all persons without any distinction based on official capacity and that immunities attaching to the official capacity of a person, whether under national or international law, shall not bar the ICC from exercising its jurisdiction over such a person. Article 98.1 provides that the ICC may not proceed with a request for surrender or assistance which would require the requested State to act inconsistently with its obligations under international law with respect to the State or diplomatic immunity of a person or property of a third State, unless the ICC can first obtain that third State's co-operation for the waiver of the immunity. These Articles mean that a State Party to the ICC Statute, in accepting Article 27, has already agreed that the immunity of its representatives, officials or agents, including its Head of State, will not prevent the

trial of such persons before the ICC, nor their arrest and surrender to the ICC. But non-States Parties have not accepted this provision and so the immunity of their representatives would remain intact unless an express waiver were given by the non-State Party concerned to the ICC.

47. Subsection (1) of section 23 therefore provides that any state or diplomatic immunity attaching to a person by reason of his connection to a State Party will not prevent his arrest and surrender under this Act if requested by the ICC. This may include a serving Head of State or Ambassador. As for persons with immunity as a result of their connection to a non-Party, subsection (2) provides that a waiver obtained by the ICC in relation to a request for that person's surrender, will be treated as extending to proceedings for his arrest and surrender under this Act.

48. Subsection (4) provides that the Secretary of State may direct that proceedings for arrest and delivery shall not be taken against a person who has State or diplomatic immunities which, but for subsections (1) or (2), would have prevented such proceedings. The Secretary of State can do so only after consulting with the ICC and the State concerned.

49. If the United Nations Security Council, acting under Chapter VII of the UN Charter, refers a situation to the ICC for investigation and prosecution, the Security Council resolution might, depending on its wording, override any immunities attaching to representatives of all States, including non-Parties. Subsection (5) is intended to enable such a resolution to be implemented in this country.

Section 24: Delivery up of persons subject to criminal proceedings etc.

50. This section introduces Schedule 2 which applies where the ICC makes a request for arrest and surrender of a person who is also subject to domestic criminal, extradition or delivery proceedings, or is a prisoner. (Notes on Schedule 2 can be found at paragraphs 132–139 below.)

Section 25: Documents having effect as warrants etc.

51. This section provides that a copy or a faxed version of a warrant or other document shall be treated as if it were the original and shall be admissible in evidence.

52. Under Article 58.6, the ICC Prosecutor may ask the Pre-Trial Chamber to amend a warrant of arrest by modifying or adding to the crimes specified in it. Subsection (3) provides that the amended warrant shall be treated as if it were a new warrant, but notes that this does not affect the validity of anything done on the basis of the previous warrant.

Section 26: Meaning of “appropriate judicial officer” and “competent court”

53. This section defines “competent court” as a court consisting of the Senior District Judge (Chief Magistrate) or a designated District Judge (Magistrates' Courts), or the Sheriff of Lothian and Borders.

PART 3: OTHER FORMS OF ASSISTANCE

54. States Parties to the ICC are required to co-operate fully with the ICC in its investigation and prosecution of crimes within its jurisdiction. In particular, Article 88 requires States Parties to ensure that there are procedures available under national law for all the forms of co-operation which are specified under Part 9 of the Statute. The main forms of assistance, other than the arrest and surrender of suspects, are outlined in Article 93.1.

55. This Act, but particularly this Part, is intended to implement the obligation under Articles 88 and 93.1. It provides a legislative basis, where one is required, for the Government to assist the ICC with its investigations or prosecutions. As in the Orders in Council through which the UK has

implemented the UN Security Council resolutions which established the International Criminal Tribunals (S.I. 1996/716 and S.I. 1996/1296), no provision is made with regard to assistance which the Secretary of State can already provide to the ICC. For example, the Secretary of State is able, without further provision, to respond to ICC requests to protect victims and witnesses or to facilitate the voluntary attendance of expert witnesses, in the same way as is already done with regard to the Tribunals.

56. Part 3 extends to England and Wales, and Northern Ireland. Only sections 32 and 39 extend to Scotland.

Section 27: Provision of assistance

57. The reference in subsection (1) to investigations initiated and not concluded by the ICC is intended to include investigations which have been deferred or suspended pursuant to Articles 18 and 19 of the Statute. Subsection (3) makes clear that the forms of assistance detailed in Part 3 are not exclusive and that nothing in this Part prevents other assistance being provided to the ICC.

Section 28: Questioning of person being investigated or prosecuted

58. This section relates to Article 93.1(c) whereby the ICC can ask the domestic authorities to question a person whom the ICC is investigating or prosecuting. In accordance with Article 55.2, subsection (2) provides that a person shall not be questioned unless he has been informed of his rights under Article 55; these rights are reproduced in Schedule 3.

Section 29: Taking or production of evidence

59. This section applies where the Secretary of State receives an ICC request to take evidence on its behalf, including testimony on oath, or to secure the production of evidence. The Secretary of State may nominate a court to receive the evidence in question. The nominated court will have the same powers to secure the attendance of witnesses and the production of documents or other Articles as it has in domestic cases.

Section 30: Taking or production of evidence: further provisions

60. Subsection (2) provides that a court nominated under section 29 to take evidence for transmission to the ICC can sit in private if it considers it necessary in order to protect victims, witnesses or suspects, or to protect confidential or sensitive information. This is in line with the criteria in Article 64.7 under which the ICC can decide to sit in closed session.

Section 31: Service of process

61. Another form of assistance which States Parties must provide is to serve documents, including judicial documents, on persons living in their territory (Article 93.1(d)). These can include a summons for a suspect to appear before the ICC, which the ICC Pre-Trial Chamber, under Article 58.7, may issue as an alternative to an arrest warrant. This section makes provision for a summons or other document to be personally served on an individual in England and Wales or Northern Ireland.

Section 32: Transfer of prisoner to give evidence or assist in investigation

62. Article 93.7 empowers the ICC to request the temporary transfer of a person in custody for purposes of identification or for obtaining testimony or other assistance. The person may be transferred only if he gives his consent. The Article also provides that the person being transferred shall remain in custody and shall be returned without delay when the purposes of the transfer have been fulfilled.

63. This section enables implementation of an ICC request under Article 93.7 with respect to someone in custody anywhere in the UK. The effect of subsection (5) is that the person will remain in custody during his transfer to the ICC, any time spent at the ICC will be counted towards the completion of their domestic sentence, and, if he has yet to complete that sentence, he will be returned to the UK to do so.

Section 33: Powers of entry, search and seizure

64. Under Article 93.1(g) and (h), the ICC can ask for sites to be examined and searches and seizures to be carried out on its behalf. This section provides that, where the Secretary of State believes implementation of a request requires the exercise of powers of entry, search and seizure, he may direct a constable to apply for a warrant or order under Part 2 of the Police and Criminal Evidence Act 1984, or the equivalent Northern Ireland legislation. The references in that Act to a serious arrestable offence are to be taken to include an ICC crime.

Section 34: Taking of fingerprints or non-intimate sample

65. The purpose of this section and Schedule 4 is to enable the implementation of an ICC request, made under Article 93.1(a), to locate and identify an individual in whom the ICC has an interest. (Schedule 4 is explained in paragraphs 140–142 below.)

Section 35: Orders for exhumation

66. Article 93.1(g) specifically allows the ICC to request the exhumation and examination of grave sites. This section enables the implementation of such a request.

Section 36: Provision of records and other documents

67. The ICC may request that a State Party provide records and documents, including official records and documents, pursuant to Article 93.1(i). Such a request would normally be able to be met without specific provision or under the powers in sections 29 and 33. This section is intended to ensure that the request can also be met in the particular case where the ICC is requesting information about previous domestic proceedings or investigations in respect of conduct which would constitute an ICC crime.

Sections 37 and 38: Assistance in investigating the proceeds of ICC crime

68. Article 93.1(k) specifies that the ICC may request assistance in

the identification, tracing and freezing or seizure of proceeds, property and assets and instrumentalities of crimes for the purpose of eventual forfeiture, without prejudice to the rights of bona fide third parties.

69. Sections 37 and 38 together make provision for such requests to be carried out. Section 37 provides that, where the ICC requests assistance in ascertaining whether a person has benefited from an ICC crime or in identifying property derived from an ICC crime, the Secretary of State may direct a constable to apply for an order or warrant under Schedule 5. Section 38 provides that, where the ICC requests assistance in the freezing or seizure of property for possible forfeiture, the Secretary of State may direct a person to apply for a freezing order in accordance with the provisions of Schedule 6. (Notes on Schedules 5 and 6 can be found in paragraphs 143–152 below.)

Section 39: Matters prejudicial to national security

70. This section provides that nothing in this Part or in the corresponding provisions of any Act of the Scottish Parliament requires or authorises documents or information to be disclosed where this

would be prejudicial to national security. This section must be read in light of the obligations of a State Party under the ICC Statute. Under Article 93.4 of the Statute, a State Party may deny a request for assistance, in whole or part, if the request concerns the production of any documents or disclosure of evidence which would be prejudicial to its national security interests. If such an issue arises, Article 72 sets out the procedure to be followed and the obligations of the State Party, including to take all reasonable steps to seek to resolve the matter through co-operation with the ICC. It also provides that, if no solution permitting the disclosure of that information is reached and the ICC determines that the evidence is relevant and necessary, the ICC may make such inference in the trial of the accused as to the existence or non-existence of a fact, as may be appropriate in the circumstances.

PART 4: ENFORCEMENT OF SENTENCES AND ORDERS

71. Whereas Part 3 provides for implementation of ICC requests during investigations and proceedings, Part 4 provides for the enforcement of ICC sentences and orders made following conviction. The sentences and orders are of two different types. A State Party is obliged to implement orders for fines, forfeitures and reparations that the ICC may make against a convicted person. However, a State Party is not obliged to accept persons convicted by the ICC (“ICC prisoners”) to serve their sentences in its prisons. Instead, under Article 103.1, a State may indicate to the ICC its willingness to accept ICC prisoners and can attach conditions to its acceptance. Once the ICC hands down a prison sentence and that sentence is no longer subject to appeal, the ICC will designate a State of enforcement among those States who have volunteered and the State shall inform the ICC if it accepts that designation.

72. The Government envisages reaching an enforcement of sentences agreement with the ICC. This Part sets out the provisions which would apply where the Secretary of State has agreed to an ICC request that a prisoner serve his sentence in the UK. With the exception of section 49 (relating to other orders), Part 4 extends to Scotland.

Section 42: Detention in the United Kingdom in pursuance of ICC sentence

73. This section applies where the Secretary of State has accepted the designation by the ICC of the UK as the State of enforcement with regard to a specific person. Under subsection (2) he will consult with the Scottish Ministers if he considers it may be appropriate for the person to serve his sentence in Scotland. The relevant Minister — the Secretary of State or, in Scotland, the Scottish Ministers — will then issue a warrant authorising the person to be brought to the relevant part of the UK. The Secretary of State's warrant will authorise the detention of the prisoner in England, Wales or Northern Ireland. The International Criminal Court (Scotland) Bill introduced in the Scottish Parliament on 4 April 2001 provides that the warrant issued by the Scottish Ministers under this section will authorise the detention of the prisoner in Scotland.

74. Subsection (4) provides that where the prisoner is detained in England, Wales or Northern Ireland, he shall be treated in the same way as a domestic prisoner serving a sentence of imprisonment imposed by a court in that part of the UK for a similar offence. The conditions of the ICC prisoner's detention are to be the same as those for domestic prisoners, except that, by virtue of Schedule 7, the domestic provisions concerned with the early release of prisoners or which affect the length of sentence are disapplied. Articles 105 and 110 of the Statute make clear that consideration of early release or reduction in sentence will be a matter for the ICC alone. If the ICC itself subsequently amends the sentence imposed on the person, subsection (3) enables the domestic warrant to be amended accordingly.

75. Subsection (5) disapplies the provisions of the Repatriation of Prisoners Act 1984 to ICC prisoners, as the transfer of ICC prisoners between States is to be determined by the ICC under Article 104. The subsection also disapplies Schedule 1 to the Crime (Sentences) Act 1997 because sections 44 and 45 make separate provision for the transfer of prisoners between different parts of the UK (but see section 46).

Section 43: Temporary return or transfer of custody to another state

76. This section enables the temporary transfer of an ICC prisoner to and from the ICC, for example, to testify at another trial (as provided for in Rule 193 of the finalized draft Rules of Procedure and Evidence). It also enables the transfer of custody to any other State to which the ICC, under its power in Article 104, may decide to transfer the prisoner. The Secretary of State or, if the prisoner is being detained in Scotland, the Scottish Ministers will make the necessary arrangements.

Sections 44 and 45: Transfer to another part of the UK

77. Section 44 enables the transfer of a prisoner from one part of the UK to another to serve the remainder of the ICC sentence. The warrant under which he is being detained will continue to have effect. Section 45 provides for the temporary transfer of the prisoner in custody between UK jurisdictions, including Scotland, for example, for the purpose of attending criminal proceedings against him or for testifying at another trial. Under both sections, transfer between Scotland and the rest of the UK requires the prior agreement of the relevant Minister in the receiving part of the UK.

Section 46: Domestic sentence current at end of term of ICC sentence

78. This section makes provision in respect of prisoners who have completed a term of imprisonment imposed by the ICC but who are still subject to an ordinary domestic sentence (including a sentence imposed for an offence committed during imprisonment under the ICC sentence). The effect of the section is to ensure that the domestic sentence will continue to apply even where the prisoner has been transferred under section 44 or 45 to a different part of the UK than that in which the domestic sentence was imposed.

Section 47: Custody of prisoner in transit etc.

79. This section enables an ICC prisoner to be held in lawful custody by domestic authorities whilst outside prison, whether he is in the UK or on board a British vessel or aircraft. This would include, for example, while the prisoner is in transit between the UK and the ICC. Subsection (4) grants the powers of a constable to a person designated by the Secretary of State to take the prisoner to or from any place, or to keep the prisoner in custody, and provides for equivalent authority, protection and privileges.

80. Subsection (5) allows the arrest of any ICC prisoner who escapes or is unlawfully at large, including an ICC prisoner who escapes from prison in any part of the UK. Any constable (including someone granted custodial powers under subsection (4)), has the authority to arrest the fugitive prisoner without warrant.

Section 49: Power to make provision for enforcement of other orders

81. Under Articles 77.2 and 70.3, in addition to a sentence of imprisonment, the ICC can impose on a convicted person a fine and a “forfeiture of proceeds, property and assets derived directly or indirectly from” the crime for which the person has been convicted. Moreover, under Article 75, the ICC may

make an order directly against a convicted person specifying appropriate reparations to, or in respect of, victims, including restitution, compensation and rehabilitation.

82. Section 49 empowers the Secretary of State to make regulations to enforce fines, forfeitures or reparation orders issued by the ICC against a convicted individual. The procedure will broadly follow that established in the Criminal Justice (International Co-operation) Act 1990 (Enforcement of Overseas Forfeiture Orders) Order 1991 (S.I. 1991/1463). The regulations may provide that, on receiving any such order, the Secretary of State will appoint a person to act on the ICC's behalf. The regulations will provide for the registration of the order and may provide for it to be enforced as if it were an order of a domestic court. The regulations may be different for different types of orders.

83. Subsection (5) provides safeguards in respect of persons with an interest or rights in property affected by such an order. This is in accordance with the Statute; Article 109.1, for example, provides that States Parties shall give effect to fines and forfeitures “without prejudice to the rights of bona fide third parties”.

PART 5: OFFENCES UNDER DOMESTIC LAW

84. Part 5 incorporates the offences in the ICC Statute into domestic law. This is not an obligation under the ICC Statute (except in respect of offences against the administration of justice of the ICC). Rather the purpose is to ensure that domestic authorities will always be in a position to investigate and, if necessary, prosecute ICC crimes allegedly committed by UK nationals, persons resident in this country and UK service personnel. Under the principle of “complementarity”, the ICC cannot commence an investigation into such allegations if they have been dealt with properly by a State Party.

85. Part 5 extends to England and Wales, and Northern Ireland. Sections 50(3) and (4), 70 and 71 to 73 also extend to Scotland.

Section 50: Meaning of “genocide”, “crime against humanity” and “war crime”

86. Subsection (1) provides that genocide, crimes against humanity and war crimes shall be as defined in the relevant Articles of the ICC Statute, i.e. Articles 6, 7 and 8.2, which are set out in Schedule 8. Subsection (6) provides that no account is to be taken for the purposes of Part 5 of provisions of those Articles not included in Schedule 8 (see paragraph 157 below).

87. Subsection (2) provides that, when trying these offences, domestic courts must take into account any relevant Elements of Crimes adopted by the Assembly of States Parties in accordance with Article 9 of the Statute. To enable domestic courts effectively to prosecute offences under this section even before the Assembly of States Parties meets, subsection (2)(b) requires domestic courts to take into account the finalized draft Elements of Crimes adopted by the Preparatory Commission for the ICC on 30 June 2000. The finalized draft Elements and subsequently the adopted Elements will be set out in regulations made by the Secretary of State.

88. Subsection (4) provides that any relevant reservations or declarations made by the UK when ratifying any treaty or agreement relevant to the interpretation of Articles 6, 7 or 8 shall be used by the courts to interpret those Articles. Such reservations or declarations will be set out in Orders in Council. This provision follows the precedent of section 7(3) of the Geneva Conventions Act 1957 as amended by the Geneva Conventions (Amendment) Act 1995. Statements made on ratification of Additional Protocol I to the Geneva Conventions are examples of declarations coming within this section.

89. Subsection (5) provides that in trying offences, domestic courts must take into account any relevant jurisprudence or decision of the ICC and may also take into account any relevant international jurisprudence. The latter would include any relevant jurisprudence of the International Criminal Tribunals and the International Court of Justice.

Section 51: Genocide, crimes against humanity and war crimes

90. This section is intended to incorporate the offences of genocide, crimes against humanity and war crimes as defined in the Statute into the law of England and Wales. Courts will have jurisdiction over these offences when committed in England and Wales, or when committed overseas by UK nationals, UK residents or persons subject to UK Service jurisdiction. (UK nationals, UK residents and persons subject to UK Service jurisdiction are defined in section 67.)

91. Subsection (1) establishes domestic offences of genocide, war crimes and crimes against humanity. Almost all of the acts falling within these definitions would already have been crimes if committed in the UK, although attracting different penalties from those provided for in this Act, but would generally not have been crimes if committed by UK nationals or UK residents overseas.

92. The crime of genocide was already an offence in domestic law by virtue of the Genocide Act 1969 but the jurisdiction provided for in that Act is more limited than is provided for in this Act. The Genocide Act is repealed under Schedule 10. Certain war crimes within the definition of Article 8 (notably, grave breaches of the Geneva Conventions) also constituted existing domestic offences in identical terms. However, as the Geneva Conventions Act 1957 takes wider jurisdiction than this Act (and, by virtue of the Geneva Convention (Amendment) Act 1995, also covers grave breaches of Additional Protocol I of the Geneva Conventions), it remains in force subject to the amendments specified in section 70 (see paragraph 109 below).

Section 52: Conduct ancillary to genocide, etc. committed outside jurisdiction

93. This section criminalises conduct in England and Wales (or that of a UK national, UK resident or person subject to UK Service jurisdiction abroad) that is ancillary to an act which, if committed in England and Wales, would constitute an offence under section 51 or under this section but which being committed (or intended to be committed) outside England and Wales does not constitute such an offence. For example, it is an offence under this section to incite, in England and Wales, the commission of genocide overseas even if the perpetrators have no connection with the UK. It would also be an offence if such incitement took place overseas but was committed by a UK national, UK resident or a person subject to UK Service jurisdiction.

Section 53: Trial and punishment of main offences

94. This section makes provision for trying the offences of genocide, crimes against humanity and war crimes, offences under section 52, and offences that are ancillary to such offences. Subsections (5) and (6) set out the sentences which the domestic courts may impose for the new domestic offences created by the Act. If the offence involves murder, the sentence will be the same as if the offender had been found guilty on a domestic charge of murder; the same is true for offences ancillary to an offence involving murder. In any other case, the penalty will be imprisonment of up to 30 years. This is in line with Article 77 of the Statute, under which the ICC can impose prison sentences of life, or up to 30 years. Under the Power of Criminal Court (Sentencing) Act 2000 and the Criminal Justice Act 1988, it may, in certain circumstances, also be open to the court to impose a fine and order compensation or to make a confiscation order in respect of the offender's proceeds of crime.

Section 54: Offences in relation to the ICC

95. This section extends existing offences against the administration of justice (e.g. contempt of court) to acts committed against the administration of justice of the ICC. The section is intended to implement Article 70.4 of the Statute, which requires a State Party to the ICC to

“extend its criminal laws penalising offences against the integrity of its own investigative or judicial process to offences against the administration of justice referred to in this Article committed on its territory, or by one of its nationals”.

The offences against the administration of justice of the ICC are set out in Article 70.1 (reproduced in Schedule 9). Upon request by the ICC, the State Party is obliged to submit a case to its competent authorities for the purpose of prosecution.

96. Subsection (3) sets out the domestic offences corresponding to those in Article 70.1. These include false testimony (section 1 of the Perjury Act 1911), interference with witnesses or evidence (section 51 of the Criminal Justice and Public Order Act 1994 or at common law), and certain offences at common law including perverting the course of justice and contempt of court. For instance, it is a contempt under common law to bribe a court official, or to take or threaten revenge upon a court official for what he has done in the discharge of his duties.

97. Domestic courts have jurisdiction when such offences are committed in England and Wales or when committed overseas by UK nationals, UK residents or persons subject to UK Service jurisdiction (subsection (4)). The penalties available will be the same as are otherwise available for the relevant domestic offence.

98. Subsection (2) provides that, in trying these offences, the domestic court shall take into account relevant jurisprudence of the ICC and may also take into account any other relevant international jurisprudence (e.g. that of the International Criminal Tribunals).

Section 55: Meaning of “ancillary offences”

99. This section defines ancillary offences for the purposes of this Part. They include the forms of secondary liability in Article 25.3 of the Statute but are defined in terms of the principles of secondary liability under the law of England and Wales.

Section 56: Saving for general principles of liability

100. The Statute sets out certain general principles of law to be followed by the ICC in its proceedings. Although these are mostly similar to those applicable under the law of England and Wales, there are some differences. Therefore, for consistency with other parts of national criminal law, subsection (1) provides that the domestic courts will apply the principles of the law of England and Wales in trying offences under this Part.

101. Subsection (2) preserves existing enactments and rules in respect of the extraterritorial application of offences and in respect of offences ancillary to offences under this Part. For example, the provision for extraterritorial jurisdiction over grave breaches of the Geneva Conventions, under the Geneva Conventions Act 1957, is unaffected. Existing principles of secondary liability apply in relation to offences created under Part 5 of the Act.

Section 57: Protection of victims and witnesses

102. This section extends the protections currently afforded to victims and witnesses of sexual offences under the Sexual Offences (Amendment) Acts 1976 and 1992, Chapters 1 to 3 of Part 2

of the Youth Justice and Criminal Evidence Act 1999 and the Sexual Offences (Protected Material) Act 1997 to victims and witnesses in proceedings brought under this Act. Such protections include the entitlement to anonymity, restrictions on the freedom of defendants to cross-examine their alleged witnesses personally and restrictions on what evidence about an alleged victim's sexual behaviour can be considered relevant in a trial. These protections will apply when a prosecution under this Act relates to conduct amounting to the criminal offences specified in those Acts as attracting such protections. So, for example, where an individual is prosecuted for a crime against humanity under section 51 that involves a rape, the alleged victim will be entitled to all the protections that would have been afforded to her under the specified statutory provisions had the defendant been prosecuted for rape rather than for a crime against humanity.

Sections 58 to 64: Northern Ireland

103. Sections 58 to 64 apply provisions to Northern Ireland comparable to sections 51 to 57 with regard to England and Wales.

Section 65: Responsibility of commanders and other superiors

104. This section provides for an additional form of criminal responsibility, namely that of commanders and superiors for the acts of their subordinates. This is a well known concept of international law and was reflected in the jurisprudence of the Nuremberg and Tokyo Tribunals. As well as the ICC Statute, it also appears in the Statutes of the Tribunals for the former Yugoslavia and Rwanda. It reflects the hierarchical structure of military and administrative control over subordinates in the context of these crimes. Inclusion of command responsibility with respect to the crimes in this Part is intended to permit the investigation and prosecution of cases before domestic courts in all the circumstances where the ICC might find a case on that basis. The wording of this section is taken directly from Article 28 of the Statute. The wording draws a distinction between the standards expected of military and quasi-military commanders in relation to military forces under their command, and other superiors such as government officials or heads of civilian organisations, as it is recognised that the latter may not have the same degree of control over the actions of their subordinates.

105. Subsections (4) and (6) make plain that liability under this provision is a form of aiding and abetting and does not preclude any other liability that the commander or superior might have, for example where the commander has in fact ordered the commission of the offences.

Section 66: Mental Element

106. This section reflects Article 30 of the Statute. It provides a general rule that, unless otherwise provided, the necessary mental element of an offence is present if the material elements of genocide, a crime against humanity, a war crime or an offence against the administration of justice are committed with intent and knowledge. "Intent" and "knowledge" are explained in subsection (3).

107. In accordance with Article 30, subsection (2) provides that this general rule shall not apply where an alternative mental element is specified in certain provisions of the Statute, certain provisions of the Act or in any of the relevant Elements of Crimes. An example is to be found in the finalized draft Elements of Crimes in respect of Article 8(2)(b)(xxvi) (conscripting or enlisting children under the age of fifteen) where it is required that the perpetrator "knew or should have known" of the age of the child concerned.

Section 67: Meaning of "United Kingdom national", "UK resident" and "person subject to UK service jurisdiction"

108. Subsection (3) defines a “person subject to UK Service jurisdiction” by reference to the various Service Discipline Acts. Such persons are within the jurisdiction of Service courts and may be tried for offences under Service law, wherever they may be in the world at the time the offence is committed. This mainly involves Service personnel but may also, in the circumstances specified in the Service Discipline Acts, include certain categories of civilians, such as families and certain civilians who carry out support facilities for the Armed Forces.

Section 68: Proceedings against persons becoming resident within the jurisdiction

109. This Act follows the War Crimes Act 1991 and the Sex Offenders Act 1997 in providing for jurisdiction over crimes committed overseas by someone resident in the United Kingdom. This (and other references to “resident”) applies irrespective of whether the person is also resident in any other country. Section 68 stipulates that proceedings can be taken under this Part against persons who become resident in the UK subsequent to the offence taking place, provided that they are resident here at the time the proceedings are brought and the offence was committed after this Act came into force.

Section 70: Offences under section 1 of the Geneva Conventions Act 1957

109. This section makes various amendments to the Geneva Conventions Act to ensure that provisions governing the prosecution of grave breaches of the Geneva Conventions under that Act are consistent with those governing the prosecution of offences under this Act. The amendments relate to where the trial shall be held, the need for Attorney General's consent to prosecutions, and the sentence available on conviction.

Section 71: Extradition: Orders in Council under the 1870 Act

110. This section has the effect of making the offences set out in sections 51, 52, 58 and 59 of the Act, and any offence ancillary to any such offence, extraditable under Schedule 1 to the Extradition Act 1989. Schedule 1 to the Extradition Act 1989 covers extradition with countries with which the United Kingdom has a bilateral extradition treaty which was in force prior to the coming into force of the 1989 Act. (As a result of section 2(1) of the Extradition Act 1989, these offences are automatically extraditable in relation to a foreign State, a designated Commonwealth country, a colony or the Hong Kong Special Administrative Region as offences which are punishable for a term of imprisonment of 12 months or more.)

111. As extradition is a reserved matter for the purposes of the Scotland Act, these provisions and those in section 72 and 73 apply to any corresponding offence under Scottish law.

Section 72: Extradition: exception to dual criminality rule under the 1989 Act

112. Section 2 of the Extradition Act 1989 defines what is an extradition crime for cases dealt with under Part 3 of that Act (i.e. all extradition arrangements excluding bilateral extradition treaty partners where the treaty was in force prior to the 1989 Act coming into force). This section amends section 2 of the Extradition Act 1989 and has the effect of disapplying the principle of dual criminality as it relates to extra-territorial offences. (Dual criminality means that extradition can only be granted when the offence at issue would have been a crime both under UK law and the law of the requesting country at the time the offence was committed). This change thus permits extradition to a third country which has extra-territorial jurisdiction for the offences in sections 51, 52, 58 and 59 and any offence ancillary to those offences in cases when the UK does not. Subsection (5) of section 71 is intended to achieve the same result in respect of Schedule 1 to the Extradition Act 1989.

Section 73: Extradition: offences not regarded as of political character etc.

113. This section replaces section 23 of the Extradition Act 1989 which provided that an offence of genocide, or an ancillary offence to the offence of genocide, shall not be regarded as an offence of political character for the purposes of extradition and that extradition can take place even if the act was not an offence at the time and place where it was allegedly committed. It broadens that provision to include all the offences in sections 51, 52, 58 and 59 of this Act, ancillary offences in relation to these offences, and the offences under section 1 of the Geneva Conventions Act 1957. It also amends the equivalent provision of the Backing of Warrants (Republic of Ireland) Act 1965.

Section 74: Consequential amendments of armed forces legislation

114. This section deals with restrictions on the exercise of Service jurisdiction. In recognition of the primacy of civil courts, Service courts are prevented by law from exercising jurisdiction over certain serious offences when they are committed in the UK. The list currently includes murder, manslaughter and rape as well as certain offences relating to international law such as genocide and any offence under section 1 of the Biological Weapons Act 1974. Consistent with this approach, this section provides that offences under this Act may not be dealt with by Service courts if committed in the UK. This does not affect the jurisdiction of Service courts to deal with offences committed overseas.

PART 6: GENERAL PROVISIONS

115. This Part has UK-wide extent.

Section 77: Application of provisions to other International Tribunals

116. The effect of subsections (1) and (2) is that State or diplomatic immunity will not prevent the arrest and surrender of a person indicted by either of the International Criminal Tribunals for the former Yugoslavia and Rwanda.

117. The effect of subsection (3) is that the UK can accept prisoners from either of the two Tribunals to serve their sentences in domestic prisons. This would be subject to the UK reaching an enforcement of sentences agreement with that Tribunal.

118. Should the Security Council establish any future Tribunals along the lines of the International Criminal Tribunals for the former Yugoslavia and Rwanda, the relevant Security Council resolution will be given effect to by an Order in Council under the United Nations Act 1946. Subsection (4) provides that such an Order in Council may include provisions to allow for the arrest and surrender of persons with State or diplomatic immunity and to enable convicted persons to serve their sentences in domestic prisons.

Section 78: Crown application

119. This section specifies that the Act binds the Crown.

Section 79: Extent

120. Subsection (1) lists those provisions which do not extend to Scotland.

121. Subsection (5) is included because jurisdiction under the Service Discipline Acts is personal rather than geographical. A person subject to Service jurisdiction may be proceeded against under that jurisdiction for an offence wherever in the world it is committed. It is therefore necessary to extend the geographical limits of the Act so that Service courts are able to exercise jurisdiction even when sitting outside the UK.

Section 80: Power to make provision in relation to Scotland

122. The section enables the Secretary of State, by statutory instrument, to make modifications or adaptations of this Act so that it dovetails properly with the corresponding Scottish legislation and ensures that the UK is able to fulfil its obligations under the ICC Statute.

SCHEDULE 1: SUPPLEMENTARY PROVISIONS RELATING TO THE ICC

123. Schedule 1, which covers a variety of ICC-related provisions, extends to Scotland.

Paragraph 1: Legal capacity, privileges and immunities

124. This paragraph enables subordinate legislation to be made to confer privileges and immunities on the ICC, the judges and other persons connected with the Court, in accordance with the obligations under Article 48 of the Statute and any other relevant international agreements entered into by the UK. An agreement on the privileges and immunities of the ICC will be adopted at the first meeting of the Assembly of States Parties.

Paragraph 2: Power to provide for sittings of the ICC in the UK

125. Under Article 3, the seat of the ICC shall be in The Hague but the ICC may sit elsewhere, whenever it considers it desirable. This is elaborated in Rule 100 of the draft Rules of Procedure and Evidence which provides that the ICC may sit elsewhere in a particular case where to do so would be in the interests of justice; this is subject to the agreement of the State where the ICC intends to sit. This paragraph enables subordinate legislation to be made to enable the ICC to sit in the UK in the event that it wished to do so.

Paragraph 3: Power to give effect to Rules of Procedure and Evidence

126. The Rules of Procedure and Evidence have been drafted by the Preparatory Commission for the ICC and will be adopted by the first Assembly of States Parties, in accordance with Article 51 of the Statute. This paragraph enables secondary legislation to give effect to any of the Rules if they require implementation in the UK; an example is in section 4(4) (see paragraph 20 above).

Paragraph 4: Parliamentary approval of draft Orders

127. Since the Orders made under paragraphs 1 to 3 will extend to Scotland, this paragraph provides that they shall only be made with the consent of both Houses of Parliament and the Scottish Parliament.

Paragraph 7: Pension provision for UK judges of ICC

128. The purpose of this paragraph is to eliminate a possible disincentive to UK judges standing for election to the ICC. It provides that, in the event that the ICC itself makes no pension provision for judges of that court, the Lord Chancellor (or the Secretary of State) has powers to ensure that a UK judge appointed to the ICC is no worse off for pension purposes than he would have been had he continued to serve in his UK judicial office. The question of whether pension provision will be agreed internationally for ICC judges will not be settled definitely until the first meeting of the Assembly of States Parties.

129. Sub-paragraph (1) creates an order making power for the Lord Chancellor (or the Secretary of State in relation to a person holding judicial office in Scotland) in order to secure the pension position of a UK judge sitting on the ICC. Sub-paragraph (2) provides that such an order may provide for a judge to remain a member of his existing UK pension scheme (sub-paragraph (2)(a)) or that other appropriate arrangements can be made (sub-paragraph (2)(b)).

130. Sub-paragraph (3), (4) and (5) give additional detail as to what an order made by virtue of sub-paragraph (2)(a) may contain. Sub-paragraph (3) provides that a judge who remains in his UK pension scheme may do so on the same terms as if he had not been appointed to be a judge of the ICC. By way of securing this outcome, it further provides that the pension benefits payable to him will be based on the salary he would have been entitled to receive for the UK judicial office which he would continue to hold by virtue of section 68 of the Access to Justice Act 1999, were it not for section 68(3)(a) of that Act. Sub-paragraph (4) enables the order to provide that the contributions towards dependants' benefits payable under the judicial pension scheme of a judge who remains in a UK pension scheme will continue to be paid by the judge; and that these contributions will be collected under such arrangements as are laid down by the administrators of the scheme. Sub-paragraph (5) provides that the order has effect notwithstanding section 68(3)(b) of the Access to Justice Act 1999 (which provides that a seconded judge will not receive pension benefits under a UK judicial pension scheme whilst working for an international court). Sub-paragraph (5) also gives the Lord Chancellor power to amend as necessary the provisions of the judicial pensions Acts to give effect to the provisions of this paragraph.

131. Sub-paragraph (6) provides for any benefits payable by virtue of an order under sub-paragraph (2)(b) to be paid directly from the Consolidated Fund (that is, not from the Departmental Vote) in the same way as other judicial pension benefits.

SCHEDULE 2: DELIVERY UP OF PERSONS SUBJECT TO CRIMINAL PROCEEDINGS ETC.

132. The ICC may request the surrender of a person even if he is already before the UK courts or is serving a prison term. This schedule sets out how such a situation would be dealt with.

Part 1: Criminal Proceedings

133. Article 89.4 of the Statute states that:

If a person sought is being proceeded against or is serving a sentence in the requested state for a crime different from that for which surrender to the [ICC] is sought, the requested State, after making its decision to grant the request, shall consult the [ICC].

The purpose of the consultations would be determine when and how the surrender will take place. There may be circumstances in which the ICC agrees to allow the domestic proceedings to be completed before the person's surrender. An example might be if a lengthy domestic trial is close to completion or involves sensitive evidence which might not be available if the trial is postponed.

Paragraphs 2 to 4: *Criminal proceedings*

134. Paragraph 2 is intended to implement Article 89.4 where criminal proceedings are taking place in England and Wales or Northern Ireland; paragraphs 3 and 4 make equivalent provision where the criminal proceedings are before a Scottish or a Service court respectively. Paragraph 2 sets out what is to happen if, when the Secretary of State receives a request from the ICC for arrest and surrender, the person concerned is already undergoing criminal proceedings. The Secretary of State will inform the relevant court which will, if necessary, adjourn its proceedings so that proceedings under Part 2 can take place to determine whether the person should be delivered up. If a delivery order is made and the criminal proceedings are still pending or in progress, the Secretary of State is required to consult the ICC. If the ICC wishes to go ahead immediately with the person's surrender, the Secretary of State will direct that the domestic proceedings be discontinued and the delivery

order be executed. The discontinuance of the domestic proceedings is without prejudice to the possible institution of fresh proceedings at a later date.

Paragraph 5: *Effect on custodial sentences*

135. This paragraph provides that terms of imprisonment or detention imposed by a domestic court must still be served even if a person is delivered up to the ICC (sub-paragraph 5(1)) or discharged after delivery proceedings (sub-paragraph 5(2)). The time spent by that person in the custody of the ICC or another State of enforcement will count towards the completion of his domestic sentence. If that domestic sentence is not completed by the time the person is either acquitted by the ICC or completes any term of imprisonment imposed by the ICC, he shall be returned to serve out that sentence. Sub-paragraph (4) requires the Secretary of State to consult the Scottish Ministers in the case of prisoners serving sentences in Scotland.

Paragraph 6: *Power to suspend or revoke other orders*

136. This paragraph gives the competent court which has made a delivery order power to make sure that its order is executed, including by suspending or revoking any other warrant, order or sentence (other than imprisonment, which is dealt with in paragraph 5) made by any domestic court. Paragraphs 10 and 14 make similar provision with particular regard to cases where the person to be delivered up at the request of the ICC is also subject to an order for extradition or for delivery to another International Tribunal; again the competent court has the power to suspend or revoke any such order to enable the delivery order under this Act to be executed.

Part 2: Extradition Proceedings

137. Article 90 of the Statute sets out in detail what a State Party is to do if it receives a request from the ICC for the surrender of a person and also receives a request from a State for that person's extradition, whether or not the extradition request relates to the same conduct which the ICC is investigating. In summary, the State Party must give priority to the ICC request unless the country requesting extradition is a non-Party and the requested State is under an existing international obligation to extradite the person to that non-Party. In that exceptional case, Article 90 says that the State Party shall decide whether to surrender the person to the ICC or extradite him to the requesting State after considering all relevant factors, including those stipulated in Articles 90.6 or, as the case may be, 90.7(b).

138. Paragraph 8 is intended to implement Article 90 in England and Wales and Northern Ireland; paragraph 9 makes equivalent provision for Scotland. Under paragraph 8, if the ICC requests the arrest and surrender of a person already subject to extradition proceedings, the Secretary of State will notify the court hearing the extradition proceedings of the request. That court will, if necessary, adjourn its proceedings so that proceedings under Part 2 of this Act can take place to determine whether the person should be delivered up. If a delivery order is made and the extradition proceedings are still pending or in progress, the Secretary of State is obliged to consult the ICC. Depending on the outcome of those consultations, the Secretary of State may direct that the extradition proceedings be discontinued and the delivery order be executed. The discontinuance of the extradition proceedings is without prejudice to the possible institution of fresh extradition proceedings at a later date.

Part 3: Other Delivery Proceedings

139. Paragraphs 11 to 14 deal with the highly exceptional situation in which an accused is wanted by both the ICC and one of the International Criminal Tribunals. In such a case, the UK would be under an international obligation to surrender to both bodies. If such a situation were to arise,

paragraphs 12 and 13 require the Secretary of State to consult both the ICC and the Tribunal. In practice, it is expected that the ICC and the Tribunal would reach an agreement as to whose request is to take priority.

SCHEDULE 4: TAKING OF FINGERPRINTS OR NON-INTIMATE SAMPLES

140. Under Article 93.1(a), the ICC can ask a State Party for assistance in identifying an individual in whom it has an interest. Schedule 4 is intended to enable the taking of evidence necessary for identification where alternative means of responding to such an ICC request have been exhausted.

141. Where the Secretary of State receives an ICC request to identify an individual, paragraph 1 provides that other means of identification must be tried first. If they prove inconclusive, the Secretary of State is to inform the ICC. If the ICC nonetheless wishes to proceed with the request, the Secretary of State may nominate a court for the purpose of obtaining the evidence necessary for identification, which may include fingerprints and/or a non-intimate sample (such as a strand of hair). The court may order the person to attend to provide the specified evidence and if he fails to comply, the court may order his arrest for this purpose and the evidence may be taken without his consent.

142. Paragraph 7 provides that evidence obtained under this Schedule may only be used for the purpose of an ICC investigation or a domestic investigation under Part V of this Act. Paragraph 8 applies section 64 of the Police and Criminal Evidence Act 1984 with relation to the destruction of the evidence obtained under this Schedule.

SCHEDULE 5: INVESTIGATION OF PROCEEDS OF ICC CRIME

143. Schedule 5 applies where the ICC has made a request for assistance in ascertaining whether a person has benefited from an ICC crime or in identifying property derived from an ICC crime and the Secretary of State has directed the constable to apply for an order or warrant under section 37.

Part 1: Production or access orders

144. Part 1 sets out the provisions which govern the making of court orders or warrants for the production of, or access to, material. It is substantially based on the powers which already exist under section 93H of the Criminal Justice Act 1988. That section allows a constable, for the purposes of an investigation into whether any person has benefited from any criminal conduct or into the extent or whereabouts of the proceeds of such conduct, to apply to a Circuit judge for an order for the production of, or access to, particular material or material of a particular description.

145. Paragraph 3 provides that a standard production or access order will require a named individual to either produce specified material or material of a specified description to a constable (production order) or give the constable access to this material (access order). The material should be produced within a specified period (normally seven days, although this can be shortened or lengthened by the judge if this is deemed appropriate in the circumstances). Paragraph 4 provides that a special production or access order may be made in relation to a person who the judge thinks is likely to have material to which the application relates in his possession within 28 days of the making of the order. Such an order will require a named individual to notify a constable when that material comes into his possession. This provision allows for information that will come either into a person's possession or into existence in the future to be obtained quickly. It is substantially based on Schedule 5 of the Terrorism Act 2000.

146. Part 1 goes on to detail procedural provisions and the effect of the order, in particular how the order relates to existing legislation, specifically the Police and Criminal Evidence Act 1984 and the Police and Criminal Evidence (Northern Ireland) Order 1989.

Part 2: Search Warrants

147. Part 2 is based substantially on the provisions in section 93I of the Criminal Justice Act 1988. Paragraph 10 sets out the circumstances in which a search warrant may be issued. Sub-paragraph (2) allows for a warrant to be issued if it appears that a production or access order has not been complied with. Sub-paragraph (3) allows for a warrant to be issued in circumstances where there are grounds for making a production or access order and, for example, there is a lack of communication with those who may be able to grant access. Sub-paragraph (4) makes provision for a search warrant to be issued in circumstances where more general material relating to an ICC crime is sought, when this material is likely to be of substantial value to the investigation.

SCHEDULE 6: FREEZING ORDERS IN RESPECT OF PROPERTY LIABLE TO FORFEITURE

148. Schedule 6, which relates to section 38, sets out the procedures for the making, variation and discharge of freezing orders. It also provides a power to appoint a receiver, seize property to prevent its removal from the jurisdiction and defines the interaction of freezing orders with existing legislation.

149. A freezing order would prohibit anyone from dealing with property specified in the order, except by methods and under conditions defined in the order itself. If the ICC makes a request, the Secretary of State may direct a person to apply for a freezing order from the High Court. The court must make the order if it is satisfied that the ICC has made a forfeiture order, or has reasonable grounds for believing that a forfeiture order may be made. Anybody affected by the freezing order shall be notified. The schedule also allows for the variation or discharge of the order on the application of a person affected by it, or on conclusion of the relevant ICC proceedings.

150. The schedule also provides for the High Court to appoint a receiver when a freezing order is in force. The receiver would take possession of the specified property and manage it in accordance with the directions of the court. If a freezing order is in force, a constable may seize property specified in the order to prevent its removal from England and Wales or, as the case may be, Northern Ireland.

151. When a freezing order relates to registered land, under the Land Charges Act 1972, the Land Registration Act 1925, Land Registration Act (Northern Ireland) 1970 and the Registration of Deeds Act (Northern Ireland) 1970, paragraphs 7 and 8 set out the appropriate methods of dealing with this land.

152. This Schedule goes on to detail how freezing orders will be enforced when the order relates to a person adjudged to be bankrupt, or a company which is winding up, in England and Wales or Northern Ireland. Paragraph 13 provides protection for insolvency practitioners when they seize or dispose of property which is subject to a freezing order. These provisions are substantially based on the provisions of Part 6 of the Criminal Justice Act 1988.

SCHEDULE 7: DOMESTIC PROVISIONS NOT APPLICABLE TO ICC PRISONERS

Paragraph 1: Introduction

153. Paragraph 1 sets out the purpose of Schedule 7. The ICC will be responsible for sentencing ICC prisoners. The Statute makes clear that the ICC will determine the sentence after taking into

account factors such as time spent in custody on remand and whether multiple offences had been committed. Under Article 110.2 the ICC alone has the right to decide any reduction in the sentence it imposes and Article 105 states that an ICC sentence of imprisonment shall be binding on the States Parties who shall in no case modify it. The provisions in this Schedule therefore disapply those provisions of England and Wales and Northern Ireland law which might otherwise interfere with the power of the ICC to be the body solely responsible for determining the length of detention of the ICC prisoner.

Paragraph 2: Provisions affecting length of sentence

154. Sub-paragraph (1) disapplies provisions in England and Wales in relation to: the meaning of 'month'; deduction from time served of time unlawfully at large; discharge at a weekend or on a holiday; and crediting of periods during which the prisoner is remanded in custody. All these matters would be considered by the ICC itself when sentencing or when making rulings on reductions to the original sentence.

155. For the same reason, sub-paragraph (2) disapplies provisions in Northern Ireland in relation to: remission; discharge at a weekend or holiday; deduction from time served of time whilst the prisoner is unlawfully at large; and deduction from sentence of time served in custody.

Paragraph 3: Provisions relating to early release or release on licence

156. Sub-paragraph (1) disapplies those provisions in England and Wales which allow prisoners to be released: early; on grounds of ill health; temporarily on licence; or on life licence. Sub-paragraph (2) disapplies the equivalent provisions in Northern Ireland. The ICC would be responsible for the date of release and there is no provision in the Statute permitting a State Party to release an ICC prisoner early or temporarily.

SCHEDULE 8: GENOCIDE, CRIMES AGAINST HUMANITY AND WAR CRIMES: ARTICLES 6 TO 9

157. This Schedule reproduces Articles 6–9 of the Statute with the exceptions of three provisions in Article 8 (war crimes): Articles 8.1, 8.2(b)(xx) and 8.3. Article 8.1 and 8.3 are not relevant to the definition of war crimes in Article 8.2. Article 8.2(b)(xx) will only become operative if the Statute is amended and the earliest such an amendment could be adopted is seven years after the entry into force of the Statute. If new offences were to be added to the Statute in this way, they would not become offences under domestic law without new primary legislation.

SCHEDULE 10: REPEALS

158. This schedule lists the provisions of previous legislation repealed as a consequence of this Act. The Genocide Act is repealed because its provisions have been subsumed within Part 5 of this Act. The changes to the Geneva Conventions Act 1957 and Geneva Conventions (Amendment) Act 1995 are explained in the commentary on section 70. The changes to the Service Acts are explained in the commentary on section 74.

COMMENCEMENT

159. Section 82 provides that the provisions of this Act shall come into force on such dates as the Secretary of State appoints by order.

HANSARD REFERENCES

160. The following table sets out the date and Hansard references for each stage of this Act's passage through Parliament.

Stage	Date	Hansard reference
House of Lords		
Introduction	14 December 2000	Vol 620, Col 493
Second Reading	15 January 2001	Vol 620, Cols 924–1001
Committee	8 and 12 February 2001	Vol 621 Cols 1270–1329, Vol 622 Cols 11–132
Report	8 March 2001	Vol 623 Cols 340–438
Third Reading	20 March 2001	Vol 623 Cols 1290–1334
House of Commons		
Introduction	20 March 2001	—
Second Reading	3 April 2001	Vol 366, Cols 214–294
Committee	10, 24 and 26 April and 1 and 3 May	Hansard Standing Committee D
Report and Third Reading	10 May	Vol 362, Cols 305–352

Royal Assent — 11 May 2001

House of Lords Hansard Vol 624 Col 2282

House of Commons Hansard Vol 368 Col 406